



MI CHOICE WAIVER

POLICIES & PROCEDURE MANUAL

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Table of Contents

Mission, Goals and Objectives	1
MI Choice Waiver Program Description.....	2
Long-Term Care Programs Overview	6
Long-Term Care Program Staffing and Roles.....	8
Outreach and Promotion	16
Supports Coordination.....	17
Cultural and Linguistic Needs.....	19
Referrals/Screening.....	21
Scheduling Initial Assessments	26
Waiting Lists.....	28
Unable to Contact	31
Documents and Forms for Initial Assessment	33
Initial Assessment	35
Nursing Facility Level of Care Determination.....	44
Freedom of Choice.....	49
Waiver Financial Requirements	51
MI Choice Waiver Medicaid Guide	52
Medicaid Codes (PET, Benefit, etc.)	57
Third-Party Liability.....	62
Participant Enrollment.....	64
Presumptive Eligibility Program.....	69
Case Classifications	71
Person Centered Care Planning, Monitoring and PCSP.....	75
Participant Management of Risk	79
Participant Medications.....	87
Residential Services.....	90
Self-Determination Program.....	94
Services - Service Arranging.....	101
Non-Emergency Medical Transportation (NEMT)	113
Environmental Accessibility Adaptations/Home Modifications	116
Monitoring & Follow-up.....	119
Participant Non-Compliance.....	123
Charting Examples.....	126
Communication with MDHHS	135
Critical Incidents.....	137
Reassessment.....	141
Care Transitions	144

Coordination with Medicare/Medicaid Hospice Programs 154

Action Notices and Appeal Rights 156

Case Closure/Disenrollment 165

Re-Enrollment Process 168

Transferring MI Choice Participants to Another Waiver Agency in Michigan 170

Twenty-Four (24) Hour Care 172

Suicide Policy..... 173

Grievances (Complaints) 175

Quality and Performance Reviews 177

Staff Recruitment/Hiring..... 184

Supports Coordinator Training..... 187

Privacy & Confidentiality..... 189

Case Records Maintenance..... 191

Timely Reports & Documentation 193

CHAMPS Enrollment/Disenrollment Process..... 198

Vendor Billing..... 204

Provider Enrollment and Credentialing 208

APPENDIX 212

CHART SET UP KEY 213

Changes to Participant’s Services (PCSP) 215

Initial Assessment/ New Participant Checklist (Mi-Choice Referrals) 219

Financial Eligibility/ Medicaid 223

Nursing Home Admission/Discharge 225

Participant Hospitalization..... 227

New Participant Checklist (MI Choice)..... 230

New Participant Checklist (Care Management)..... 232

Participant Re-Assessment/PCSP Review Checklist..... 234

Person Centered Service Plan Review 236

DECISION GUIDE FOR NOTICES AND ADVERSE BENEFIT DETERMINATIONS 1

Acronym List 4

		<h1>Mission, Goals and Objectives</h1>	
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Since 1974, the mission and goals of UPCAP Services, Inc.’s Long-Term Care (LTC) Programs have been centered around supporting adults to live independently for as long as possible in the setting of their choice.

Providing information and assistance on home and community-based supports and services is key to the program's success. UPCAP believes that promoting a comprehensive array of these supports and services in the least restrictive setting enhances independence and quality of life for Participants and their supports.


UPCAP Services, Inc.’s LTC Programs use trained, professional staff (Supports Coordinators) and a comprehensive Community-Based Service Provider Network to deliver services across the Upper Peninsula.

- Based on standardized health and social needs assessments, Supports Coordinators provide comprehensive, unbiased information and assistance to allow individuals to make informed long-term care choices to address their individual needs. This process is based on the principles of Person-Centered Thinking and Person-Centered Planning that are designed to maximize Participant choice in meeting their needs in the least restrictive setting. The role of the Supports Coordinator is to assist the Participant in this process to ensure they have control of their daily lives.
- UPCAP’s network of Community-Based Service Providers share in the belief that quality supports and services should be designed and delivered in settings that provide the least amount of restriction and the maximum amount of independence and control. All Service Providers are required to demonstrate their commitment to the principles of Person-Centered Thinking and Planning, and to the guiding principle that the Participant is in control of their service plan to the greatest degree possible.

The following policies and procedures for UPCAP Services, Inc.’s Long-Term Care Programs have been established to guide staff and support UPCAP Services, Inc.’s mission to assist Upper Peninsula residents in receiving supports and services in a setting of their choice and maximize independence.

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- Revised 09/10/2024 – Internal Quality Committee Review
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	<h1 style="color: #E67E22;">MI Choice Waiver Program Description</h1>	
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MI Choice Waiver Program Description

MI Choice is a waiver program operated by the Michigan Department of Health and Human Services (MDHHS) to deliver home and community-based services to elderly persons and persons with physical disabilities who meet the Michigan Nursing Facility Level of Care Determination (NFLOCD) criteria. The waiver is approved by the Centers for Medicare & Medicaid Services (CMS) under sections 1915(b) and 1915(c) of the Social Security Act.

UPCAP complies with the Michigan Department of Health and Human Services (MDHHS) Contract and MI Medicaid Provider Manual requirements for MI Choice Operating Criteria to meet program standards for the MI Choice Waiver Program. UPCAP aims to improve the quality of life for all its participants and seeks to provide person-centered services that specifically address participant goals.

Eligibility Criteria

The MI Choice Waiver Program is for those over the age of 65, or adults age 18 and older, who are blind or disabled, and who meet the NFLOCD for long-term care services in a nursing home. Persons must also meet the special Medicaid financial eligibility criteria, and want their long-term care services provided in their home or other independent community setting. MI Choice Waiver participants must require and receive at least two (2) Waiver services, one of which being Supports Coordination. Participants must meet all eligibility criteria in order to be eligible for enrollment in the MI Choice Waiver program.

MI Choice Waiver Services

MDHHS and CMS have outlined an array of services available to participants enrolled in the MI Choice Waiver Program. In addition to services covered by State Plan Medicaid, participants enrolled in the MI Choice Waiver program may receive the following services:

- Adult Day Health
- Assistive Technology
- Chore Service
- Community Health Worker
- Community Living Supports
- Community Transportation
- Counseling Services
- Environmental Accessibility Adaptations
- Fiscal Intermediary Services
- Goods and Services
- Home Delivered Meals
- Nursing Services
- Personal Emergency Response System

- Private Duty Nursing/Respiratory Care
- Residential Services
- Respite
- Specialized Medical Equipment and Supplies
- Supports Brokerage
- Supports Coordination
- Training
- Vehicle Modifications

The participant must meet the specified criteria for a service in order to be eligible to receive the service. The criteria for each service is outlined in the MI Choice Waiver Chapter of the Medicaid Provider Manual.

Evidence and Professional Standards

The MI Choice Waiver Program follows guidelines and standards outlined in the current Medicaid Provider Manual. The current contract must meet federal CMS approval before implementation. Federal regulatory source documents include the Social Security Act, Code of Federal Regulations (CFR), CMS Audit Guidelines and Decisions, CMS instructions, technical guides, and review criteria, and Medicaid Policy.

MI Choice Waiver program content, materials for participants, staff training curriculum, and linguistic and cultural appropriateness is reviewed against evidence based and professional standards used by the National Association of Social Workers (NASW), American Case Management Association (ACMA), and Case Management Society of America (CMSA) by a team comprised of the Director of Long-Term Care Programs, the LTC Quality Improvement Manager, and at least two other professional employees, preferably (1) Nurse and (1) Social Worker. This review occurs at a minimum every two years.

The Director of Long-Term Care Programs, Regional SC Supervisor, LTC Quality Improvement Manager, and at least (1) one other professional employee annually review the MDHHS contract requirements for the MI Choice Waiver program and the MI Choice chapter of the Medicaid Provider Manual upon its release. UPCAP's Policy and Procedures are reviewed and updated to ensure that they meet the contract, State, and Federal requirements. If mid-contract updates occur, these are discussed and implemented into practices as outlined in the update. All program-related contract documents and updates are disseminated to program staff once reviewed.

Program Goals

- At least 90% of UPCAP MI Choice Waiver Program participants surveyed will report satisfaction with their experiences in the MI Choice Waiver Program. Participant satisfaction will be measured by responding "agree" or "strongly agree" on the survey administered by UPCAP.
- At least 90% of UPCAP MI Choice Waiver participants surveyed will report an overall improvement in their quality of life since enrollment in the MI Choice Waiver program. This will be measured by participants responding "agree" or "strongly agree" on the survey administered by UPCAP.
- Less than 10% of actively-enrolled UPCAP MI Choice Waiver participants will transition into long-term care placement each year.
- Less than 3% of actively-enrolled UPCAP MI Choice Waiver participants will report a hospital admission within 30 days of a previous hospital discharge.
- At a minimum, 90 % of all progress notes will be entered into the participant's record in COMPASS within 7 days of contact.
- At a minimum, 90% of all assessments will reflect accurate data and responses when compared to the correlating NFLOCD completed by the Supports Coordinator.
- UPCAP MI Choice Waiver Participants will report issues with inadequate meals and/or nutrition during their assessment at a rate equal to or below the Statewide average.
- UPCAP MI Choice Waiver Participants will report a recent fall (within 30 days) or frequent falls (2 or more falls within 90 days) during their assessment at a rate equal to or below the Statewide average.

Case Management and Supports Coordination

Supports Coordination is an approach to case management defined by the MDHHS MI Choice Medicaid Policy. Supports Coordination is provided to assure the provision of supports and services needed to meet the participant's health and welfare needs in a home and community-based setting. Without these supports and services, the participant would otherwise require institutionalization. The supports coordination functions to be performed and the frequency of face-to-face and other contacts are specified in the participant's Person-Centered Service Plan (PCSP). The frequency and scope of supports coordination contacts must take into consideration the health and safety needs of the participant. The MI Choice Medicaid Policy outlines the following functions as integral to provision of Supports Coordination:

- Assure the participant meets the Nursing Facility Level of Care per MDHHS policy.
- Conduct the initial assessment and periodic reassessments.
- Facilitate person-centered planning that is focused on the participant's preferences, includes family and other allies as determined by the participant, identifies the participant's goals, preferences and needs, provides information about options, and engages the participant in monitoring and evaluating services and supports.
- Develop a Person-Centered Service Plan (PCSP), including revisions to the PCSP at the participant's initiation, or as changes in the participant's circumstances may warrant.
- Communication with the participant is a requirement and must be incorporated into the person-centered service plan.
- Make referrals to and coordinate with providers of services and supports, including non-Medicaid services and informal supports. This may include providing assistance with access to eligible programs or legal representation.
- Monitor MI Choice waiver services and other services and supports necessary for achievement of the participant's goals. Monitoring includes providing opportunities for the participant to evaluate the quality of services received and indicate whether those services achieved desired outcomes. This activity includes the participant and other key sources of information as determined by the participant.
- Provide social and emotional support to the participant and allies to facilitate life adjustments and reinforce the participant's sources of support. This may include arranging services to meet those needs.
- Provide advocacy to support the participant's access to benefits, assure the participant's rights as a program beneficiary, and support the participant's decisions.
- Maintain documentation of the above listed activities to ensure successful support of the participant, comply with Medicaid and other relevant policies, and meet the performance requirements delineated in the waiver agency's contract with MDHHS.

The functions of Supports Coordination are performed by Supports Coordinators, who are required to be either a Registered Nurse (RN) or Social Worker (SW), licensed in the State of Michigan.

References

[Medicaid Provider Manual MI Choice Waiver Approved 1915\(b\) Waiver Application](#)
[Approved 1915\(c\) Waiver Application](#)

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 - Updated Waiver services
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - No changes
- Revised 09/29/2023 – Internal Quality Committee Annual Review
 - Updated staff titles and teams
 - Updated references to contact attachments to reflect MI Medicaid Provider Manual.

	<h1 style="color: #C8513E;">Long-Term Care Programs Overview</h1>	
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This manual is designed to assist UPCAP Services, Inc.’s Supports Coordinators in carrying out the goals and objectives of the Upper Peninsula's Long-Term Care Programs. The following policies, procedures, appendices, and amendments are based on requirements set forth in contracts with the Bureau of Aging, Community Living and Supports (ACLS) and the Michigan Department of Health & Human Services (MDHHS).

MI Choice Waiver Program

The MI Choice Waiver Program is for those over the age of 65 or adults age 18 and older who are blind or disabled, and who meet the MI Medicaid Nursing Facility Level of Care Determination (NFLOCD) for long-term care services in a nursing home. Persons must also meet special Medicaid financial eligibility criteria, and want their long-term care services provided in their home or other independent community setting.

An initial screening by UPCAP’s trained 2-1-1 Call Center staff will help determine if a potential participant would be appropriate for a formal evaluation. [Refer to **Policy Number: 2022-05 – Referrals/Screening**] If the 2-1-1 Call Center screening indicates that an individual qualifies for a formal evaluation, an in-person meeting with UPCAP Supports Coordinators (Registered Nurse & Social Worker) will be scheduled. The Supports Coordinator will conduct the MI Medicaid Nursing Facility Level of Care Determination (NFLOCD) and discuss the special financial eligibility criteria needed in order to qualify for financial assistance through the Michigan Medicaid program.

Through a person-centered planning process, UPCAP’s Supports Coordinators will provide information on the in-home long-term care services that can help maintain or increase independence.

[Refer to **Policy Number: 2022-02 A - MI Choice Waiver Program Description** for a more comprehensive description]

Care Management

To be eligible for Care Management, a person must be over the age of sixty (60) and at risk of, but not necessarily in need of, a nursing facility level of care. An initial screening by UPCAP’s trained 2-1-1 Call Center staff will help determine if a potential participant would be appropriate for a formal evaluation. If the 2-1-1 Call Center screening indicates that an individual qualifies for a formal evaluation, an in-person meeting with UPCAP Supports Coordinators (Registered Nurse & Social Worker) will be scheduled. The Supports Coordinator will conduct the MI Medicaid Nursing Facility Level of Care Determination (NFLOCD). There are no financial requirements to participate in the Care Management Program.

Nursing Facility Transition

Nursing Homes play a vital role in Michigan’s Long-Term Care system, particularly in the area of rehabilitative services following hospitalization. Nursing homes are required to plan for the discharge of all individuals entering their facility.

Sometimes barriers get in the way and a short-term stay turns into something much longer. The longer a person stays in a nursing home, the more barriers arise to returning home. This is where UPCAP's Nursing Facility Transition Program is specifically designed to address and overcome all barriers in an effort to assist current nursing home residents to return to their home (or other community settings) where they will be able to receive their long-term care services.

While nursing homes provide extremely valuable long-term care services, many people currently residing in a nursing home might benefit from receiving their long-term care services at home or in a less restrictive setting. UPCAP Services, Inc. has trained staff to help nursing home residents and their families determine if returning to home is a realistic and appropriate option for them. If returning home is a possibility, UPCAP Services, Inc.'s Supports Coordinators have resources available to overcome the barriers currently keeping someone in a nursing home.

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 - Removed information regarding SAIL (no longer an available resource for transitions)

	<h1 style="color: #C85130;">Long-Term Care Program Staffing and Roles</h1>	
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Policy

UPCAP Long-Term Care (LTC) Programs consist of the MI Choice Waiver; Bureau of Aging, Community Living, and Supports (ACLS Bureau) Care Management Program; Veterans Directed Care Services and MI Health Link Waiver Program. Staffing needs are developed based on contractual agreements, population assessments, and industry standards. UPCAP employs licensed nurses and social workers for Supports Coordination roles which are of equal status organizationally. Each program maintains responsibilities as described in program contracts and job descriptions. In addition to Supports Coordinators (SC), there are fundamental roles that enhance the Long-Term Care Program Department. These roles include the Director of Long-Term Care Programs, Regional SC Supervisor, LTC Quality Improvement Manager, Field Office Team Leaders, Quality Assurance Specialists, Case Techs, Compliance Officer, and 211/Referral Specialists.

Staff Roles

UPCAP’s Long-Term Care Program staffing needs and staff roles are developed based on contractual agreements and requirements, population assessments, and industry standards. UPCAP identifies the number of staff required to perform the essential duties of each position based on the ongoing evaluation of regular business flow, ongoing communication with existing staff regarding their workloads and needs, feedback from upper management (Regional SC Supervisor, Field Office Team Leader), and ongoing review of the quality of work performed by staff for efficiency and effectiveness.

For those positions that have caseloads (Regional SC Supervisor, Field Office Team Leader, Supports Coordinator), reports are run on a monthly basis to review total numbers for each staff person. These are reviewed by the Director of Long-Term Care Programs, the Regional SC Supervisor, LTC Quality Improvement Manager, and Field Office Team Leaders. In addition to an ongoing review of caseload counts, the following factors are reviewed to determine staff to participant ratios:

- Full or Part-Time Status
- Regional Location and Counties served
- Priority Level of Participants (priority level is defined by the Michigan Department of Health and Human Services based on participant’s level of care needed)

Supervisory Roles:

Director of Long-Term Care Programs:

- Program development, maintenance, and evaluation
- Using the principles of person-centered planning; consults, evaluates and directs the Long-Term Care staff in all

work-related matters

- Ensure through training and evaluation that all staff are applying person-centered principles
- Contract Compliance / Quality Assurance
- Implementation of new policies and procedures to comply with operating and performance standards set by MDHHS. Revise policies and procedures as necessary
- Develop and maintain relations with funding sources, boards, providers, and other stakeholders
- Discuss issues of concern with CEO, offering ideas and suggestions for resolution
- Work closely with the Director of Finance to ensure long term care programs are fiscally sound and in compliance with financial standards
- Review and prepare all materials for appeal cases - represent UPCAP in all formal appeals hearings
- Supervise Long-Term Care staff
- Establish reasonable and measurable outcomes and benchmarks for the Long-Term Care Programs and staff
- Oversee the day-to-day operations of Case Tech responsibilities as it relates to data entry, billing and reporting
- Oversee claims and contract process
- Serve as Coordinator for Federal, State, NCQA, and any other such compliance/accreditation process
- Discuss cases with staff
- Attend/participate in meetings including, but not limited to, State Waiver Director's meeting and State Quality Management Collaborative
- Assess staffing levels and oversee hiring, orientation, training, and evaluation of staff
- Conduct regular staff meetings
- Participate in community-wide service provider coalitions to promote continuity of participant care
- Must maintain absolute confidentiality
- Travel to satellite UPCAP offices, other agencies, facilities, and regularly to Lansing as needed or requested
- Must practice Standard Precautions to ensure against the exposure to communicable disease and other potentially infectious material
- Ongoing quality communication and dialogue with all Long-Term Care staff and providers. It is not the responsibility of the Director of LTC Programs to communicate directly with outside clinicians (skilled therapies, physicians, discharge planners, etc.) as it relates to enrolled participants. All communication with an outside clinician regarding a participant should be handled by the Supports Coordinator; therefore, any communications received should be forwarded as appropriate

Regional SC Supervisor:

- Approval of all care plans (cost review) in accordance with approved protocols and guidelines. The Director of Long-Term Care Programs will approve care plans when the Regional Supervisor is unavailable or for Regional Supervisor themselves
- Conduct Supervisory reviews on all new participants enrolled in the MI-Choice Program
- Assist in interviews, hiring process and training of new Supports Coordinators
- Conduct weekly staff meetings to ensure that staff are fully informed and updated on all program requirements and changes. Review/monitor waitlist and oversee scheduling of assessments assuring that response and completion time is optimized
- Assist LTC Director with annual performance reviews, if requested
- Maintain a caseload
- Serve as a liaison between LTC Director and Supports Coordinators within office coverage area
- Although the Regional Supervisor will have no direct supervisory responsibilities for Supports Coordinators, the Regional Supervisor will serve as a back-up Supervisor when the Director of Long-Term Care Programs is not available. The Regional Supervisor will be required to communicate with Director of Long-Term Care Programs on any job performance issues identified through supervisory reviews, peer reviews or weekly meetings
- Attend monthly Team Leader calls
- Coordinate and assure intra-office per reviews are conducted as set forth in policy

- Coordinate service provider meetings and assure staff participation
- Participate in quarterly provider meetings (if time permits)
- Assist with annual Clinical Quality Assurance Reviews (CQAR) and Administrative Quality Assurance Reviews (AQAR).
- Ongoing quality communication and dialogue with all Long-Term Care staff and providers. When needed, assist Supports Coordinators in communicating with interagency non-clinical and clinical staff, usual care providers, outside clinicians to include, but not limited to skilled therapies, physicians, discharge planners and authorized representatives regarding Person Centered Service planning needs or risks identified during an assessment, monitoring contact or provider staff interactions.

Long-Term Care Program Quality Staff Roles

LTC Program Quality Improvement Manager:

- In conjunction with the Director of LTC Programs, Regional Supports Coordinator Supervisor, and other designated Supports Coordinator staff, analyze LTC Program policies and procedures on at least a bi-annual basis, or more frequently if needed. Identify areas of improvement and develop methods to implement and evaluate improvement changes.
- Edit, distribute, and track all changes made to LTC Programs' policies and procedures, program materials, and resources.
- Assist Waiver Director with leading bi-annual peer reviews and all-staff meetings.
- Prepare and maintain Quality Assurance and Program Improvement (QAPI) projects. Submit reports to MDHHS as required.
- Complete monthly quality and performance reports for LTC Program Staff. Analyze results and compile data related to individual staff performance.
- Assist Director of LTC Programs with bi-annual performance reviews of LTC Program Staff.
- Participate in regular meetings as determined by the Director of LTC Programs including, but not limited to: MDHHS Quality Focus Group, MDHHS Waiver Director Meetings, Mi Choice Waiver Quality Best Practices Meetings, MDHHS Stakeholder Meetings, UPCAP Provider Meetings, and Presumptive Eligibility Meetings.
- Attend State and Local UPCAP Mi Choice Quality Collaborative Meetings.
- Assist with annual Clinical Quality Assurance Reviews (CQAR) and Administrative Quality Assurance Reviews (AQAR).
- Conduct internal audits of participant files to assess ongoing issues of quality. Ensure completeness of assessments and reassessments for MI Choice Waiver participants and ensure eligibility requirements are met through the NFLOCD. Share results of audits with Director of LTC Programs and specified program staff.
- Develop and implement quarterly quality exercises for Supports Coordinators through Question Pro software. Review data and develop plan for trainings, if needed.
- Assist with the appeals and grievances process as part of UPCAP's Appeals and Grievances Committee.
- Organize and implement participant satisfaction surveys for Mi Choice Waiver Participants. Review and analyze responses. Assist the Waiver Director, Regional Supervisor, Team Leaders and/or Supports Coordinators with developing a plan to implement immediate interventions for the participant, if needed.
- Manage the NCQA Accreditation process, both initial accreditation as well as duties related to ongoing accreditation and subsequent reviews.
- Work with Team Leaders to address quality issues related to LTC Programs.
- Assist with new employee training and monitor for completion of new employee benchmarks
- Ongoing quality communication and dialogue with all Long-Term Care staff and providers. It is not the responsibility of the LTC Program Quality Manager to communicate directly with outside clinicians (skilled therapies, physicians, discharge planners, etc.) as it relates to enrolled participants. All communication with an outside clinician regarding a participant should be handled by the Supports Coordinator; therefore, any communications received should be forwarded as appropriate.

Quality Assurance Specialist:

- Monitor and improve the quality of long-term care services provided by or contracted through UPCAP
- Monitor service contractors for compliance to established federal, state, and local standards
- Ensure provider compliance with ACLS Bureau and MI Choice Waiver Standards and Definitions
- Monitor consumer case records to ensure LTC Program staff are in compliance with State and internal standards
- Interpret and articulate federal, state, and UPCAP Service Standards and Definitions to the Provider network
- Conduct Pre-Enrollment audits of all new entities wishing to become enrolled providers
- Conduct regular Quality Assurance monitoring of all UPCAP providers
- Develop monitoring / audit reports articulating provider strengths, weakness, and compliance issues, specifically identifying issues requiring formal corrective action plans by the contractor
- Conduct follow-up monitoring activities to ensure corrective action plans have been implemented
- Communicate with Supports Coordinators prior to and at the conclusion of each provider monitoring to determine areas of concern that may need investigation during the monitoring, and to provide feedback related to these investigations at the conclusion of the monitoring
- Conduct in-person, in-home visits with program participants to determine client satisfaction with service delivery and the quality of services delivered
- Provide technical assistance to enrolled provider agencies and agencies wishing to become enrolled providers in developing quality assurance and improvement programs
- Participate in quarterly service provider meetings
- Provide public education and informational presentations on aging and related issues
- Participate in Quality Assurance Committee meetings
- Advocate on behalf of the Organization, and senior and disabled individuals of the Upper Peninsula at all times.
- Ongoing quality communication and dialogue with all Long-Term Care staff and providers. It is not the responsibility of the Quality Assurance Specialists to communicate directly with outside clinicians (skilled therapies, physicians, discharge planners, etc.) as it relates to enrolled participants. All communication with an outside clinician regarding a participant should be handled by the Supports Coordinator; therefore, any communications received should be forwarded as appropriate

Supports Coordination Roles:

Licensed Social Worker and Registered Nurse:

- Requires current licensure within the State of Michigan – either in Social Work or Nursing.
- Determine initial and ongoing functional eligibility for the MI-Choice Waiver, Care Management (ACLS Bureau), and MI Health Link programs
- Utilize the MI Medicaid Nursing Facility Level of Care in determining functional program eligibility or appropriate programs
- Assist participants in the completion of the Medicaid application and timely submission to MDHHS for the purpose of determining initial and ongoing financial eligibility for the MI Choice Program
- Conduct comprehensive in-person participant assessment utilizing the iHC-RAI Assessment
- Develop Person Centered Service Plans (PCSP) with the participant independently and/or in conjunction with the opposite discipline, to meet the participant's needs and access appropriate, programs and resources for which they are eligible. Uses formal, information and community resources to fill all identified needs.
- Implement a PCSP that establishes the frequency and duration of service delivery to meet participant needs and desires
- Develop appropriate post assessment recommendations and PCSPs based on participant preferences and needs
- Refer and link those not eligible to appropriate service providers
- Monitor PCSP to ensure service delivery and implement adjustments, as determined through reassessment, regular monitoring, or participant preference

- Seek clinical consultation from supervisory staff as need to assure high quality PCSP
- Provide advocacy and arrange for care not available in the formal network (i.e. socialization, service needs) as specified on the participants PCSP
- Complete data entry requirements, and maintain electronic and paper file system
- Must maintain absolute confidentiality
- Must practice Standard Precautions to ensure against the exposure to communicable disease and other potentially infectious materials
- Travel to homes and facilities within assigned region, the Escanaba UPCAP office, and occasionally to Lansing
- Develop and maintain open channels of communication with all long-term care staff, participants and family/informal supports
- Communicate with interagency non-clinical and clinical staff, usual care providers, outside clinicians to include, but not limited to skilled therapies, physicians, discharge planners and authorized representatives regarding Person Centered Service planning needs or risks identified during an assessment, monitoring contact or provider staff interactions.
- Assist the Director LTC Programs, the LTC Quality Improvement Manager and Team Leaders in reporting, defining, and developing current and new programs by sharing insights gained through interactions with participants and service partners
- Actively participant in staff meetings, peer reviews, required trainings, and Clinical Quality Assurance Review activities
- Participant in community-wide service provider coalitions, as assigned, to promote continuity of participant care
- Maintain a full caseload.

Field Office Team Leader:

- Serve as the lead contact for their designated Field Office with UPCAP's Central Office and with the service provider network.
- Serve as the lead contact and focal point for the office for any and all issues that are not participant-specific that should be handled by a particular Supports Coordinator.
- Serve as a liaison between LTC Director and SC staff within office coverage area.
- Review and approve all participant care plans (cost reviews) in accordance with approved protocols and guidelines. The LTC Director and/or Regional SC Supervisor will approve care plans when a Team Leader is unavailable or for Team Leaders themselves. Team leader is responsible for sending the signed cost review on new participants to Regional SC Supervisor as a trigger to complete a Supervisory Review.
- Conduct weekly staff meetings to ensure that staff are fully informed and updated on all program requirements and changes. Review/monitor waitlist and oversee scheduling of assessments assuring that response and completion time is optimized.
- Coordinate service provider meetings and assure staff participation.
- Participate in quarterly provider meetings (if time permits).
- Attend monthly Team Leader calls
- Coordinate and ensure intra-office peer reviews are conducted as set forth in policy.
- Assist LTC Director, LTC Quality Improvement Manager, or Regional SC Supervisor with annual performance reviews, if requested
- Assist with interviews, hiring and training of new Supports Coordinators.
- Although the Team Leader will have no direct supervisory responsibilities for Supports Coordinators, the Team Leader will be required to communicate with LTC Director and Regional SC Supervisor on any job performance issues identified through peer reviews or weekly meetings.
- Maintain a full caseload.
- Ongoing quality communication and dialogue with all Long-Term Care staff and providers. When needed, assist Supports Coordinators in communicating with interagency non-clinical and clinical staff, usual care providers, outside clinicians to include, but not limited to skilled therapies, physicians, discharge planners and authorized representatives regarding Person Centered Service planning needs or risks identified during an assessment,

monitoring contact or provider staff interactions

Support Staff Roles:

Case Tech:

- Responsible for answering appropriate field office incoming calls, greeting callers, establishing reason for calling, and resolving issues by contacting appropriate individuals that can assist the caller
- Responsible for daily review and distribution of incoming faxes and mail to appropriate staff members
- Assemble participant informational folders and assessment packets for Supports Coordinators to utilize during initial assessments.
- Responsible for receiving referrals from 2-1-1, distributing to appropriate Supports Coordinators, entering referral in database, maintain and update waitlist data
- Schedule assessments for initial assessment based on priority on waitlist, update office calendar with assessments. (Differs between field offices)
- Mailing of assessment confirmation letters to participants
- Responsible for the monthly review and approval of provider invoices/billing as assigned
- Address and resolve issues related to billing by communicating and coordinating with Supports Coordinators. Submit accurate reports of billing data to the Administrative office for processing.
- Oversee day-to-day operations of data systems (Compass, CHAMPS, Vendor View, etc.) as it pertains to appropriate field office(s), including data entry of participant information (new episode) in Compass, work orders, work order changes, provider service logs, case status changes, Level of Care Determinations and progress notes (specific to your contacts)
- Assist central office with routine reviews of database systems to maintain data integrity for overall accuracy, completeness, consistency of data and conducting maintenance and/or data clean-up activities as requested
- Maintain and organize participant files after closure
- Provide administrative support to Supports Coordinators, as time permits
- Generate monthly reports as required or requested (reassessment schedules, etc.)
- Organize office activities that may consist of, but are not limited to, maintaining petty cash, ordering of office supplies, update and maintain postage machine
- Must maintain absolute confidentiality
- Assist the Director of LTC Programs in reporting, defining, and developing current and new programs by sharing insights gained through interactions with participants and service partners
- Actively participate in monthly Case Tech meetings, staff meetings, peer reviews, required trainings and continuous quality improvement activities.
- Ongoing quality communication and dialogue with all Long-Term Care staff and providers. It is not the responsibility of the Case Tech to communicate directly with outside clinicians (skilled therapies, physicians, discharge planners, etc.) as it relates to enrolled participants. All communication with an outside clinician regarding a participant should be handled by the Supports Coordinator; therefore, any communications received should be forwarded as appropriate

Compliance Manager:

- Ability to understand the missions, goals, and needs of the program and organization
- Oversee and monitor the implementation of UPCAP's compliance program as a contractual requirement between MDHHS-OIG and a MI Choice Waiver agent.
- Develop and coordinate multifaceted educational and training programs focusing on HIPAA, Medicaid fraud, waste and abuse and cybersecurity along with all elements of the compliance program.
- Coordinate internal compliance review and auditing/monitoring activities to identify compliance risks.

- Prepare and share internal Compliance/Fraud report with the President/CEO, Compliance Committee and Governing Board.
- Develop policies and programs that encourage employees to report suspected compliance issues, fraud and other improprieties without fear of retaliation.
- Develop and maintain procedures and systems for prompt responses to compliance issues.
- Check all employees and providers against OIG, SAM, LARA and MDHHS Sanctioned Provider List.
- Attend seminars, participate in web-based training and attend quarterly meetings at the OIG office for ongoing training related to Medicaid fraud, waste and abuse
- Provide technical assistance, as it relates to Compliance, to contracted providers
- Coordinate efforts of the Special Investigations Unit when fraud, waste or abuse issues are identified and require follow-up.
- Ongoing quality communication and dialogue with all Long-Term Care staff and providers. It is not the responsibility of the Compliance Manager to communicate directly with outside clinicians (skilled therapies, physicians, discharge planners, etc.) as it relates to enrolled participants. All communication with an outside clinician regarding a participant should be handled by the Supports Coordinator; therefore, any communications received should be forwarded as appropriate
- Serves as ACA Section 1157 Coordinator

Long-Term Care Programs Quality Improvement Specialist

- In conjunction with the Director of LTC Programs, Regional Supports Coordinator Supervisor, and other designated Supports Coordinator staff, analyze LTC Program policies and procedures on at least a bi-annual basis, or more frequently if needed. Identify areas of improvement and develop methods to implement and evaluate improvement changes.
- Assist with preparing the Quality Assurance and Program Improvement (QAPI) projects. Submit reports to MDHHS as required.
- Complete monthly clinical quality assurance chart reviews on participant files
- Participate in regular meetings as determined by the Director of LTC Programs including, but not limited to: MDHHS Quality Focus Group, Mi Choice Waiver Quality Best Practices Meetings, and Internal Quality Committee Meetings
- Attend State and Local UPCAP Mi Choice Quality Collaborative Meetings.
- Assist with annual Clinical Quality Assurance Reviews (CQAR) and Administrative Quality Assurance Reviews (AQAR).
- Assist with preparing for NCQA Re-accreditation
- Assist with Participant Satisfaction Surveys, as needed.

Enrollment Specialist:


- Run eligibility reports weekly to monitor accuracy of enrollment data
- Enter all Waiver Enrollments and Dis-enrollments into CHAMPS. Notify MDHHS of all changes to participant enrollment status
- Track participants and assist with data entry for the Presumptive Eligibility Program.
- Submit service requests to the State as needed for accurate data. Maintain record and track status of ongoing issues
- Maintain knowledge of current Medicaid Policies and assist Supports Coordinators with Medicaid issues as needed.
- Ongoing quality communication and dialogue with all Long-Term Care staff and providers. It is not the responsibility of the Enrollment Specialist to communicate directly with outside clinicians (skilled therapies, physicians, discharge planners, etc.) as it relates to enrolled participants. All communication with an outside clinician regarding a participant should be handled by the Supports Coordinator; therefore, any communications received should be forwarded as appropriate

211/Referral Specialist:

- Completes intake referral form and MDHHS Intake Guidelines for Long-Term Care referrals.
 - Maintain current information on available community resources and ensure that other resources are aware of the function of the U.P. 2-1-1 Call Center in order to maximize the effectiveness of the program in meeting client needs
 - Develop knowledge about agencies and organizations through participation in community events, site visits, and review of print literature and telephone interviews
 - Maintain accurate records of inquirer information and referrals given on each call
 - Demonstrate and maintain proficiency in effectively using the Call Center database software, computer hardware and telephone equipment
 - Prioritize and balance multiple calls, follow logical process for problem identification, provide clear and understandable explanations to inquirer
 - Share responsibility for the ongoing maintenance and expansion of accurate and current resource information
 - Actively participate in U.P. 2-1-1 Call Center committees, teams or task forces, as needed.
 - Demonstrate Call Center Competency by following the list on Attachment A
 - Maintain CIRS certification as specified by AIRS
 - Maintain Benjamin Rose Institute (BRI) Consultation Certification by participating in required trainings
 - Serve as a mentor for newly hired call center staff, interns, and volunteers
 - Ongoing quality communication and dialogue with all Long-Term Care staff and providers. It is not the responsibility of the 211/Referral Specialists to communicate directly with outside clinicians (skilled therapies, physicians, discharge planners, etc.) as it relates to enrolled participants. All communication with an outside clinician regarding a participant should be handled by the Supports Coordinator; therefore, any communications received should be forwarded as appropriate.
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Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - Added LTC Quality Improvement Specialist position
 - Added task to Compliance Manager
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - No changes
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - Clarifying language added regarding clinician involvement for each staff role
- Revised 09/29/2023 – Internal Quality Committee Annual Review
 - No changes


	<h1 style="color: #E67E22;">Outreach and Promotion</h1>		
Policy Number: 2022-03	Effective Date: 05/01/2022	Review Date(s): 09/10/2024; 04/23/2024; 03/18/2024; 09/29/2023; 10/06/2022	
Reviewed by: Internal Quality Committee	Approved by: <i>Theresa LaFave</i>		Category: SC

UPCAP will develop materials and organize outreach activities to promote the Care Management (CM) and the MI Choice Waiver programs throughout the Upper Peninsula. The outreach and promotion activities will target appropriate populations and referral sources. All Long-Term Care Programs staff are expected to participate in on-going outreach activities. Supports Coordinators are expected to participate in community collaborative care meetings with other health care entities (hospitals, providers, etc) specific to their local area, as their schedule allows.

The goal of promoting the Care Management and MI Choice Waiver programs is to generate inquiries and referrals for individuals interested in program services. MDHHS, ALCS Bureau, other funding and/or contractual sources, and copyrighted materials will be acknowledged in all printed, social media, web sites, video, and audio promotion.

Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - No changes
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - No changes
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - No changes
- Revised 09/29/2023 – Internal Quality Committee Annual Review
 - No changes

 <h1 style="color: #E67E22; text-align: center;">Supports Coordination</h1>		
Policy Number: 2022-04	Effective Date: 05/01/2022	Review Date(s): 09/10/2024; 04/23/2024 03/18/2024; 09/29/2023; 10/06/2022
Reviewed by: Internal Quality Committee	Approved by: <i>Theresa LaFave</i>	Category: SC

Scope

Supports Coordination is the method that facilitates access to and arrangement of services and other forms of support needed and wanted by MI Choice and Care Management participants. Supports Coordinators work with participants to determine how and who will meet the participant’s long-term services and support needs. Supports Coordinators assist participants in arranging for services and supports and monitor the quality of services received. Supports Coordination includes valuing the cultural backgrounds of participants in the decision-making process.

Policy/Definition

Supports Coordination is a service designed to inform, assist, and coordinate a variety of home and other community-based services needed by elderly and other adults with disabilities aged 18 years and older who meet the NFLOCD. Supports Coordinators utilize all available services and supports before authorizing appropriate MI Choice services while assisting the participant in planning interventions. Supports Coordinators work in partnership with participants to determine the interventions that will promote the participant’s goals and facilitate the achievement of desired outcomes while addressing the participant’s service and support needs.

Supports Coordinators assure a person-focused, self-determination approach to the participants' services and supports. Supports Coordinators arrange formal services based upon participant choice and approval. The participant and their Supports Coordinators explore other funding options and intervention opportunities when personal goals expand beyond meeting basic needs.

Supports Coordination must be provided by a licensed RN and licensed SW.

Supports Coordination Service Functions:

Assessment

The InterRAI-HC Assessment System (iHC), consisting of the iHC and Clinical Assessment Protocols (CAPS), is the basis for the MI Choice Assessment. Supports Coordinators perform a comprehensive evaluation including assessment of the individual’s: unique preferences; physical, social, and emotional functioning; medication; physical environment; natural supports; and financial status. The Supports Coordinator must fully engage the participant in the interview to the extent of the participant’s abilities and tolerance.

Specific iHC items identify applicants who could benefit from further evaluation of particular problems and risks for functional decline. These items, called “triggers,” link the iHC to a series of problem-oriented CAPs. The CAPs are procedures that guide the Supports Coordinators through further assessment and individualized service and support planning with participants.

Person Centered Service Plan Development

Supports Coordinators work with participants to plan interventions from both allies and community resources that will meet each participant's identified needs. A written person-centered service plan (PCSP) documents the issues, concerns, conditions, and specific supports and interventions needed. The Supports Coordinator bases the PCSP upon participant preferences and needs identified during a person-centered planning (PCP) assessment process.

Service Access

Supports Coordinators arrange and/or purchase in-home health and social services and supports established in the approved PCSP. Supports Coordinators provide education of participant options in receiving services and supports.

Follow-Up and Monitoring

Supports Coordinators contact participants to ensure that responsible parties implement plans of service as written and according to participant preferences.

Reassessment

On a periodic basis, Supports Coordinators conduct a standardized in-person reexamination of the participant's needs, strengths, and preferences, using the iHC.

Social Emotional Support

Supports Coordinators provide support to participants and their allies to facilitate life adjustments and reinforce the participant's circle of support. Supports Coordinators also conduct case conferencing as determined necessary and approved by the participant.

Advocacy

Supports Coordinators provide support to ensure participants and their families receive benefits and services they need and to which they are entitled. Supports Coordinators also provide assistance with accessing Medicare, Medicaid, and other third-party benefits and services.

References

[Medicaid Provider Manual - MI Choice Waiver](#)

Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - No changes
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - No changes
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - No changes
- Revised 09/29/2023 – Internal Quality Committee Annual Review
 - No changes



Cultural and Linguistic Needs

Policy Number: 2022-04-01	Effective Date: 09/01/2023	Review Date(s): 09/10/2024; 04/23/2024; 03/18/2024; 09/29/2023
Reviewed by: Internal Quality Committee	Approved by: <i>Theresa LaFave</i>	Category: SC & Case Tech

Scope

Cultural and linguistically diverse participants are individuals who come from a home environment where a language other than English is spoken and whose cultural values differ from mainstream culture. UPCAP Services, Inc., addresses cultural and linguistically diverse participants by being respectful and responsible to individual cultural health beliefs, practices, preferred languages, health literacy levels and communication needs.

Policy

UPCAP Services, Inc. will take reasonable steps to ensure that cultural and linguistically diverse participants have meaningful access and an equal opportunity to participate in our services, activities and programs. The policy of UPCAP Services, Inc. is to ensure meaningful communication with participants and their authorized representatives involving their services. The policy also provides for communication of information contained in vital documents. All interpreters, translators and other aids needed to comply with this policy shall be provided without cost to the person being served, and participant's and their families will be informed of the availability of such assistance free of charge.

Procedures

Tele-Interpreter Services

Through a contract with UPCAP Services, Inc., Tele-Interpreter Services have agreed to provide qualified interpreter services in over 150 languages. To utilize tele-interpreter services simply dial 1-800-822-5552 (passcode: 11415) and provide the agent with the language requested.

Some participants may prefer or request to use a family member or friend as an interpreter. However, family members or friends of the individual will not be used as interpreters unless specifically requested by that individual and after the individual has understood that an offer of an alternative interpreter service, at no charge to the person, has been made. Such an offer and the response shall be documented in progress notes. If the individual chooses to use a family member or friend as an interpreter, issues of competency of interpretation, confidentiality, privacy, and conflict of interest will be considered. If the family member or friend is not competent or appropriate for any of these reasons, competent interpreter services will be provided to the individual.

Children and other participants will not be used to interpret, in order to ensure confidentiality of information and accurate communication.

Written Translation

When translation of vital documents is needed, contact the Director of Long-Term Care Programs or the Long-Term Care

Quality Improvement Manager for assistance. UPCAP Services, Inc. will either utilize google translation to convert the document to the appropriate language or utilize a contracted entity to produce translated documents.

Deaf/Hard of Hearing

Hearing loss and deafness can cause communication barriers, ultimately leading to adverse consequences for participant's health and well-being. Identifying a participant's varied needs is vital for effective communication. Supports Coordinators can utilize or purchase assistive technology devices to effectively address communication barriers for participants.

UPCAP Services, Inc. utilizes the Michigan Relay Service to effectively communicate with participants who are deaf or hearing impaired. Users may reach Michigan Relay by dialing 7-1-1. When you connect with Michigan Relay, a Communication Assistant will connect on the phone with you. Simply give the Communication Assistant the number you wish to call and your call will be processed promptly, professionally and accurately.

Monitoring Language Needs and implementation

On an ongoing basis, UPCAP Services, Inc. will assess changes in demographics, types of services or other needs that may require reevaluation of this policy and its procedures. In addition, UPCAP Services, Inc. will regularly assess the efficacy of these procedures, including but not limited to mechanisms for securing interpreter services, equipment used for the delivery of language assistance, complaints filed by individuals and feedback from participants.


Health Literacy

Health Literacy refers to the ability to obtain, read, understand, and use healthcare information in order to make appropriate health decisions and follow instructions for treatment. In order to identify participants who may have low health literacy, the BRIEF Health Literacy Screening Tool is administered to each MI Choice Waiver and ACLS Care Management Participant during their initial assessment. This tool assists the Supports Coordinator in identifying participants that may need additional assistance with interpreting health care information. Once identified, Supports Coordinators may employ multiple strategies to improve the participant's health literacy – i.e. additional resources regarding diagnosis or treatment; participant education via handouts; visual aids; nursing services; etc. Additionally, Supports Coordinators should ensure that all written and visual materials provided to participants are presented in a way that is easy to read and understand. Follow-up with Participants should include confirmation of participant understanding.

For specific guidance regarding utilization of the BRIEF Health Literacy Screening Tool, refer to the [COMPASS Assessment Guide](#).

Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - No changes
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - No changes
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - No changes
- Revised 09/29/2023 – Internal Quality Committee Annual Review
 - Added information re: BRIEF Health Literacy Tool

		<h1 style="color: #E67E22;">Referrals/Screening</h1>	
Policy Number: 2022-05	Effective Date: 05/01/2022	Review Date(s): 09/10/2024; 04/23/2024; 03/18/2024; 09/29/2023 08/04/2023; 10/06/2022	
Reviewed by: Internal Quality Committee	Approved by: <i>Theresa LaFave</i>	Category: SC, Case Tech	

Scope

The Michigan Department of Health and Human Services (MDHHS) and the Bureau of Aging, Community Living, and Supports (ACLS Bureau) contracts with UPCAP Services, Inc. to screen individuals residing in the Upper Peninsula for services who are referred to any of UPCAP’s Long-Term Care Programs. A referral represents any request for participation in either UPCAP’s Care Management or MI Choice Waiver Programs. Referrals are screened utilizing the Michigan Intake Guide (MIG) in Compass.

Policy

It is the policy of UPCAP Services, Inc. to route all Information & Referral/Assistance calls through the U.P. 2-1-1 Call Center (2-1-1) by simply dialing 2-1-1 or 1-800-338-1119. Agencies and individuals must call 2-1-1 to make a referral. Referral-type calls received at any one of UPCAP’s field office locations should be directed to contact 2-1-1. All individuals requesting enrollment into UPCAP’s MI Choice Waiver or Care Management Programs are required to have the intake/referral form and Michigan Intake Guide (MIG) completed. All screens should be conducted within two (2) business days of the referral.

For callers who are only calling for information on programs or services in their area, the 2-1-1 Call Specialists are trained on asking probing questions to determine if the caller would benefit from a referral. The 2-1-1 staff are trained on utilizing and completing the [Intake/Referral Form](#) and the [MDHHS Intake Guidelines \(MIG\)](#). Screening should be conducted with the individual wishing to receive services, but may be conducted with a caregiver, referral source or third party if the individual is aware of the referral and is not capable of answering the questions or talking on the phone. If the referral is from someone other than the potential participant, they are asked if the participant is aware the referral is being made. If it is determined the participant is not aware, the caller must make them aware and receive their permission to make the referral before the intake/referral form and the MIG can be completed.

The screening process identifies the individual’s abilities and needs in performing activities of daily living, basic financial information, health, social and emotional needs as well as demographic data.

The Intake/Referral form captures the caller/referral demographic information, participant demographic information, nursing facility/hospital information (if applicable), type of insurance, Veteran status, income ranges, services currently in place, living arrangements and the referrals made, if any. There are four types of referrals that come into the U.P. 2-1-1 Call Center:

- Community Referrals
 - Option Counseling for those who do not score eligible on MIG
- Nursing Home Referrals
- MDS Section Q Referrals
- Options Counseling

Community Referrals

Once the intake/referral form and the MIG are completed and a score is determined the caller is notified of the results. For those scoring a C, D or E the referral is given to the U.P. 2-1-1 Call Center Manager to add to the Compass waitlist and the internal excel waitlist, assign priority status and then forward to the appropriate field office for assignment by the Case Tech. All new community referrals must be contacted by either a Supports Coordinator or Case Tech within three (3) business days of the referral being received by the appropriate field office.

For those individuals that score an A or B on the MIG the call specialists offer options counseling by a Supports Coordinator. See Options Counseling Referrals below.

Nursing Home Referrals

Nursing home referrals (non MDS-Section Q) will be put on the waitlist and assigned a priority ranking by the U.P. 2-1-1 Call Center Manager. The referral will then be sent to the appropriate field office for distribution by the Case Tech.

Once received by the Case Tech, they should immediately open an episode in Compass and enter a program status of "NFT" with the intake date as the start date.

A member of a team (RN or SW) should contact the participant within three (3) business days of referral and set up a time to meet with them in person or telephonically. Supports Coordinators are **not** required to do an assessment or NFLOCD at this time and it is not required that a "team" go out for the first visit.

Scenario 1

If at the time of the visit the Supports Coordinator feels the participant would be eligible for the MI Choice Waiver or Care Management program, the individual has **no barriers** and will be discharging within the next six months, the participant can be opened on the date of the visit, and a status form with the care setting and SC initials completed. The open status and financial status can be left blank until the date of discharge. Once the individual has a planned discharge date, the Supports Coordinator should schedule the assessment & NFLOCD to be completed at the nursing facility, if it will be prior to discharge (no sooner than 14 days prior to discharge) or at the participants home if on the day of discharge. A participant's assessment and NFLOCD and FOC must be completed prior to or on the day of discharge if they will be classified as WA-P. The NFLOCD should not be entered into CHAMPS until the day of discharge. The participant can be classified appropriately (WA-P, CM, LCM1, etc.) on the day of discharge.

Scenario 2

If at the time of the visit the Supports Coordinator feels the participant would be eligible for the MI Choice Waiver or Care Management, **has barriers** and will be discharging within the next six months, the participant can be opened on the date of the visit, and a status form with the care setting and SC initials completed.

The Supports Coordinator should contact the Director of Long- term Care Services to review the barriers and costs associated with them. Depending on the need and expenditures, approval for purchasing or assisting in costs associated with the transition (housing, home modifications, etc.) will be needed by the Executive Director. Any purchases made for a participant prior to transition will be paid utilizing 221 funds and the appropriate transition service code (T2038). Anything related to transition that is purchased **after** the transition will be paid utilizing the appropriate funds for their classification as long as it is a listed waiver service (non-transition).

Once the individual has a planned discharge date, the Supports Coordinators should schedule the assessment & NFLOCD be completed at the nursing facility if it will be prior to discharge (no sooner than 14 days prior to discharge) or at the participants home if on the day of discharge. A participant's assessment and NFLOCD must be completed prior to or on the day of discharge if they will be classified as WA-P. The NFLOCD should not be entered into CHAMPS until the day of

discharge. The participant can be classified appropriately (WA-P, CM, LCM1, etc.) on the day of discharge.

Scenario 3

If at the time of the visit the Supports Coordinator feels the participant would not be eligible for the MI Choice Waiver or Care Management program, or will not be discharging within the next six months, the case may be closed following normal procedures. For those that will not be discharging within the next six months, educate them on making another referral when they have a planned discharge date. For those that will not be eligible for MI Choice Waiver or Care Management program referrals can be made to the appropriate agencies for services.

MDS Section Q Referrals

On October 1, 2010 Michigan Medicaid MI Choice Waiver Agencies were designated as the Local Contact Agency (LCA) for individuals who wish to return to a home setting from a nursing facility, county medical care facility, hospital long-term care units, hospital swing beds, and ventilator dependent care units.

The LCA's role is to contact residents referred to them by nursing facilities through the Section Q process, to provide the resident timely information about choices of services and supports available in the community, and to make an appropriate referral to support transition to community living if the resident so chooses after learning about options. UPCAP Services, Inc. is considered the LCA.

The nursing facility is required to contact the LCA within 10 business days of a resident's "yes" response to Q0500A from Section Q on the MDS 3.0. The LCA must record the referral date, nursing facility name, the nursing facility contact name and phone number, and the resident's name and phone number.

The LCA then has three business days to contact the resident by phone to schedule an initial face-to-face visit. Unless the resident reconsiders interest in learning about community options, the LCA must complete the initial face-to-face visit within 10 business days of the referral date.

MDS Section Q referrals are added to the waitlist by the U.P. 2-1-1 Call Center Manager and then sent to the appropriate field office for distribution by the Case Tech. Once received by the Case Tech, they should immediately open an episode in Compass and enter a program status of "Case Coordination" with the intake date as the start date.

MDS Section Q referrals are not treated the same way as Care Management, MI Choice Waiver, VA or UPHP-C Waivers. MDS Section Q referrals should be distributed on either a rotating basis amongst staff separately from other referrals or taken voluntarily by a Supports Coordinator, whichever works best for the office.

1. The initial phone contact must be made within **three business days** of the referral date. The phone contact is to determine if the individual is interested in moving forward with the referral and to determine if the individual has any barriers that need to be addressed in order to return home.
2. If upon receipt of the referral it is determined the individual is enrolled in the MI Health Link program (UPHP), the Case Tech should make a note on the MDS Section Q referral form of such and submit to the 2-1-1 Call Center Manager and close the episode in Compass (Chose MI Health Link). The referral should then be sent to the Director of LTC Programs so it can be submitted to UPHP.
3. If the individual is not interested in moving forward with the referral or refuses a visit (face-to-face or telephonically) a status should be completed to close the referral, the MDS-Section Q form completed and submitted to the 2-1-1 Call Center Manager.
4. If a visit (face-to-face or telephonically) is requested, it must be completed within **ten business** days of the referral date. It will be at this visit that the Supports Coordinator will provide the resident with information about choices of services and supports available in the community. You are **not** required to do an assessment or NLOCD at this time and it is not required that a "team" go out for the first visit.
5. If at the time of the visit the Supports Coordinator feels the resident would be eligible for the MI Choice Waiver

or Care Management program, the waitlist should be updated to change **MDS** to **NFT**. The status form should also be updated to reflect the NFT status using the face-to-face or telephonic visit date, the care setting status and the SC initials. At this time the referral would be given a priority status and assigned like a regular referral following the Nursing Home referral guidelines. The MDS Section Q referral form should be completed and sent back to the U.P. 2-1-1 Call Center Manager.

6. If the individual doesn't appear they will be eligible for the MI Choice Waiver or Care Management program, a referral can be made to appropriate agencies for services, a closure status completed and submitted to the Case Tech and the MDS Section Q referral form should be completed and sent to the U.P. 2-1-1 Call Center Manager.
7. Chart all contacts in COMPASS

Options Counseling

Individuals who request enrollment into the MI Choice Waiver or Care Management Programs, but do not score eligible on the MIG for an assessment are offered options counseling. This will give individuals the opportunity to discuss their needs via phone with a Supports Coordinator. If an in-home visit is requested after the initial phone contact the Supports Coordinator will meet with the individual. The Supports Coordinator may complete a NFLOCD to determine medical eligibility.

OC referrals are added to the internal excel waitlist by the U.P. 2-1-1 Call Center Manager and then sent to the appropriate field office for distribution by the Case Tech. Once received by the Case Tech, they should immediately open an episode in Compass and enter a program status of "AAA/Options Counseling" with the intake date as the start date. Once an OC referral is assigned, the Case Tech should put the Supports Coordinators name on the internal excel waitlist where the OC referrals are located.

Options Counseling referrals are not treated the same way as MI Choice Waiver, Care Management, VA or UPHP-C Waivers. OC referrals should be distributed on either a rotating basis amongst staff separately from other referrals or taken voluntarily by a Supports Coordinator, whichever way works best for the office.

1. The Supports Coordinator assigned must contact the individual within 5 business days of receiving the referral.
2. If a Supports Coordinator is unable to contact the individual, then contact the alternate contact (if listed) or the referral source.
3. Review prescreen questions/answers and ask if there is any other information to share regarding the individuals ADL's/IADL's.
4. If after the review of the screen and obtaining more information about their needs, if the individual sounds like they could potentially qualify for Care Management or MI Choice Waiver you can do a mock NFLOCD. If you feel the individual would score eligible on a NFLOCD you can ask the Case Tech to have the individual added to the Compass waitlist with the appropriate priority ranking. Explain to the individual, alternate contact or referral source that they will be added to the waitlist and someone will contact them within the next week to set up an assessment.
5. If after the review of the screen you feel the individual **would not** score eligible on a NFLOCD you can review their needs and provide them with resources to meet those needs (grant, meals on wheels, etc.). There are times 211 has already provided the individual with additional resources, if so they will be listed on the referral form. You can review those with the individual as well.
6. If no further assistance is needed you will need to notify the Case Tech utilizing a status form, that the case can be closed. The Case Tech will update the internal excel waitlist. Once notified of the outcome, the U.P. 2-1-1 Call Center Manager will send the individual an adequate action notice.
7. Chart all contacts Compass.

Not Eligible

For those individuals that a referral was received, but were found not eligible on the MIG for the MI Choice Waiver and with an income at or below the current years guidelines and refused Options Counseling, an Adequate Action Notice is mailed by the U.P. 2-1-1 Call Center Manager reporting the results of the MIG (Screen) and the individual's right to request a fair hearing.

Follow Up

Any referrals for which a NFLOCD is completed, that were made by someone other than the potential participant, Supports Coordinators are required to follow-up and provide the referral source with the outcome of the referral by utilizing the [post assessment referral letter](#).

For any referrals that a NFLOCD was **not** completed (refused, canceled, unable to reach, etc.) the Supports Coordinator must complete a [COMPASS status form](#), submitting it to the appropriate Case Tech. Once the Case Tech receives and enters the compass status form in Compass it will be their responsibility to notify the referral source (phone call or letter) on the outcome of the referral.


Any actions taken by either the Supports Coordinator or Case Tech (phone or letters) are required to be documented in Compass.

References

[Medicaid Provider Manual - MI Choice Waiver](#)
[MDHHS Intake Guidelines \(MIG\)](#)

Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - No changes
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - No changes
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - No changes
- Reviewed 09/29/2023 – Internal Quality Committee Annual Review
 - Modified instruction due to change in transition services provider (SAIL)
- Reviewed 08/04/2023 – Director of LTC Programs (TL) & LTC Quality Improvement Manager (EB)
 - Added language re: timelines for Community Referrals

	<h1 style="color: #E67E22;">Scheduling Initial Assessments</h1>	
Policy Number: 2022-05-1	Effective Date: 05/01/2022	Review Date(s): 09/10/2024; 04/23/2024; 03/18/2024; 09/29/2023; 10/06/2022
Reviewed by: Internal Quality Committee	Approved by: <i>Theresa LaFave</i>	Category: SC, Case Tech

Policy

Referrals for initial assessments are to be assigned to staff on a rotating basis, taking into consideration the participant’s availability and/or the availability of the RN and SW Supports Coordinators. Teams are expected to participate in a minimum of two (2) initial assessments per week. This includes initial assessments for the Veterans Program and MI Health Link.

Initial assessments are to be scheduled by priority ranking and the order in which they were received as indicated on the wait list. All field offices are required to meet weekly to review current referrals and Supports Coordinator schedules. Depending on the individual procedures for each field office, the Case Tech or the Supports Coordinator will schedule the assessments with participants.

Initial assessments are typically scheduled on Tuesdays or Thursdays. However, in applying the principles of person-centered planning, preferences and considerations of the Participant and their responsible parties **must** come first. Supports Coordinators may have to conduct initial assessments on days other than Tuesdays or Thursdays, **and** at times outside of traditional work hours.

The Regional SC Supervisor or Director of LTC Programs shall be notified in the event a Participant or responsible party requires that an assessment be scheduled outside of normal business hours. Staff will be permitted to alter their normal work schedules to compensate for meeting Participant/Responsible Party considerations. Changes in work schedules must be noted as approved by the Regional SC Supervisor or Director of LTC Programs on the Supports Coordinator timesheet.


If a scheduled assessment is canceled or rescheduled, the Supports Coordinator is responsible for either scheduling another assessment in its place or informing the Case Tech for their office to schedule another assessment. Once scheduling has been completed, the Case Tech or the Supports Coordinator (depending on individual field office procedures) shall send a confirmation letter to the Participant or responsible party, which includes the following:

- The agreed upon date and time for the assessment
- A list of all items which will be needed by the Supports Coordinator during the assessment process
- Information about the Self-Determination Program option and Person-Centered Planning

Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - No changes

- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - No changes
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - No changes
- Revised 09/29/2023 – Internal Quality Committee Annual Review
 - No changes

		<h1 style="color: #E67E22;">Waiting Lists</h1>	
Policy Number: 2022-06		Effective Date: 05/01/2022	Review Date(s): 09/10/2024; 04/23/2024; 03/18/2024; 09/29/2023; 10/06/2022
Reviewed by: Internal Quality Committee		Approved by: <i>Theresa LaFave</i>	Category: SC

Scope

The ACLS Bureau and MDHHS require the maintenance of waiting lists. This policy applies to all participants in the fifteen (15) counties of the Upper Peninsula who have been determined as presumptively eligible for the MI Choice Waiver Program or the Care Management Program.

Policy

Participants, who are determined presumptively eligible for the MI Choice Waiver Program or the Care Management Program, through use of the MI Choice Intake Guideline (MIG) or the Nursing Facility Level of Care Determination are placed on the waiting list. Individuals are placed on the waiting list in the order in which they apply, according to the waiting list priority categories as long as the waiver agency has an available slot for an enrollment. The waitlist list portal in Compass provides UPCAP Services, Inc. and MDHHS with real time access to information regarding persons waiting for a MI Choice enrollment.

Procedures

All individuals screened, who qualify for an assessment, and are requesting enrollment into the MI Choice Waiver Program, regardless of whether or not an assessment will be conducted, must be placed on the Compass Wait List. All referrals are processed through the U.P. 2-1-1 Call Center. The U.P. 2-1-1 Call Center staff conduct the MIG and forward results to the U.P. 2-1-1 Call Center Manager. The U.P. 2-1-1 Call Center Manager reviews all referrals, assigns the priority ranking for each referral, adds the information to the Compass Wait List and forwards the referral to the appropriate field office. All referrals will be “assumed” to be financially eligible for the MI Choice Waiver until determined otherwise through a formal assessment.

Supports Coordinators are responsible for notifying the Case Tech assigned to their field office on the status of individuals on the waitlist as changes occur or at weekly staff meetings. The Case Tech is responsible for sending updates to the U.P. 2-1-1 Call Center Manager, who will then update the Compass Wait List.

Priority Rankings

- Priority 1 – Individuals transitioning from a Medicaid (MA) children’s program who have on-going need for Private Duty Nursing.
- Priority 2 – Individuals currently in a nursing facility.
- Priority 3 – Referrals from Adult Protective Services or an imminent risk of nursing facility placement.
- Priority 4 – Other community-based referrals that do not fit into any of the previous priority groups.

Follow-Up

All individuals on the waiting list must be contacted no less frequently than once a month to determine continued interest in accessing the MI Choice Program and to determine whether additional referrals may be necessary to assist the individual until such time as an assessment and program enrollment can be provided. The Case Tech or the Supports Coordinator (depending on individual field office procedures) shall be responsible for these contacts and for documenting the results of the contacts in COMPASS.

Removal from the Waitlist

Individuals can be removed from the Compass Wait List for the following reasons:

- Death
- Priority Change – still waiting
- NH Placement – no longer waiting
- Enrolled in Waiver
- Enrolled in AASA/CM program
- Enrolled in DHHS Home Help Program
- Enrolled in LCM program
- Chose MI Health Link
- Enrolled in another program
- Appropriate housing not available
- Moved, not transferred to another agent
- Moved, transferred to another agent
- Does not require Waiver service
- Not financially eligible
- Not medically eligible
- Unable to locate/contact
- Applicant choice
- Other
- Not financially or medically eligible.

Supports Coordinators are required to do a Compass status change form notifying the appropriate Case Tech of effective date for removal and removal reason.

Program Slot Capacity

If at any time UPCAP Services, Inc. has reached MI Choice Program slot capacity, any individual on the waiting list must be sent a Capacity Action Notice informing them of UPCAP's inability to assess them at this time. Supports Coordinators must also contact the referral source to inform them of the slot capacity and offer them resources to meet the immediate needs of the individual they made the referral for (Adult Home Help, Home Health, MI Health Link, information for agencies that offer private pay or have ACLS Bureau grant funding, etc.). Both the referral source and the potential participant must be provided with an approximate date program enrollment will be open. Individuals who are already Medicaid eligible must be informed of, and assisted with, the right to appeal the Capacity Action Notice.

The Director of LTC Programs shall be responsible for notifying both the Bureau of Aging, Community Living, and Supports (ACLS Bureau) and the Michigan Department of Health and Human Services (MDHHS) that the agency has met its capacity to serve new individuals.

Internal Excel Waitlist

The U.P. 2-1-1 Call Center Manager also maintains UPCAP's internal wait list. This waitlist is maintained for all individuals, regardless of potential program classification. The internal waitlist is designed for each field office to keep track of referrals, their priority, scheduled assessments, last contact date and those referrals waiting to be scheduled.


Case Techs are responsible for keeping the internal waiting list up-to-date and sending updates to the U.P. 2-1-1 Call Center Manager on a weekly basis.

References

[Medicaid Provider Manual - MI Choice Waiver](#)

Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - No changes
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - No changes
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - No changes
- Revised 09/29/2023 – Internal Quality Committee Annual Review
 - No changes

		<h1 style="color: #E67E22;">Unable to Contact</h1>	
Policy Number: 2022-06-01		Effective Date: 05/01/2022	Review Date(s): 09/10/2024 04/23/2024; 03/18/2024; 09/29/2023; 10/06/2022
Reviewed by: Internal Quality Committee		Approved by: <i>Theresa LaFave</i>	Category: SC

Policy

UPCAP Long-Term Care Program Staff are required to make multiple attempts to contact prospective and/or current participants before taking any action that could affect the participant’s access to services. All contact attempts must be made on different days and at different times. The caller should provide appropriate contact information, including work days and hours, in a voicemail message, if available. All attempts must be documented in the Participant’s case record.

Procedures

Referral

When a referral is received, the designated staff person for each office attempts initial contact with the potential participant and/or designated representative. When the participant and/or designated representative does not answer:

1. First Contact:
 - a. Leave a voicemail message explaining the reason for the call and request for a return phone call.

2. Second Contact:
 - a. Leave a voicemail message explaining the reason for the call and request a return phone call.
 - b. Referral should be reviewed and if an alternate contact is listed, a phone call should also be made to the alternate contact. If alternate contact cannot be reached, the caller should leave a voicemail message explaining the reason for the call and request a return phone call.

3. Third Contact:
 - a. Leave a voicemail message explaining the reason for the call and request a return phone call.
 - b. At this time a phone call should be made to the referral source to see if the referral source could be of assistance in contacting the potential participant.

4. Fourth Contact:
 - a. An “Unable to Contact” letter should be mailed to the participant at the address listed on the referral, instructing the potential participant to contact UPCAP if they are interested in participating in the program. This letter should provide the participant with a date by which they will need to contact UPCAP or their referral will be closed. This date should be ten (10) calendar days out from the mailing date.
 - b. If a potential participant or designated representative does not call back by the deadline date, the referral will be closed and the waitlist updated.

Existing Participant

For existing participants, Supports Coordinators should make every effort to contact the participant.

1. First Contact:
 - a. Leave a voicemail message explaining the reason for the call and request participant return call.
2. Second Contact:
 - a. Leave a voicemail message explaining the reason for the call and request participant return call.
3. Third Contact:
 - a. Leave a voicemail message explaining the reason for the call and request participant return call.
 - b. The Supports Coordinator should also contact any service providers that are currently providing in-home services to the participant. Inquire if the provider has had recent visits with participant and if the provider has alternative contact information for participant.
 - c. The Supports Coordinator should also attempt to contact any informal supports listed in the PCSP who are providing regular assistance to the participant.
4. Fourth Contact:
 - a. If the in-home service provider has not had contact or has not been able to provide services, AND/OR informal supports are not able to assist with contacting the participant, the Supports Coordinator should complete an in-person well check visit at the participant's home residence.
5. Fifth Contact:
 - a. If the well-check is unsuccessful, a phone call should be placed to the emergency contact on file, if applicable.
6. Sixth Contact (at least 30 days from the first contact):
 - a. An "Unable to Contact" letter should be mailed to the participant, instructing the participant to contact their Supports Coordinator. The letter should provide the participant with a date by which they will need to contact UPCAP or their Waiver case will be closed. This date should be 10 days out from the mail date.
7. Seventh Contact
 - a. If the participant does not contact the Supports Coordinator by the date outlined on the "Unable to Contact" letter, the Supports Coordinator will mail an Adverse Benefit Determination letter and close the participant's Waiver case.

Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - No changes
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - No changes
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - No changes
- Revised 09/29/2023 – Internal Quality Committee Annual Review
 - No changes

		<h1 style="color: #C85130;">Documents and Forms for Initial Assessment</h1>	
Policy Number: 2022-07		Effective Date: 05/01/2022	Review Date(s): 09/10/2024; 04/23/2024; 03/18/ 2024; 01/23/2024; 09/29/2023; 06/13/2023; 10/06/2022
Reviewed by: Internal Quality Committee		Approved by: <i>Theresa LaFave</i>	Category: SC

Scope

Per MDHHS requirements, all participants must receive an informational packet which includes the participant handbook.

Policy

It is the policy of UPCAP Services that Supports Coordinators supply potential participants with the required materials found within the participant informational folder and assessment packet at the beginning of each initial assessment.

Participant Informational Folder

- MI Choice Waiver Participant Handbook (MDHHS) with handbook acknowledgement signature form (MI Choice ONLY)
- Estate recovery pamphlet (MI Choice ONLY)
- Fraud, waste and abuse pamphlet
- Elder Abuse pamphlet
- What to do in case of severe weather handout
- List of contracted service providers for service area
- Person-Centered planning information
- Medicaid appeal information (MI Choice ONLY)
- Review of HIPAA and privacy notice of protected health information
- Advance directives/end of life planning materials



[Click here to view all contents of the participant informational folder](#)

Additional Assessment Materials/Documents

- [Nursing Facility Level of Care Determination tool](#)
- [Freedom of Choice form \(MI Choice ONLY\)](#)
- [UPCAP consent and authorization form](#)
- [Email & Text Communication Consent Form](#)
- [Plan of care signature sheet](#)
- [Medical Consent and Release Form](#)
- [BRIEF Health Literacy Screening Tool](#)
- [Cost share Determination \(Care Management Optional\)](#)

Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - No changes
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - No changes
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - No changes
- Revised 01/23/2024 – Internal Quality Committee
 - Updated additional materials/documents to reflect the combined email and text consent form created
- Revised 09/29/2023 – Internal Quality Committee Annual Review
 - Updated to remove COVID Risk form from required documents
 - Updated to reflect required Medical Consent and Release Form
 - Updated to include BRIEF Health Literacy Screening Tool

	<h1>Initial Assessment</h1>	
Policy Number: 2022-08	Effective Date: 05/01/2022	Review Date(s): 09/10/2024; 04/23/2024; 03/18/2024; 01/23/2024; 09/29/2023; 10/06/2022
Reviewed by: Internal Quality Committee	Approved by: 	Category: SC

Scope

The initial in-person home visit and assessment are vital to ensuring all potential participants are thoroughly assessed and made aware of all options available to them through UPCAPs Long-Term Care programs. A multidisciplinary team, composed of a Registered Nurse Supports Coordinator and a Social Work Supports Coordinator, both with valid Michigan licenses to practice their profession, is responsible for conducting the initial visit and assessment.

Policy

It is the policy of UPCAP Services, Inc. to follow initial assessment processes as required by the Michigan Department of Health and Human Services. The assessment process is designed to review and confirm eligibility for participation in the Care Management Program or MI Choice Waiver program; identify comprehensive information regarding the participant’s strengths, needs, preferences, current supports, health, and functional status; confirm the applicant’s need for supports coordination and at least one additional MI Choice service on a regular basis (MI Choice Waiver only); assist in planning for the participant’s needed services, supports, and interventions; inform the participant of their program options, services, and community resources; review the participant’s rights and responsibilities; and obtain the participant’s formal consent for participation in the Care Management or MI Choice Waiver Program.

Assessment Process

The assessment process is comprised of the following elements:

1. Assessment Preparation
2. Introduction and Program Explanation
 - Review of UPCAP Participant Informational Folder and Program Options
 - [Folder Contents & Required Documents](#)
3. Review of Eligibility Criteria
 - Financial Eligibility and Medicaid
 - Functional Eligibility: NFLOCD & Freedom of Choice
 - Service Need
4. COMPASS Assessment (InterRAI-HC)
5. Person Centered Service Plan (COMPASS)
 - Plan of Care Signature Sheet
6. Optional Forms
 - Cost Sharing Determination

Assessment Preparation

Supports Coordinators will receive the Intake/Referral Form with all of the information received during the 2-1-1- phone referral process prior to the assessment. If the potential participant has a current Medicaid case, the Supports Coordinator will need to review the information on the CHAMPS eligibility report, including any Program Enrollment Types (PET) codes that may affect the potential participant's enrollment into MI Choice. Supports Coordinators should ensure that they are reviewing the CHAMPS eligibility report for the current month, as Medicaid eligibility and benefit plans can change from one month to another. If the potential participant does not have a current Medicaid case, there will not be any information available on the CHAMPS Eligibility Report.

On the day of the scheduled assessment, Supports Coordinators call the prospective participant before traveling to their home to verify that the person is still interested in participating in an assessment and available at the date and time scheduled. During this conversation, the Supports Coordinator will confirm that the prospective participant is aware that they have the right to have any other informal supports, caregivers, or individuals of their choosing present at the visit.

If the prospective participant is not available and would like to reschedule, the Supports Coordinator or Case Tech will coordinate to reschedule on a day and time most convenient for the participant. If the prospective participant is no longer interested in the in-home visit or assessment, the Supports Coordinator will close the referral, notify the appropriate Case Tech, and submit a COMPASS status report denoting closure due to "Refused Services".

The Participant Informational Folder [[Folder Contents & Required Documents](#)] can be assembled in advance to the assessment, however, the assigned Supports Coordinators are responsible for ensuring that the Participant receives all of the required documents and forms at the initial visit.

Introduction and Program Explanation

In order to ensure the potential participant is able to make an informed decision to participate in the Care Management or MI Choice Waiver program, Supports Coordinators must provide an explanation of the available programs, the eligibility criteria, and the services and/or supports available through each program.

After general greetings and introduction, Supports Coordinators will provide the participant with a copy of the Participant Informational Folder. Supports Coordinators will explain program options and processes, and briefly go through the Participant Handbook, paying particular attention to the participant's Rights and Responsibilities. Documents included in the informational folder will be introduced and reviewed. The participant will be instructed to keep the Participant Informational folder in a designated location and retain the copies of all forms provided.

During the first reassessment cycle and annually thereafter, one or both Supports Coordinator team members are expected to conduct a thorough review of all aspects of the Participant Handbook with the participant. Supports Coordinators are to confirm that the participant has retained the Participant Informational Folder and its contents. If the participant is missing any element of the folder, the Supports Coordinator will provide a new copy. The purpose of this ongoing review is to ensure that the Participant (or responsible party) has the most current copy of the Participant Handbook, is fully aware of all rights and responsibilities, and remind them that they have the opportunity to have a voice in improving the quality of the supports coordination and service delivery process.

Review of Eligibility Criteria

Supports Coordinators will review eligibility criteria for participation in the MI Choice Waiver Program as well as the Care Management Program.

MI Choice Waiver Program

The MI Choice program is available to persons who are either elderly (age 65 or older) or adults with disabilities age 18 or older and meet the following eligibility criteria:

1. An applicant must establish their financial eligibility for Medicaid services as described in the Financial Eligibility section.
2. Must be categorically eligible for Medicaid as aged or disabled.
3. The applicant must meet functional eligibility requirements through the online version of the Michigan Medicaid Nursing Facility Level of Care Determination (NFLOCD).
4. It must be established that the applicant requires at least two waiver services, one of which must be Supports Coordination, and that the service needs of the applicant cannot be fully met by existing State Plan or other services.

All criteria must be met to establish eligibility for the MI Choice program. MI Choice participants must continue to meet these eligibility requirements on an ongoing basis to remain enrolled in the program.

Care Management Program

The Care Management Program is available to persons who are elderly (age 60 or older) and meet the following eligibility criteria:

1. The applicant is medically complex with functional and/or cognitive limitations.
2. The applicant is at risk of, but not necessarily in need of, a nursing facility level of care. An applicant at risk demonstrates one or more of the following characteristics:
 - a) determined medically eligible for nursing facility placement.
 - b) functionally unable to provide self-care without assistance due to illness or declining health and without sufficient support for meeting care needs.
 - c) multiple, complex and diverse service needs.
 - d) a weak or brittle informal support system.
 - e) currently resides in a nursing home, but because of insufficient resources and lack of other supports, is unable to obtain needed community services to return home.
3. The applicant is in need of a nursing facility level of care, but not eligible for Medicaid-supported long-term care services.

The Care Management program is an appropriate option for potential participants who do not meet the financial eligibility criteria for the MI Choice Waiver program but are still in need of supports coordination services and assistance with arranging available services and/or resources.

Establishing Eligibility for the MI Choice Waiver Program

Financial Eligibility

Financial eligibility for Medicaid Long-Term Care Services and Supports (MI Choice Waiver) is determined by the State through the MDHHS Field Office. As a provision of the waiver, MI Choice participants benefit from an enhanced financial eligibility standard compared to basic Medicaid eligibility. Specifically, MI Choice is available to participants in the special home and community-based group under 42 CFR §435.217 with a special income level up to 300% of the Supplemental Security Income (SSI) Federal Benefit Rate.

Medicaid eligibility rules stipulate that participants are not allowed to spend-down to the income limit to become financially eligible for MI Choice. Special Initial Asset Assessment Rules are applied for MI Choice Participants with a community spouse as laid forth in the MDHHS Bridges Eligibility Manual.

If the potential participant already receives Medicaid assistance through MDHHS, a CHAMPS report will be run to confirm the participant's program eligibility. See *Excluded from Eligibility*. If the potential participant is eligible for the MI Choice Waiver program based on eligibility in CHAMPS, the Supports Coordinator is still required to confirm financial eligibility through completing the FS section of the InterRAI-HC Assessment and collect proof of current income and assets. Verifications of financial eligibility will be documented and kept in the participant file.

Supports Coordinators are responsible for assisting participants determined medically eligible who need to apply for Medicaid. The Supports Coordinators will collect financial information during the assessment to substantiate an applicant's potential financial eligibility. Information is assembled for verification review and a Medicaid application is completed for submission to the MDHHS Field Office with all required financial documentation. Supports Coordinators are able to determine the participant's "Presumptive Financial Eligibility" through this process.

[Refer to ***Policy Number: 2022-11 - Waiver Financial Requirements and Policy Number: 2022-12 - MI Choice Waiver Medicaid Guide*** for more information]

Functional Eligibility

MDHHS has determined that all individuals seeking long-term care (LTC) services, whether through an institutional setting or through the MI Choice Waiver Program, must meet Nursing Facility Level of Care (NFLOCD) criteria as set forth in Policy Bulletin MSA 04-15, dated November 1, 2004. Supports Coordinators will complete the [NFLOCD Determination Tool](#) to determine the potential participant's functional eligibility.

[Refer to ***Policy Number 2022-09 - Nursing Facility Level of Care Determination***]

If the participant is found functionally eligible, the Supports Coordinator will complete the corresponding [Freedom of Choice \(FOC\)](#), ensuring that the participant understands all options for Long-Term Care Services available to them through their eligibility on the NFLOCD.

[Refer to ***Policy Number 2022-10 - Freedom of Choice***]

Service Need

In addition to meeting financial and functional eligibility requirements and to be enrolled in the program, MI Choice applicants must demonstrate the need for a minimum of two covered services, one of which must be Supports Coordination, as determined through an in-person assessment and the person-centered planning process. Applicants must also agree to receive MI Choice services on a regular basis, at least every 30 days.

An applicant cannot be enrolled in MI Choice if their service and support needs can be fully met through the intervention of State Plan or other available Medicaid services. State Plan and MI Choice services are not interchangeable. MI Choice services differ in nature and scope from similar State Plan services and often have more stringent provider qualifications.

Excluded from Eligibility

The MI Choice population specifically excludes the following groups:

- Medicaid-eligible persons who reside in intermediate care facilities for individuals with intellectual disabilities (ICF/IID) or a state psychiatric hospital,
- Medicaid-eligible persons enrolled in a qualified health plan (e.g., health maintenance organization) or managed care organization,

- Persons enrolled in PACE,
- Persons enrolled in the Habilitation Supports Waiver program,
- Persons enrolled in the MI Health Link program,
- Persons enrolled in the Home Help Services program whose service and support needs are fully met by that program,
- Persons enrolled in the Healthy Michigan Plan,
- Nursing facility residents, and
- Medicaid-eligible persons admitted to a hospice or palliative care facility.

Establishing Eligibility for the Care Management Program

Financial Eligibility

Eligibility to participate is not based on a person's level of income, and participation may not be denied because individuals do not meet low income criteria.

Functional Eligibility

Supports Coordinators will utilize the Nursing Facility Level of Care Determination tool in addition to a formal assessment to determine the participant's functional eligibility for the Care Management program. Although participants are not required to score eligible on the NFLOCD, the NFLOCD along with information gathered during the assessment related to the participant's health status, physical and social/emotional functioning, medications, physical environment, and informal support potential will assist the Supports Coordinators in making a determination of functional eligibility.

Service Need

A formal requirement for service need is not an eligibility requirement of participation in the Care Management program.

Verifying Participant Information

As part of the assessment process, Supports Coordinators are responsible for confirming the participant's identifying information. Supports Coordinators will need to confirm the participant's date of birth, address, county, social security number, Medicare/Insurance information, and Medicaid number, if applicable. **This identifying information can be updated within the Participant Case File in COMPASS by the Supports Coordinator.**

Initial Assessment

For participants who meet the eligibility criteria set forth above, a formal, comprehensive assessment will be conducted. The MI Choice program and Care Management program have established the Resident Assessment Instrument – Home Care (InterRAI-HC) as the approved assessment instrument for assessing the functional status of participants. Qualified staff perform the initial assessment function as a team. Qualified staff includes a RN and SW, both with valid Michigan licenses to practice their profession.

The InterRAI-HC Assessment System, consisting of the InterRAI-HC Assessment and Clinical Assessment Protocols (CAPs), is the basis for the MI Choice/Care Management assessment. Supports Coordinator's perform a comprehensive evaluation including assessment of the individual's unique preferences; physical, social, and emotional functioning;

medications; physical environment; natural supports; and financial status. The Supports Coordinator must fully engage the participant in the interview to the extent of the participant's abilities and tolerance. Whenever possible, the participant is the primary source of information. Specific InterRAI-HC items identify applicants who could benefit from further evaluation of particular problems and risks for functional decline. These items, called "triggers," link the InterRAI-HC to a series of problem-oriented CAPs. The CAPs are procedures that guide the SCs through further assessment and individualized service and support planning with participants.

Supports Coordinators must complete all mandatory fields within the InterRAI-HC Assessment within two (2) business days of the in-person visit with the participant. If the Supports Coordinator is unable to obtain required information, they must clearly document the reason for an incomplete field within the assessment. Any incomplete fields can be updated in the participant's "Next Assessment" as information becomes available. For the initial assessment, it is required that the RN Supports Coordinator completes the medical sections of the assessment while the Social Work Supports Coordinator completes the social sections of the assessment. The SW Supports Coordinator is also responsible for completing the Financial Section of the InterRAI-HC and the financial information must be updated no less than annually. [\[Initial Assessment Flow Chart\]](#)

MDHHS requires electronic data collection for all assessments completed for MI Choice applicants. SCs must input all assessment data into COMPASS. COMPASS records the date of and person responsible for any additional information put in the record or changes made to the original assessment data collected. Supports Coordinators may utilize the COMPASS GO option on their laptops or a hard copy printed version of the COMPASS Assessment and Person-Centered Service Plan at the in-person assessment, as long as all of the recorded information is entered into the COMPASS system in a timely manner. For specific guidance on completing the COMPASS InterRAI-HC Assessment, please refer to the [\[COMPASS InterRAI-HC Assessment Guide\]](#).

When the Supports Coordinators assess potential participants in nursing facilities, hospitals or outside of their usual living environment, the Supports Coordinators must also see the participant in their home environment to update assessment information and to assess the home environment. This update should occur within seven (7) calendar days after the return to the home environment.

Person-Centered Service Planning

The Supports Coordinator will begin the care planning process at the initial visit. The Supports Coordinator will make recommendations of specific supports, services, and interventions to meet the expressed issues and needs of the participant based on the assessment. The Supports Coordinator will also assist the participant in outlining strengths and setting specific goals that will be used and documented in the person-centered service plan.

It is the responsibility of the Supports Coordinator to not only identify the participant's eligibility for Mi Choice Waiver services, but also provide information and referrals to community-based programs and resources available to the participant at the initial assessment. The Supports Coordinator should discuss community-based resource options with the participant, provide additional information, and complete a formal referral if preferred by the participant. Any referrals made by the Supports Coordinator or community resources and programs arranged and received by the participant must be added to the participant's Person-Centered Service Plan.

Documentation of the participant's potential eligibility and interest in supplemental resources must be made in initial assessment progress note. Refer to ***Policy Number: 2022-26- Charting Examples***. If the participant is not eligible or interested in supplemental services, the Supports Coordinator must also document this in the record.

The Supports Coordinator will also offer the participant the option to schedule a formal Person-Centered Care Planning meeting and document the participant's preference in the participant file. If the participant would like to schedule another meeting, the Supports Coordinator will coordinate a day and time that works best for the participant and ensure that the participant is aware that they can invite anyone else from their current support network to attend the meeting.

The process of service planning will continue as the Supports Coordinator works to arrange service providers and coordinate with supports, both formal and informal. However, the initial Person-Centered Service Plan (PCSP) in COMPASS must be completed and finalized within five (5) business days of completing the initial in-person visit. The COMPASS PCSP can be updated and amended as requested by the participant at any point or as interventions or services are added or removed from the plan of care. The complete COMPASS assessment must be completed and finalized within 7 calendar days of the initial visit. [Refer to ***Policy Number: 2022-17 - Person-Centered Care Planning, Monitoring and PCSP*** for a more detailed process]

Required Documents

After confirming eligibility, Supports Coordinators must obtain the participant's signature, or the signature of their legal representative, on multiple documents.

Consent and Authorization

The UPCAP Consent and Authorization form is required to be reviewed and signed at the initial assessment and annually thereafter. As outlined in the document, the authorization expires after 1 calendar year from the date on the form. This document must be completed in its entirety, listing any additional entities for which consent is being granted. Supports Coordinators retain a copy of the completed consent and authorization for the participant's file as well as a copy left for the participant. Supports Coordinators should place the participant copy in the participant's Informational Folder.

[Consent and Authorization Form](#)

Email & Text Consent and Authorization

If the participant or any authorized representatives would like to communicate with their Supports Coordinators via email or text message, it is important to gather an additional level of consent to ensure the participant is informed and aware of any potential issues with privacy and their protected health information. Each email address and/or phone number for which consent is being granted will need to be listed on the form or a separate consent form will need to be completed for each email address and/or phone number. Supports Coordinators must document the authorization in the participant's record and retain a copy of the form for the participant file. Supports Coordinators must also send a copy of the completed consent form to the Compliance Officer.

[Email & Text Consent Communication Consent Form](#)

Handbook Acknowledgement

Signed acknowledgment of receipt of the MDHHS Participant Handbook is required at the initial assessment or with any authorized revision of the document. A copy must be retained for the participant's file as well as a copy provided to the participant, if requested. Supports Coordinators should place participant's copy in the participant's Informational Folder.

Plan of Care Signature Sheet

After completing the initial care planning process, the plan of care signature sheet will need to be completed to establish an initial authorization of the plan of care. It will also provide the participant with the opportunity to designate entities whom they would like to receive the formal service plan once completed. A more formal authorization will be received after the development of the formal Person-Centered Service Plan (PCSP) in COMPASS. The participant, their informal support if available, and both disciplines of Supports Coordinators will be required to sign and date the form at the initial assessment.

[Plan of Care Signature Sheet](#)

Post Assessment Letter – Referral Source

Supports Coordinators are responsible for notifying the participant’s original referral source (except for self-referrals) in writing of the assessment outcome. The referral letter, on UPCAP letterhead, will be mailed to the referral source listed on the referral form. A copy of the [Post Assessment Referral Letter](#) will be placed in the participant file.

If the referral source was a subcontracted agency that receives AAA (Title III) funds [\[Link to AAA Grant Providers\]](#) from UPCAP, the agency must be notified immediately (via phone call) of the outcome of the MI Choice COMPASS Prescreen and the date/status of the initial assessment. These agencies need not conduct their own assessment if a formal assessment is going to take place within ten (10) business days from the date the referral was made. Once the assessment visit is completed, the agency is to be notified of the outcome. This second notification shall be done by a phone call and followed by a referral outcome letter. If the participant is not being opened to the MI Choice Waiver or Care Management program, the Supports Coordinator should provide the referral agency with any pertinent information gathered at the in-person visit.

Post-Assessment Letter - Participant

Supports Coordinators are responsible for sending the participant the Post-Assessment letter after the initial assessment. The post-assessment letter notifies the participant of who will be their primary Supports Coordinator and main contact while they are a participant in the MI Choice Waiver program through UPCAP. This letter also notifies the participant that they are able to request a change in Supports Coordinator at any time and the process in which to request a change.

[Post Assessment Letter \(Participant\)](#)

Medical Release Form

Supports Coordinators use the Medical Release Form to send to physicians and/or medical providers requesting specific information regarding treatments, current medication lists, and other medical records. Although the UPCAP Consent and Authorization includes authorization for obtaining the participant’s medical records, Supports Coordinators will also use the Medical Release form as it is more specific to the participant’s current health issues and elicits physician insight and information pertinent to assessing the current needs of the participant as it relates to potential MI Choice Waiver services. The consent and authorization expires after one (1) calendar year from the date on the form. Supports Coordinators document and retain a copy for the participant file.

[Medical Release Form](#)

BRIEF Health Literacy Screening Tool

The BRIEF Health Literacy Screening Tool must be administered to each MI Choice and Care Management participant at the initial assessment visit. The participant’s answers and score must be summarized and documented in the designated section of the COMPASS iHC Assessment [refer to the [COMPASS Assessment Guide](#) for more guidance]. The Supports Coordinator must complete the paper version and place in the participant’s file.

[BRIEF Health Literacy Screening Tool](#)

Cost-Share Form (Care Management Only)

Supports Coordinators will complete the Cost-Share Form (See Cost Share policy) for all participants of the Care Management Program who are not financially eligible for the MI Choice Waiver, as required by ACLS Bureau. Supports

Coordinators are to explain to the participant that the determined amount represents a quarterly “fee” for their participation in the Care Management program. The participant will be sent a statement following their first three months of participation and then every three months thereafter. The Supports Coordinator shall complete the form using the participant’s financial information provided at the assessment. Once completed, the form must be signed and dated by the Supports Coordinator and kept in the participant’s file. A copy of the form is mailed to the Director of LTC Programs and to the participant.

[Cost Share Form](#)

References

[Approved 1915\(b\) Waiver Application](#)

[Approved 1915\(c\) Waiver Application](#)

[ACLS Bureau Operating Standards for Service Programs](#)

[iHC Assessment Guide – COMPASS](#)


[MI Choice Contract - Attachment C](#)

[Medicaid Provider Manual MI Choice Waiver](#)

[BEM 106](#)

Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - Updated/removed need for Administrative Data Base Change
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - Updated requirement for in-home initial assessments
 - Updated requirement for reviewing current month CHAMPS report
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - No changes
- Revised 01/23/2024 – Internal Quality Committee
 - Updated additional materials/documents to reflect the combined email and text consent form created
- Revised 12/18/2023 – Internal Quality Committee
 - Added language re: assessing eligibility for community-based resources
- Revised 09/29/2023 – Internal Quality Committee Annual Review
 - Added Post-Assessment Letter (Participant) and summary
 - Updated time frame requirement for assessment data entry
 - Clarified language and added link to COMPASS Assessment Guide & Flow Charts
 - Added BRIEF Health Literacy Screening Tool information

		<h1 style="color: #C8513E;">Nursing Facility Level of Care Determination</h1>	
Policy Number: 2022-09		Effective Date: 05/01/2022	Review Date(s): 09/10/2024; 04/23/2024; 03/18/2024; 09/29/2023; 08/04/2023; 06/20/2023; 10/06/2022
Reviewed by: Internal Quality Committee		Approved by: <i>Theresa LaFave</i>	Category: SC

Scope

An individual’s need for nursing facility level of care is determined through the completion of the Michigan [Nursing Facility Level of Care Determination \(NFLOCD\) assessment tool](#). The NFLOCD must be administered by a qualified and licensed health professional – licensed Registered Nurse Supports Coordinators and licensed Social Worker Supports Coordinators are considered qualified to administer the NFLOCD assessment tool.

The NFLOCD is a scientifically-validated and reliability-tested tool utilized during initial application and program eligibility redeterminations. Other assessment tools or variations of the NFLOCD cannot take the place of the formal NFLOCD assessment tool. Use of the NFLOCD assures a consistent and reliable process for determining that individuals meet the functional eligibility requirements.

The NFLOCD is a “point in time” assessment; that is, it determines the individual’s functional eligibility at the time of the assessment. MDHHS assumes that beneficiaries will maintain functional eligibility until they are determined otherwise through a reassessment or the NFLOCD’s End Date. The NFLOCD must be conducted or adopted prior to or on the day of a participant’s enrollment in MI Choice Waiver Program.

Participants have the right to refuse services and a NFLOCD determination. When a participant refuses to participate in the NFLOCD determination and does not have an active NFLOCD in CHAMPS, the individual or authorized representative must be informed that he or she will not be eligible for MI Choice services until the waiver agency conducts the NFLOCD and determines medical eligibility.

Policy

It is the policy of UPCAP Services, Inc. that Supports Coordinators conduct the Nursing Facility Level of Care Determination (NFLOCD) on every individual interested in enrolling in the MI Choice Waiver program, yearly thereafter and if there has been a significant change of condition.

Procedures

The NFLOCD should be an accurate reflection of an individual’s current functional status. This information is gathered in a face-to-face meeting by speaking to the individual and those who know the individual well, observing the individual’s activities, and reviewing an individual’s medical documentation.

Nursing Facility Level of Care Determination criteria includes seven domains of need, called Doors. The Doors include: (1) Activities of Daily Living; (2) Cognitive Performance; (3) Physician Involvement; (4) Treatments and Conditions; (5) Skilled Rehabilitation Therapies; (6) Behaviors; and (7) Service Dependency.

Guidance on administering the NFLOCD, including definitions and methods, is provided in the [Michigan Medicaid Nursing Facility Level of Care Determination Field Definition Guidelines](#).

Supporting Documentation:

Depending upon the participant's qualifying criteria on the NFLOCD, the Supports Coordinators may need to gather supporting evidence of functional eligibility. This supporting documentation may be used for NFLOCD Verification Reviews or Secondary Reviews. See the section for NFLOCD Verification Reviews later in this policy for more information. [Refer to [examples of supporting documentation](#) provided by MDHHS]

It is the Supports Coordinator's responsibility to complete the appropriate cognitive tools, thoroughly document participant information in the InterRAI-HC, or contact the participant's physicians or medical providers to gather the supporting documentation to support the NFLOCD findings prior to submission.

If a participant scores eligible under Door 2 (Cognitive Performance), Supports Coordinators must complete an additional cognitive tool to support the determination of cognitive impairment and functional eligibility on the NFLOCD. Supports Coordinators may use the [Brief Interview for Mental Status \(BIMS\)](#) or the [Mini-Mental State Examination \(MMSE\)](#). It is recommended that Supports Coordinators utilize the MMSE as it provides a more comprehensive assessment of the participant's cognitive status, however, the Supports Coordinator may use, at a minimum, the BIMS.

A copy of the additional cognitive assessment tool must be kept in the participant's file and completion documented in the participant's record.

If a participant scores eligible under Door 4 (Treatments and Conditions) for daily oxygen use, the Supports Coordinator must obtain a physician's order showing the need for ongoing, daily oxygen. This order must include:

- The Participant's Name
- Date
- Name of the Ordering Physician
- Oxygen Flow Rate
- Estimated Frequency and Duration of Use (e.g. 2L/minute, 24 hrs./day)
- Duration of Need (e.g. 6 months, 12 months, 99 months/Lifetime)

Receipt of the physician order must be documented and then a copy placed in the participant's chart. This order must be renewed annually and should coincide with the renewal of the NFLOCD and determination of ongoing eligibility.

Eligible

If the potential participant **does** score functionally eligible on the NFLOCD and the Supports Coordinator confirms this finding through their assessment and observations, the potential participant is considered medically eligible for the MI Choice Waiver. The Supports Coordinator will need to ensure that the participant's assessment record also reflects the information on the NFLOCD including the specific Door through which the participant is eligible.

Not Eligible

If the potential participant **does not** score functionally eligible on the NFLOCD and the Supports Coordinator confirms this finding through their assessment and observations, the potential participant is not eligible for the MI Choice Waiver. This is considered a Door 0 designation. The potential participant has the opportunity to appeal this determination and the Supports Coordinator will provide them with the appropriate information and directions related to the appeal process. [Refer to ***Policy 2022-31 Action Notices and Appeal Rights*** for more guidance regarding appeal process]

For those participants with Medicaid, or presumed financially eligible, the Supports Coordinator must still submit the NFLOCD for entry into CHAMPS along with a separate document summarizing the findings and supporting the designation of a Door 0 or functional ineligibility. This separate document, the Door 0 Write Up, must be signed and dated by the Supports Coordinator completing it and be written on UPCAP letterhead. [\[see Door 0 Write Up Example\]](#) The Door 0 write-up is required and must be completed and provided to the Case Tech for entry at the time of NFLOCD submission. The Case Tech is responsible for ensuring that it is uploaded to CHAMPS with the NFLOCD, if selected for review.

MDHHS will complete a secondary review of these findings, if requested by the potential participant, and make a final determination of functional eligibility. NFLOCD's do not need to be submitted for entry into CHAMPS for participants that do not have Medicaid.

Not Eligible – Frailty Exception

If the potential participant **does not** score functionally eligible on the NFLOCD, but the Supports Coordinator confirms through their assessment and observations that the potential participant meets exception criteria set forth to qualify individuals who are medically or physically fragile, the Supports Coordinator can request a Frailty Exception [\[See Exception Criteria\]](#). Frailty exceptions are only available to those participants who are current Medicaid beneficiaries or whose Medicaid applications are currently being processed. The Supports Coordinator must still submit the NFLOCD for entry into CHAMPS along with a separate document summarizing the findings and supporting the request for a Frailty Exception. Supports Coordinators will be responsible for contacting MDHHS or its designee (MPRO) of the NFLOCD and supporting documentation. Supports Coordinators must request this review on the SAME business day as the NFLOCD was completed. MDHHS will make a final determination of functional eligibility.

Eligibility & Submitting the NFLOCD

Only the NFLOCD application in CHAMPS can determine functional eligibility. The NFLOCD must be verified in CHAMPS in order to be considered valid for establishing functional eligibility for the MI Choice Waiver program. The NFLOCD used for enrollment into the MI Choice program can be verified in one of two ways:

- The Supports Coordinator completes the NFLOCD in person and the submits the assessment tool to the assigned Case Tech for entry into the online NFLOCD application system (CHAMPS). The information submitted is put through an algorithm within the application to determine whether the applicant meets NFLOCD criteria.
- The Supports Coordinator adopts an existing NFLOCD. Per MDHHS policy, the NFLOCD is associated with the beneficiary, rather than the provider serving the beneficiary. Therefore, if a potential MI Choice Waiver participant has a current NFLOCD in CHAMPS, the Supports Coordinator may choose to adopt that NFLOCD to confirm functional eligibility. The Supports Coordinator will notify their assigned Case Tech of their intention to adopt the NFLOCD. The Supports Coordinator must confirm that the existing NFLOCD being adopted is still an accurate representation of the participant's functional status and document the adoption of the NFLOCD in the participant's progress notes. If it is not, the Supports Coordinator will complete a new NFLOCD for entry.

All NFLOCDs must be entered in CHAMPS within 14 calendar days from the date the face-to-face NFLOCD was conducted. If an NFLOCD is older than 14 days before enrollment into the MI Choice program, a new NFLOCD must be completed prior to establishment of the Waiver enrollment date and the enrollment date must correspond to the subsequent NFLOCD.

NFLOCD Verification Review

MDHHS has developed a verification review process (NFLOCD-VR) to determine if the NFLOCD was conducted properly according to policy and resulted in the correct determination of eligibility. A randomly selected sample of NFLOCDs will be reviewed by MDHHS or its designee (MPRO). CHAMPS will randomly select a statistically significant sample of NFLOCDs entered in the system.

Upon submission of the NFLOCD in the system, CHAMPS will immediately notify the provider if the NFLOCD provider is required to submit all relevant documentation used to support the NFLOCD; including, but not limited to, observation notes, assessment reports, physician orders or notes, caregiver reports, cognitive test results, time studies, nursing or case management notes, intervention reports, or evidence of other medical or community services provided. The related CHAMPS NFLOCD Application ID must be indicated on all documents for tracking purposes. Documents must be uploaded electronically in CHAMPS within one business day of the NFLOCD being selected for verification review in CHAMPS.

If the NFLOCD is selected for a verification review, the Case Tech entering the NFLOCD will be notified immediately upon submission. The Case Tech is responsible for notifying the Supports Coordinator immediately that the participant's NFLOCD was selected for review. The Supports Coordinator has 24 hours to gather the required supporting documentation and send it to the Case Tech for upload. If the requested documentation has not been uploaded for MDHHS review within 24 hours, MDHHS may impose monetary sanctions.

NFLOCD Dates

The NFLOCD Start Date will be the date the NFLOCD was conducted if the NFLOCD is entered in CHAMPS within 14 days of the conducted date. The participant's functional eligibility is valid for 365 days from the NFLOCD start date that is auto-generated in CHAMPS. The application ID and end date will be entered into the participant record in COMPASS by the Case Tech after the NFLOCD is entered into CHAMPS.

The end date will not change unless a new NFLOCD is conducted and entered into CHAMPS. Supports Coordinators are responsible for monitoring the participant's NFLOCD end date and ensuring another NFLOCD is completed with the participant and entered into CHAMPS prior to the end date in the system or when there is a significant change in the participant's functional status. If a subsequent NFLOCD is conducted prior to the NFLOCD end date and confirms the individual meets NFLOCD criteria, the new NFLOCD will have a 365-day end-date.

If the NFLOCD is not conducted by the end date and entered into CHAMPS within 14 days of completion, the participant will no longer be eligible for MI Choice Waiver services until a new NFLOCD is completed and uploaded to CHAMPS. It is vital that the Supports Coordinator communicates the importance of completing the annual NFLOCD for ongoing eligibility for continued participation in the MI Choice Waiver program. If the participant declines the annual NFLOCD or the Supports Coordinator is unable to complete the NFLOCD by the end date, the participant must be disenrolled from the Waiver, services terminated, and an Adverse Benefit Determination provided to the participant.

Passive NFLOCD Redeterminations

The Minimum Data Set (MDS) for nursing facility residents and InterRAI Home Care Assessment System (iHC) for MI Choice Waiver Program participants contain items that correspond to the items in the NFLOCD. Under certain conditions, MDHHS will use a passive redetermination process based upon information from the participant's most recent assessment. When this assessment data is available, MDHHS will apply an algorithm that uses the common assessment items to allow CHAMPS to generate a new NFLOCD for the beneficiary.

When this process confirms continued functional eligibility, the Start Date of the CHAMPS-generated NFLOCD will be the date of the MDS or iHC assessment. CHAMPS will set the End Date at 365 days from the newly established Start Date. This process will repeat with each new MDS or iHC when the passive redetermination process confirms the beneficiary meets NFLOCD criteria and allows CHAMPS to generate a new NFLOCD. When a beneficiary is currently eligible through a door that the passive redetermination process cannot confirm, the NFLOCD will be bypassed from the passive redetermination process and the current end date will remain in effect.

MDHHS notifies UPCAP of any participants whose eligibility dates were extended through the passive determination process. These dates will be reviewed by the Director of LTC Programs or designee and the end dates updated in the participant's case record in COMPASS. Supports Coordinators are not to rely on a potential passive redetermination and

must complete a NFLOCD by its due date, unless otherwise notified by the Director of LTC Programs or designee.

In the event that the most recent participant assessment data does not support ongoing eligibility and passive enrollment through the participant's original LOCD Door, MDHHS will assign the participant a new LOCD Door 87. Door 87 signifies to providers that a face to face assessment is needed as the passive process was not able to determine functional eligibility based on data received. The end date for the Door 87 LOCD is set 45 days from the system date or the current LOCD end date; whichever is sooner. In the event that the participant is assigned a Door 87, MDHHS will notify the Director of LTC Programs. The Director of LTC Programs will update the end dates in the participant's case record in COMPASS and notify the primary Supports Coordinator of the need for a new face-to-face NFLOCD to be completed and entered into CHAMPS.

Ongoing Functional Eligibility

Regardless of NFLOCD end dates, Supports Coordinators are responsible for ensuring the participant's ongoing functional eligibility at all times. For participants found functionally eligible through a temporary door (Doors 3, 4, & 5), Supports Coordinators are still expected to verify the participant's continued eligibility every 90 days. This can be completed through a home visit or through a monthly contact and ongoing eligibility must be documented in the participant's file. When a Supports Coordinator possesses information that a beneficiary may no longer meet eligibility, they must conduct a face-to-face reassessment and NFLOCD. Such information may come in the form of progress notes, routine assessments, staff observations, or any other documentation that might call into question the continued functional eligibility of the beneficiary.

References:

[Medicaid Provider Manual - MI Choice Waiver](#)
[MDHHS NFLOCD](#)
[MDHHS NFLOCD Training](#)
[MDHHS L 23-54](#)

Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - No changes
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - No changes
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - No changes
- Revised 2/22/2024 – Policy update (TL)
 - Added requirement of Door 0 Write-Up on UPCAP letterhead
- Revised 09/29/2023 – Internal Quality Committee Annual Review
 - Added language re: Adopting NFLOCDs per MDHHS L Letter 23-54
 - Added additional language re: MPRO reviews and potential monetary sanctions for not having documents uploaded in a timely manner.
- Revised 08/04/2023 – Director of LTC Programs (TL) & LTC Quality Improvement Manager (EB)
 - Added requirements for supporting documentation for Door 2; added hyperlinks
 - Added requirements and clarification for physician orders for O2 and renewal requirements
 - Added information regarding Door 0 summary and documentation

		<h1 style="color: #C8513E;">Freedom of Choice</h1>	
Policy Number: 2022-10		Effective Date: 05/01/2022	Review Date(s): 09/10/2024; 04/23/2024; 03/18/2024; 09/29/2023; 10/06/2022
Reviewed by: Internal Quality Committee		Approved by: <i>Theresa LaFave</i>	Category: SC

Scope

The Michigan Department of Health and Human Services (MDHS) and the Health and Aging Services Administration (HASA) requires a signed [Freedom of Choice \(FOC\) form](#) for any Nursing Facility Level of Care Determination (NFLOCD) conducted or adopted.

Policy

It is the policy of UPCAP Services, Inc. to ensure a FOC form is completed and signed for all individuals a NFLOCD was completed on.

Procedures

When an individual meets NFLOCD criteria, they automatically meet the functional eligibility requirement for nursing facility care, MI Choice Waiver Program, PACE, and MI Health Link HCBS Waiver Program. It is vital that all potential participants and their legal representatives are informed of all of the Medicaid long-term services and supports options available to them.

Supports Coordinators must explain all Medicaid Long-Term Services and Supports (LTSS) options as well as other available LTSS to the individual in a language the individual understands, as well as culturally and linguistically appropriate. It is important that potential participants understand their options and that they have ongoing access to information about all settings and programs. As the functional ability of the participant may change over time and program options may change, it is important to continue to update their options and discharge plan.

Qualified participants may only enroll in one long-term services and supports program at any given time. Nursing facility, PACE (not available in Upper Peninsula), MI Choice and MI Health Link cannot be chosen in combination with each other. The Freedom of Choice form (FOC) confirms that these options and referral processes have been explained to the individual.

Participants must indicate their choice and document via their signature and date that they have been informed of their options through the Freedom of Choice (FOC) form that is provided to an applicant at the conclusion of any NFLOCD process. Participants must also be informed of other service options that do not require Nursing Facility Level of Care, including Home Health and Home Help State Plan services, as well as other local public and private service entities. Participants currently receiving Home Help State Plan services will need to be informed that they cannot continue to receive their Home Help services while in the MI Choice Waiver.

Before a potential participant can enroll in the MI Choice Waiver, they must have a completed FOC form, signifying the selection of the MI Choice Waiver Program. The FOC form must be completed in its entirety, signed and dated by the Supports Coordinator and the participant (or their legal representative) seeking services, and is to be maintained in the applicant's case record and a copy provided to the participant. A FOC must be completed EACH time a NFLOCD is

conducted or adopted.

When adopting a current NFLOCD in CHAMPS, the Supports Coordinator will notify the assigned Case Tech of their intention to adopt the NFLOCD in CHAMPS. The Case Tech will print out the computer-generated FOC from that NFLOCD record. The Supports Coordinator will complete the form with proper signatures and date. The Supports Coordinator must sign and date the CHAMPS-generated FOC from the adopted NFLOCD record. The FOC must also be signed by the participant or their legal representative within seven (7) calendar days and placed in the participant's chart.

The completed FOC form must be kept in the participant's file as well as a copy left for the participant. The FOC form must be completed with each conducted NFLOCD and any time an NFLOCD is adopted.

References

[MI Choice Contract - Attachment C](#)
[Medicaid Provider Manual - MI Choice Waiver](#)
[MDHHS NFLOCD](#)
[MDHHS L 23-54](#)

Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - No changes
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - No changes
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - No changes
- Revised 09/29/2023 – Internal Quality Committee Annual Review
 - Added language re: Adopting NFLOCDs per MDHHS L Letter 23-54

		<h1 style="color: #C8513E;">Waiver Financial Requirements</h1>	
Policy Number: 2022-11		Effective Date: 05/01/2022	Review Date(s): 09/10/2024; 04/23/2024; 03/18/2024; 01/23/2024; 09/29/2023; 01/12/2023; 10/06/2022
Reviewed by: Internal Quality Committee		Approved by: <i>Theresa LaFave</i>	Category: SC

Scope

The MI Choice Waiver Program’s special income level for Medicaid participants is up to 300% of the Supplemental Security Income (SSI) Federal Benefit Rate. This changes annually and is adjusted in accordance with the SSI Federal Benefit Rate and Consumer Price Index.


Policy

Supports Coordinators are to keep up-to-date on yearly financial requirements for the M-Choice Waiver Program. Current income and assets for 2024 are as follows:

- Gross Income: \$ 2,829.00
- Single Assets: \$ 2,000.00
- Married (Both MI Choice Participants) Assets: \$2000.00 each
- Married / Community Spouse Protected Resources (One spouse is a MI Choice Participant)
 - Minimum Resource Standard: \$30,828.00 (\$30,828 for Community Spouse and \$2000 for Participant)
 - Maximum Resource Standard: \$154,140.00

Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - No changes
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - No changes
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - No changes
- Revised 01/23/2024 – LTC Quality Improvement Manager (EB)
 - Updated approved financial limits for 2024
- Revised 01/12/2023 – LTC Quality Improvement Manager (EB)
 - Updated approved financial limits for 2023
- Revised 09/29/2023 – Internal Quality Committee Annual Review
 - Added asset limits for married couples when both are participants.

	<h1 style="text-align: center;">MI Choice Waiver Medicaid Guide</h1>	
Policy Number: 2022-12	Effective Date: 05/01/2022	Review Date(s): 09/10/2024; 04/23/2024; 03/18/2024; 09/29/2023 08/04/2023; 06/19/2023; 10/06/2022
Reviewed by: Internal Quality Committee	Approved by: <i>Theresa LaFave</i>	Category: SC

Scope

Financial eligibility for Medicaid LTSS services is determined by the State through the MDHHS Field Office. The MDHHS Field Office will complete the financial eligibility determination process within the defined standard of promptness and notify the applicant and the waiver agency of the outcome.

Policy

It is the policy of UPCAP Services, Inc. for all Supports Coordinators to offer their assistance to persons determined medically eligible who need to apply for Medicaid assistance. If the participant accepts the assistance, the Supports Coordinator assists with completing the Medicaid Assistance Application, collecting relevant financial information, submitting the application online or delivering the application to the appropriate MDHHS office. The following procedures provide an overview of the different types of Medicaid eligibility depending on circumstances.

Procedures

Financial eligibility for the MI Choice Waiver consists of limits established for both gross income AND total assets. MDHHS is ultimately responsible for determining Medicaid eligibility for MI Choice Waiver participants but Supports Coordinators should understand the financial eligibility requirements established by MDHHS in order to provide support and assistance to participants applying for Medicaid and the MI Choice Waiver.

Ensuring participant financial eligibility is an ongoing responsibility of Supports Coordinators. Supports Coordinators are responsible for confirming and documenting the participant’s financial eligibility at the participant’s initial assessment and enrollment into the MI Choice Waiver as well as at each subsequent reassessment, no less than annually. Supports Coordinators are also responsible for communicating to the participant the importance of reporting any changes to income or assets to the participant’s Supports Coordinator and MDHHS. If at any time during the participant’s enrollment in the MI Choice Waiver, a change in income or assets makes them financially ineligible, the Supports Coordinator will notify MDHHS.

Bridges Eligibility Manual

The Bridges Eligibility Manual (BEM) is utilized by MDHHS and contains the policies and procedures related to determining Medicaid program eligibility and the level of program benefits, such as non-financial eligibility factors, financial eligibility factors and budgeting policy. This manual should be used as a resource for Supports Coordinators to assist the MI Choice Waiver participant with the application process and to address any issues with the participant’s financial eligibility. Supports Coordinators are not MDHHS staff but can offer the participant clarification on how to approach asset eligibility – i.e. excess assets, divestment concerns, etc. by referring to MDHHS Medicaid policy set forth in the BEM. In addition to the BEM, establishing relationships with local MDHHS eligibility specialists is encouraged as

they can often offer assistance and clarification with questions of financial eligibility.

MI Choice Waiver-Specific Bridges Eligibility Manual (BEM) Sections:

- [BEM 106: MA Waiver for Elderly and Disabled](#)
- [BEM 400: Assets](#)
- [BEM 402: Special Asset Rules](#)
- [BEM 405: MA Divestment](#)
- [Full BEM](#)

Existing Medicaid

If a participant has already established Medicaid eligibility with MDHHS, the Supports Coordinator must still confirm their financial eligibility for the MI Choice Waiver Program. There are many different Medicaid programs and benefit plans available through MDHHS, all with different requirements and eligibility criteria. A participant may show an active benefit plan in CHAMPS, but this does not mean that they are automatically eligible for the MI Choice Waiver. The Supports Coordinator is responsible for running a CHAMPS Eligibility Report and confirming that the existing benefit plan program code is compatible with MI Choice Waiver Enrollment prior to submitting the enrollment notification. [Refer to **Policy Number: 2022-13 – Medicaid Codes** for a more detailed process]

In addition to confirming the participant's current benefit plan, the Supports Coordinator must review the participant's income and asset information. Supports Coordinators are encouraged to make copies of all verifying documentation of financial eligibility and keep record in the participant's chart.

Medicaid Application

If a participant has not already established Medicaid eligibility with MDHHS, Supports Coordinators are responsible for assisting the participant in applying for Medicaid with the intention of qualifying for enrollment in the MI Choice Waiver. Applications can be filed online using [MI Bridges](#), an online portal where applicants can apply and manage their Medicaid benefits, OR using the [paper application](#), which can be completed and turned into any local MDHHS office. Regardless of application process, Supports Coordinators should keep a hard copy record of the application and verifications submitted in the participant file. Supports Coordinators will also provide a cover letter on UPCAP letterhead with the initial application submission notifying MDHHS of the participant's assessment of functional eligibility and request for participation in the MI Choice Waiver program. [MDHHS Cover Letter](#)

If the participant filed an application with MDHHS prior to the initial assessment, the Supports Coordinator must confirm MDHHS' receipt of application and its current status. A CHAMPS Eligibility Report will confirm if the participant has an application pending. Once confirmed, the Supports Coordinator should make every effort to get copies of the pending application and all verifications submitted to MDHHS by the participant. The Supports Coordinator cannot make a determination to the presumptive eligibility of the participant without having knowledge of the information provided on the application and the verifications submitted to process the application. Merely reviewing the participant's income and assets is not sufficient, in this situation.

Waiver Medicaid for Single Person

A single participant's gross income must be at or below 300 percent of the SSI Federal Benefit Rate. An individual cannot spend down income to waiver eligibility. If a potential participant's income is above the gross income limit, the participant is not eligible for the MI Choice Waiver.

A single participant's assets must be at or below the appropriate asset limit for the extended care category of Medicaid. Assets are defined in the BEM as cash, personal property and real property. More specific details on assets are included in the BEM 400. One home and one vehicle are exempt from the total asset amount used to process eligibility.

Waiver Medicaid for Married Couple

A married participant's gross income is treated the same as a single participant's income. A married participant cannot spend down income to meet Waiver eligibility. If a potential participant's income is above the gross income limit, the participant is not eligible for the MI Choice Waiver.

One Spouse on Waiver Medicaid:

A waiver participant is a group of one even when they live with their spouse, however, special asset rules apply when determining initial asset eligibility. In order to establish the participant's asset eligibility, an Initial Asset Assessment must be completed and processed prior to the Medicaid application to establish the first day of continuous care for Medicaid eligibility by filing the [DHS-4574 B Assets Declaration Patient and Spouse](#) form with MDHHS. The IAA is also used to establish the amount of the couple's assets protected for use by the community spouse.

The first period of continuous care is a period of at least 30 consecutive days where the participant has been or is expected to be in a hospital and/or LTC facility or receiving appropriate home and community-based services specified under the approved state waiver. The first period of continuous care may have occurred in the past; however, the applicant must be currently receiving services in order to be eligible. Supports Coordinators will assist with preparing and submitting the Initial Asset Assessment (IAA) and must submit the following to the MDHHS Long Term Care Eligibility Specialist per [BEM 106](#):

- [DHS-4574 B Assets Declaration Patient and Spouse](#) with Verifications
Verification Waiver Agent conducted assessment utilizing the [MI Choice MDHHS Letter](#)
- Verification from Waiver Agent indicating there is an available waiver slot for the participant utilizing the [Waiver Slot Letter](#)
- Copy of the participant's signed Person-Centered Service Plan
- Copy of the participant's Service Summary which shows services in place, including Supports Coordination

MDHHS is responsible for processing the IAA and verifications and notifying the participant of their eligibility and/or the couples protected assets and the total amount of assets required to be spent in order to approve the participant for Waiver eligibility. The maximum protected spousal amounts are listed in the BEM 402 and are adjusted annually at the Federal level.

If the marital assets are under the minimum resource standard amount, the participant and spouse enter a presumed eligibility period of one year. During this year, the participant is found eligible by MDHHS and will be enrolled in the MI Choice Waiver with the full extent of services available to them as deemed appropriate and necessary in the plan of care. The participant and spouse have the presumed eligibility period to transfer any assets between themselves, without penalty. At the end of this year-long period, the participant can have no more than \$2000.00 in total assets in their name. All other marital assets that exceed \$2000.00 should be in the community spouse's name. After this initial period, the married participant's ongoing financial eligibility will be processed as a single person.

In the event that total marital assets exceed the minimum resource standard amount, the married couple will need to spend down the excess assets on the cost of their care and/or MDHHS-approved purchases. MDHHS is responsible for notifying the participant of the protected spousal amount determined by the IAA. During this time period, any Waiver services currently being provided, including the cost of Supports Coordination/Care Management, will need to be billed directly to the participant. Medicaid-funded waiver services cannot be provided until the participant is deemed financially eligible by MDHHS. Supports Coordinators will provide the participant and spouse with information regarding appropriate handling of excess assets so as not to be in violation of MDHHS rules, namely divestment.

All expenditures by the married participant and spouse will need to be closely monitored and record of all

payments/receipts should be kept and provided to MDHHS as verification of asset eligibility. Supports Coordinators should be assisting the participant and spouse and checking in regularly during monthly contacts to note progress in the participant's record.

For participants with significant assets, the married participant and spouse may choose to utilize an Elder Law or Estate Attorney to assist with organizing their assets and filing the IAA and Medicaid application. In the event that an attorney is involved in establishing financial eligibility for the MI Choice Waiver, Supports Coordinators are responsible for contacting the attorney and coordinating financial eligibility. Supports Coordinators should request a copy of the application being filed with MDHHS for the participant's records. Supports Coordinators will also assist in providing any verifications to the attorney or MDHHS needed to establish eligibility.

Once the participant and spouse have spent down the excess assets to the determined amount specified by MDHHS, documentation will need to be submitted to MDHHS to determine asset eligibility. Once MDHHS confirms the eligibility date for the participant, the Supports Coordinator will submit the appropriate document for enrollment in the MI Choice Waiver.

Both Spouses on Waiver Medicaid (or LTC-Based Medicaid):

When both spouses are applying for or receiving Waiver Medicaid, spousal impoverishment asset allowances are no longer applicable. Each MI Choice participant/spouse is considered a "group" of one (1) and are allowed \$2000.00 of countable assets each.

Divestment

Divestment penalty periods may apply to participants of the MI Choice Waiver if MDHHS determines that assets were handled inappropriately while processing the Medicaid application. Divestment is defined by MDHHS as the transferring of assets or property for less than its market value over a defined look-back period. BEM 405 provides specific information on divestment and how it applies to eligibility.

Divestment results in a penalty period in MA, not ineligibility. The penalty period is computed based on the difference between the value of the asset and the amount received for that asset divided by the average monthly private long-term care cost in Michigan. The long-term care cost amounts used in the calculation are listed in the BEM.

During the divestment penalty period, Medicaid will not pay for any long-term care costs for the participant. A person must be enrolled into the MI Choice Waiver during their assigned divestment penalty period but they will be expected to use their own resources to privately pay for their MI Choice Waiver services.

- Participants may elect to pay their providers privately for their Waiver services during their divestment period. In the instance, UPCAP would bill the participant only for their support's coordination/care management costs.
- Participants may elect to reimburse UPCAP for the total monthly cost of their care plan. In this instance, UPCAP would bill the participant for the cost of supports coordination/care management and all other contracted Waiver services.

Supports Coordinators should notify the Director of LTC Programs immediately if a Participant is deemed to be in a divestment penalty period to determine a course of action and payment options.

In order to resolve the divestment penalty period, the participant will need to provide verification to MDHHS that they paid privately for at least one MI Choice Waiver service in addition to Supports Coordination/Care Management, for the entirety of the penalty period. Medicaid-funded MI Choice services will resume once divestment penalty has been resolved.

Redeterminations

MDHHS requires a redetermination of financial eligibility on an annual basis. MDHHS will notify participants of their redetermination date and the documents participants are required to complete and return to MDHHS. Supports Coordinators will assist participants with completing the redetermination paperwork and gathering any required verifications. Once completed, the Supports Coordinator will forward the redetermination paperwork to MDHHS and keep a copy for the participant file.

Supports Coordination/Care Management


When calculating care plan costs for participants with excessive assets or participants with divestment penalties, Supports Coordinators will use **\$575.00/month** as the cost for Supports Coordination/Care Management. The cost of other services will be determined using the prices listed for each contracted provider in the UPCAP Code Manual.

References

[Medicaid Provider Manual - MI Choice Waiver Bridges Eligibility Manual \(BEM\)](#)

Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - Updated Supports Coordination Fee
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - Revised wording re: CHAMPS eligibility report
 - Updated and added link for Asset Declaration form (MDHHS)
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - No changes
- Revised 09/29/2023 – Internal Quality Committee Annual Review
 - Added asset limits for married couples when both are participants or receiving LTC MA.
- Revised 08/04/2023 – LTC Quality Improvement Manager (EB)
 - Removed gendered language
- Revised 06/19/2023 – Director of LTC Programs (TL) & LTC Quality Improvement Manager (EB)
 - Updated with language regarding presumptive eligibility – new program

	<h1 style="color: #D9534F;">Medicaid Codes (PET, Benefit, etc.)</h1>	
Policy Number: 2022-13	Effective Date: 05/01/2022	Review Date(s): 09/10/2024; 07/22/2024; 04/23/2024; 03/18/2024; 09/29/2023; 10/06/2022
Reviewed by: Internal Quality Committee	Approved by: <i>Theresa LaFave</i>	Category: SC

Program Enrollment Type (PET) Codes and Benefit Plans

Benefit Plans and Program Enrollment Type (PET) codes are found on every Medicaid Beneficiary's CHAMPS Eligibility Report. The CHAMPS Eligibility Report should be reviewed prior to MI Choice Enrollment to ensure that the potential participant is eligible for enrollment.

Benefit Plans identify the specific program in which the beneficiary is enrolled. PET codes identify a Medicaid beneficiary's type of admission or managed care enrollment along with their living arrangement. Both the PET code and Benefit Plan ID are found in the Benefit Plans section of a participant's CHAMPS Eligibility Report, along with the applicable Benefit Plan data.

All PET codes, Benefit Plan IDs, Names, Descriptions, Type, Funding Source and Covered Services can be found in the Michigan Department of Health and Human Services [Benefit Plan table](#).

Many benefit plans allow for seamless enrollment into the MI Choice Waiver program by entering the participant/beneficiary's information directly into CHAMPS. However, there are a number of Benefit Plans that conflict with MI Choice Waiver enrollment and would require the potential participant to disenroll from their current benefit plan before enrolling in the Mi Choice Waiver. Disenrollment from existing benefit plans requires coordination between the Supports Coordinator, the Participant and MDHHS. Once disenrollment is confirmed, the participant would be eligible for MI Choice Enrollment.

Program Codes

Program codes can be found on a beneficiary's CHAMPS Eligibility Report as they coincide with the MAGI program for categorizing individuals based on their adjusted gross income. Supports Coordinators will also want to make sure that a potential participant's program code does not conflict with MI Choice Waiver Enrollment. Checking the program code for a potential participant can aid with determining whether or not their Medicaid Benefit Plan conflicts with Mi Choice Waiver enrollment.

The program codes are only reported on a CHAMPS Eligibility Report when the inquiry start date and the inquiry end date are the same day. The program code will not be available on a CHAMPS Eligibility Report that is populated over a designated timespan.

Mi Choice Waiver Allowable Program Codes are: A, B, E, M, O, P

If a potential participant has a different program code, then they would not be eligible for enrollment into the Mi Choice Waiver.

If a potential participant has an allowable program code, but no benefit plan listed, they would not be eligible for enrollment into the MI Choice Waiver until the Supports Coordinator verifies an application was submitted.

Types of Benefit Plans and Plan Codes

Listed below are some common Benefit Plans IDs and PET codes that may show up on a potential participant's CHAMPS Eligibility Report. This is not a complete list – please refer to the MDHHS [Benefit Plan table](#) for a full list of Benefit Plans.

If you are unsure of whether or not a Benefit Plan conflicts with the MI Choice Waiver, check the Program Code on the CHAMPS report to confirm eligibility for enrollment.

MI Health Link

If there is a MI Health Link enrollment in CHAMPS and the MI Choice waiver agency is trying to enroll the beneficiary for that same month, the MI Choice Waiver enrollment must be postponed until the following month. Beneficiaries enrolled in MI Health Link cannot disenroll until the end of the month.

If a potential participant is interested in disenrolling from MI Health Link and enrolling in the MI Choice Waiver, the Supports Coordinator must assist the potential participant with contacting MI Enrolls to disenroll or “opt-out” of MI Health Link, and continue to monitor their CHAMPS Eligibility Report to coordinate a MI Choice Waiver enrollment date. MI Choice Waiver enrollment cannot be entered into CHAMPS until the MI Health Link PET code/Benefit Plan is removed from the CHAMPS Eligibility Report.

Benefit Plan ID - **ICO-MC: Integrated Care Organization Managed Care**

Medicaid Health Plans (MHP):

Medicaid Health Plans are not Healthy Michigan Plans. Beneficiaries can disenroll from any community-based Medicaid Health Plan any day in a month. If a potential participant is interested in enrolling in the Mi Choice Waiver, the Supports Coordinator will need to note in the participant's understanding that enrollment in the MI Choice Waiver means that they will be disenrolled from their current Medicaid Health Plan. When a MI Choice enrollment is entered in CHAMPS, it will automatically set the end date of the Medicaid Health Plan.

Healthy Michigan Plan (HMP)

There is no asset verification or disability determination requirement for the Healthy Michigan Plan (HMP) enrollment. Healthy Michigan Plan beneficiaries can be not “presumed” financially eligible based on their Medicaid status in CHAMPS. They must also meet the age and disability status requirement for the Mi Choice Waiver.

An asset verification must be conducted by the Supports Coordinator in order to assure that the potential participant meets Mi Choice financial eligibility requirements before MI Choice Waiver enrollment can be considered. Each Mi Choice Enrollment for someone enrolled in an HMP must be handled by MDHHS on a case by case basis. A potential participant **CAN NOT** be enrolled in MI Choice until the HMP has been removed in CHAMPS. This may take some time to do given the Medicaid eligibility approvals and adjustments that need to occur at the MDHHS level.

Supports Coordinators must contact their local MDHHS office to start the process of disenrolling the beneficiary from the HMP. Supports Coordinators may need to gather additional verifications for MDHHS to complete the disenrollment process – i.e. proof of disability determination; bank statements and financial records; etc.

Benefit Plan ID's - **MA-HMP: Healthy Michigan Plan or MA-HMP-MC: Healthy Michigan Plan Managed Care**

Plan First

Plan First is a limited health coverage program administered by the Michigan Department of Health and Human Services, which is a limited Medicaid benefit, that covers family planning services such as contraceptive services and supplies, sexually transmitted infection (STI) testing and treatment, vaccines, and other preconception health services. Individuals on Plan First do not have full Medicaid coverage. There is no asset verification or disability determination requirement for the Plan First program.

Plan First beneficiaries can be not “presumed” financially eligible. They must meet the age and disability status requirement for the Mi Choice Waiver. An income and asset verification must be conducted by the Supports Coordinator in order to assure that the potential participant meets Mi Choice financial eligibility requirements before MI Choice Waiver enrollment can be considered.

Supports Coordinators must contact their local MDHHS office to start the process of determining financial eligibility for the MI Choice Waiver Program. Supports Coordinators will need to assist the participant in gathering and submitting the necessary verifications as requested by MDHHS. Once the Supports Coordinator has presumed eligibility based and contacted MDHHS, an enrollment notification can be submitted for entry.

Benefit Plan ID – **PLAN FIRST**

Long Term Care

The long-term care distinction in CHAMPS denotes a conflicting residential setting, not necessarily a program conflict. If a potential participant is interested in enrolling or re-enrolling in the MI Choice Waiver, the Supports Coordinator will need to confirm that the participant has been discharged from the facility, is living in a community-based setting, and meets all eligibility requirements for the Mi Choice Waiver. Mi Choice Enrollment cannot be entered until the potential participant has been discharged. When a MI Choice enrollment is entered in CHAMPS, it will automatically set the end date of the long-term care designation.

Benefit Plan ID - **NH: Nursing Home**

PET CODES:

- LTC-CMCF: Nursing Facility Residing at County Medical Care Facility
- LTC-NFAC: Nursing Facility, not CMCF
- LTC-NFAC: Hospital LTC Unit
- LTC-NFAC: Hospital Swing Bed
- LTC-NFAC: Ventilator Dependent Care Unit

Hospice

Participants, both potential and existing, can be dually enrolled in the Mi Choice Waiver as long as the participant is receiving hospice services in their home, not in a facility. A MI Choice Waiver enrollment may be entered directly into CHAMPS.

Benefit Plan ID - **HOSPICE: Hospice**

PET CODES:

- MHP-HOSH: Medicaid Health Plan and receiving Hospice at home
- HOS-COMM: Hospice in the Community

If a beneficiary is receiving Hospice in another setting, they would not be eligible for dual enrollment.

PET CODES:

- HOS-NFAC: Hospice in Nursing Facility
- HOS-RESA: Hospice in Residence Facility (ex: Hospice House)
- MHP-HOSN: Medicaid Health Plan and receiving Hospice in a Nursing Facility
- MHP-HOSR: Medicaid Health Plan and receiving Hospice in a Hospice Residence Facility

Program All-Inclusive Care for Elderly (PACE)

Currently there are no PACE programs in the Upper Peninsula of Michigan. However, it should be noted that a participant in the PACE program is not eligible for MI Choice Waiver re enrollment until they are disenrolled from the PACE program. PACE disenrollment is handled internally at MDHHS and disenrollment only occurs at the end of the month.

Benefit Plan ID - **PACE: Program All Inclusive Care for Elderly**

Children's Special Health Care Services (CSHCS)

A beneficiary enrolled in CSHCS-MC, a managed care Medicaid Health Plan, cannot be enrolled in the MI Choice Waiver at the same time. If the potential participant has CSHCS-MC on their CHAMPS Eligibility Report, they must be disenrolled and their current benefit plan and switched to CSHCS Fee for Service in CHAMPS before a Mi Choice Waiver enrollment can be entered. A potential participant receiving CSHCS must still meet all eligibility criteria for the Mi Choice Waiver, including age, in order to be eligible to be enrolled.

If it is determined that a potential participant would like to enroll in the MI Choice Waiver, Supports Coordinators must notify the Director of LTC Programs. The Director of LTC Programs will send a request to MDHHS to change the code in CHAMPS. Once CSHCS Fee for Service shows up in CHAMPS, the MI Choice Enrollment can be entered. MI Choice Enrollment must occur on the 1st of the month following the CSHCS-MC disenrollment and change to CSHCS fee for service.

Benefit Plan ID:

- **CSHCS-MC: Children's Special Health Care Services Managed Care**
- **CSHCS-MH: Children's Special Health Care Services Medical Home**

Habilitation Supports Waiver (HSW)

A beneficiary may not be enrolled in the Habilitation Supports Waiver and the Mi Choice Waiver program at the same time. If it is discovered that a new referral is currently enrolled in the HSW, Supports Coordinators may want to discuss the potential participants service needs and appropriateness for the Mi Choice Waiver prior to scheduling a Mi Choice Assessment. **If it is determined that a potential participant would like to enroll in the MI Choice Waiver, Supports Coordinators must notify the Director of LTC Programs. The Director of LTC Programs will contact the MDHHS MI Choice Manager to confirm that it would be "an appropriate transfer from HSW to MI Choice".**

If determined appropriate, the Mi Choice assessment and participant care planning must be handled carefully as to ensure no break in coverage. Disenrollment from the HSW would end the last day of a month with MI Choice starting the 1st day of the following month.

Benefit Plan ID - **HSW-MC: Habilitation Supports Waiver Program Managed Care**

Other Residential Settings

Medicaid beneficiaries who are not residing in the community or a **MDHHS-authorized** residential setting (HFA/AFC) are not eligible for the Mi Choice Waiver program.

References

[Medicaid Provider Manual - MI Choice Waiver](#)

[Mi Choice Contract - Attachment C](#)

[Benefit Plan table](#)

[LOC to PET Crosswalk](#)

[MDHHS BEM 101 – MA Desk Aids](#)

Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - Revised HSW & Residential Setting language
- Revised 07/22/2024 – Internal Quality Committee Review
 - Added Plan First program information
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - No changes
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - No changes
- Revised 09/29/2023 – Internal Quality Committee Annual Review
 - No Changes

	<h1 style="color: #C85130;">Third-Party Liability</h1>	
Policy Number: 2022-14	Effective Date: 05/01/2022	Review Date(s): 09/10/2024; 04/23/2024; 03/18/2024; 09/29/2023; 10/06/2022
Reviewed by: Internal Quality Committee	Approved by: <i>Theresa LaFave</i>	Category: SC

Policy

UPCAP Services, Inc. shall pursue and secure all available third-party funding, including Medicare benefits, Medicaid State Plan benefits, Veteran’s benefits, insurance benefits, and other available sources. Third-party Liability (TPL) funding, when available, shall be utilized prior to any MI Choice Waiver funding. The participant’s preference for a certain provider or agency is not grounds for declining another payer in order to access MI Choice Waiver services.

Neither UPCAP Services, Inc. or any contracted service provider will require monetary donations from participants of the MI Choice Waiver Program as a condition of participation. No paid or volunteer staff person may solicit contributions from MI Choice Program Participants, offer for sale any type of merchandise or services, or seek to encourage the acceptance of any particular belief or philosophy by any program participant.

Procedure

During the initial assessment of a potential participant, the Primary Supports Coordinator shall obtain copies or verify all insurance policy information and determine if the potential participant is financially able to contribute toward their service needs. The Supports Coordinator compares the insurance information provided during the initial assessment to CHAMPS data to ensure consistency. If a Supports Coordinator does not have access to CHAMPS, the Case Tech can run the TPL/Insurance

Discrepancies shall be reported to MDHHS within ten (10) business days of the initial assessment. All collaboration between Third-Party Liability payors, MDHHS and the Supports Coordinator must be documented in progress notes.

References

[Medicaid Provider Manual - MI Choice Waiver](#)

Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - No changes
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - No changes
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - No changes
- Revised 09/29/2023 – Internal Quality Committee Annual Review
 - No Changes

		<h1>Participant Enrollment</h1>	
Policy Number: 2022-15	Effective Date: 05/01/2022	Review Date(s): 09/10/2024; 04/23/2024; 03/18/2024 09/29/2023; 11/01/2022	
Reviewed by: Internal Quality Committee	Approved by: <i>Theresa LaFave</i>	Category: SC	

Policy

MI Choice waiver agencies determine the participant’s enrollment start dates upon verification of eligibility. No participant can be enrolled and assigned a Waiver start date until they meet ALL of the eligibility requirements. Supports Coordinators are responsible for assigning the participant’s enrollment start date and submitting the appropriate forms for entry into CHAMPS.

Procedures

Enrollment Start Dates

The MI Choice enrollment start date is on or following the assessment date. The enrollment date usually coincides with the date of assessment. However, the following situations may delay enrollment for a MI Choice participant:

1. The participant is assessed in a nursing facility or hospital, or the participant has another Medicaid PET code assigned at the time of the assessment.
 - a. On a date following assessment, the participant returns home, to the community, or terminates participation in the other Medicaid program. MI Choice enrollment may begin only after the individual returns home or terminates participation in the other program.
 - b. Waiver agencies coordinate with the MDHHS Field Office or the other program to ensure termination from the other program before enrolling the participant in MI Choice. As a reminder, individuals transferring from MI Health Link, PACE, or the Habilitation Supports Waiver may only do so on the first of the month. Waiver agencies submit enrollment information in CHAMPS.
 - c. The participant’s enrollment date follows discharge from the nursing facility, hospital, or other program. MI Choice enrollments can occur on the day of discharge from the nursing facility or hospital. The enrollment date must not be the assessment date when the individual was assessed while in the nursing facility or hospital unless the assessment date was the day of discharge from the nursing facility or hospital.
2. The participant is hospitalized after the waiver agency conducts an assessment and prior to the enrollment date being established. Enrollment must be delayed until after the participant is discharged from the hospital.
3. The participant currently participates in the Home Help Services program. It must be verified and documented that the Home Help Services program no longer meets the service and support needs of the participant through an in- home assessment. Participants CAN NOT be required to request and have additional Home Help Services benefits denied before making this determination.

- a. Supports Coordinators will need to coordinate with the Adult Services worker to confirm termination of the Home Help Services program before MI Choice enrollment. The participant will also need to notify the MDHHS Field Office of this decision to terminate the Home Help Services program enrollment.
 - b. Participants are entitled to receive State Plan services while in MI Choice; however, MI Choice will meet all personal care needs so that the individual does not require Home Help Services when enrolled in MI Choice. The Supports Coordinators (SCs) should fully explain this choice to participants requesting to switch from the Home Help Services program to MI Choice.
4. The participant is assessed at the end of a month. With participant's approval, MI Choice enrollment may be postponed until the first day of the following month.
 5. During the assessment, it is determined that the participant meets NFLOCD criteria but has excess assets. The waiver agency shall delay enrollment into MI Choice until the MDHHS Field Office determines the participant to be financially eligible.
 6. The participant is enrolled in another managed long-term services and supports (LTSS) program, including MI Health Link, PACE and Habilitation Supports Waiver, at the time of assessment. These are managed care programs where disenrollment is effective at the end of the month. Coordination is required to ensure that the first day of enrollment into MI Choice is the first day of the month after the effective date of the MI Health Link, PACE or Habilitation Supports Waiver disenrollment.
 7. The participant does not have Medicaid at the time of assessment. Before the participant can be presumed financially eligible for MI Choice, the participant must submit a Medicaid application to the MDHHS Field Office.

Enrolling from Care Management Program

In many situations, a participant may functionally qualify for the MI Choice Waiver Program but may not meet financial eligibility requirements at the time of initial assessment. Additionally, a participant may require additional time to gather the financial verifications required for the Supports Coordinator to make a determination of presumptive financial eligibility. In these cases, Supports Coordinators may choose to enroll the participant in the Care Management Program (ACLS-CM) while the participant is working on their financial eligibility.

MDHHS requires a "current" InterRAI-HC Assessment (iHC Assessment) date to correspond to a participant's MI Choice Waiver enrollment/start date. Although UPCAP utilizes the same assessment for the Care Management program as the MI Choice Waiver program, MDHHS does not consider the assessment utilized for enrollment into the Care Management program as valid for enrollment into the MI Choice Waiver Program.

If the Supports Coordinator is able to determine or presume financial eligibility within 14 days of the initial NFLOCD completed at the initial assessment, the Supports Coordinator is able to enroll the participant into the MI Choice Waiver program using the participant's initial assessment date for MI Choice Waiver enrollment.

However, if the participant is unable to produce the needed verifications for a determination of financial eligibility, or is not presumed financially eligible, within the 14-day window, the Supports Coordinator is required to complete a new NFLOCD and iHC assessment to correlate with the start date and enrollment into the MI Choice Waiver once they are eligible. If a Supports Coordinator chooses to adopt the participant's existing NFLOCD in CHAMPS upon enrollment into the MI Choice Program from the Care Management Program, the Supports Coordinators have seven (7) calendar days to complete a new full iHC assessment in COMPASS to correlate to the date of the MI Choice Enrollment.

Determining Start Dates with Pending Medicaid

There are multiple options when determining the start date for a participant whose Medicaid application has just been filed and is currently being processed by MDHHS for financial eligibility. Special enrollment circumstances apply to

married participants. For more information regarding establishing enrollment dates for married participants, please refer to the MI Choice Waiver Medicaid Guide.

[Refer to **Policy Number: 2022-12 - MI Choice Waiver Medicaid Guide** for a more detailed process]

Do Not Enroll Until Eligibility is Confirmed

The Supports Coordinator, based on the information gathered during the Medicaid application process and review of financial eligibility, may wait to enroll the participant in MI Choice until MDHHS has made a final determination of financial eligibility. In most cases, this applies to married participants whose total and protected spousal assets need to be determined by MDHHS before financial eligibility can be confirmed.

The Supports Coordinator will notify the MDHHS Field Office that it has assessed the participant and determined that they meet all MI Choice Waiver eligibility criteria, except Medicaid eligibility. This means the participant has been approved for the MI Choice Waiver, but the participant will not be enrolled until MDHHS has determined the participant's Medicaid eligibility. Supports Coordinators will not submit the Enrollment Notification form for entry into CHAMPS until the financial eligibility date is verified by MDHHS. The participant will be enrolled into the Care Management program (60+ years old) or the Local Care Management Program (59 years old and under) and assigned the appropriate program status (OSA/CM) or (LCM-1) while Medicaid eligibility is being determined by MDHHS.

MI Choice enrollment occurs when all eligibility criteria has been confirmed and cannot be sooner than the date that the MDHHS Field Office confirms Medicaid eligibility, regardless of any retroactive Medicaid eligibility dates. Depending on the length of time between the initial assessment of functional eligibility and the determination of financial eligibility by MDHHS, it may be necessary to complete an additional NFLOCD or iHC assessment before enrolling the participant in MI Choice, especially if there has been a change in the participant's functional status or condition.

Presume Eligible until MDHHS confirms Eligibility

The Supports Coordinator, based on the information gathered during the Medicaid application process and review of financial eligibility, may presume that the MDHHS Field Office will approve the participant's Medicaid application. This participant will be considered to have a "presumed eligibility" and will be enrolled as though they are financially eligible.

The participant must have a Medicaid application on file with MDHHS in order to be eligible for the presumptive eligibility period and submission of the application must be confirmed by the Supports Coordinator. The Supports Coordinator will then notify the MDHHS Field Office that they have assessed the participant and determined that they meet all eligibility criteria, except Medicaid eligibility, and determined that the participant is "approved" for the Waiver.

The Supports Coordinator will submit the Enrollment Notification to the Enrollment Specialist for entry into CHAMPS. The participant will be assigned a status of Waiver-Pending. During this time, the participant will be eligible to receive the full array of services identified in their person-centered service plan (PCSP) and the Supports Coordinator will proceed as if the participant has met all eligibility criteria. The Supports Coordinator must develop the participant's PCSP and be actively working on getting all services in place during the presumed eligibility period. The PCSP must consist of at least one MI Choice Waiver service and Supports Coordination on an ongoing basis.

For married participants whose assets are at or below the minimum resource standard and the enrollment date is being determined to establish the first day of continuous care for Medicaid eligibility, the Supports Coordinator will follow the same steps as listed above for a single participant. The Supports Coordinator will need to notify the MDHHS Field Office that the participant meets all eligibility criteria, except Medicaid eligibility and has determined that the participant is "approved" for the Waiver. The participant will be assigned the status of Waiver-Pending and the Enrollment

Notification will be submitted to the Enrollment Specialist for entry into CHAMPS. During this time, the participant will be eligible to receive the full array of services identified in their person-centered service plan (PCSP) and the Supports

Coordinator will proceed as if the participant has met all eligibility criteria. The Supports Coordinator must develop the participant's PCSP and be actively working on getting all services in place during the presumed eligibility period. The PCSP must consist of at least one MI Choice Waiver service and Supports Coordination on an ongoing basis.

Program Status

Once the enrollment start date has been determined, the Supports Coordinator will update the participant's Status Report and assign program status of Waiver-Pending to the participant. The start date for Waiver-Pending must match the start date listed on the Enrollment Notification form. Supports Coordinators will submit the updated status report to the appropriate Case Tech for entry.

The Waiver-Yes program designation is only applied once enrollment has been confirmed in CHAMPS and is entered by the Enrollment Specialist. All participants who were presumed financially eligible prior to MDHHS's determination will have the Presumptive Eligibility Pilot (PEP) program designation entered on the COMPASS status report at this time.

Mi Choice Waiver Enrollment Notification

The Supports Coordinator will complete and submit the [MI Choice Waiver Enrollment Notification](#) to the Enrollment Specialist for entry into CHAMPS. The notification must be submitted and entered within five (5) business days of establishing the participant's eligibility and enrollment start date. This form must also be documented in the participant file.

Entering Enrollment into CHAMPS

The Enrollment Specialist will enter the participant's Waiver Enrollment date and required information into CHAMPS. To ensure the accuracy of the information provided on the enrollment notification form, the Enrollment Specialist will confirm the participant's name, address, date of birth, social security number, and Waiver start date by reviewing the participant's status report and COMPASS file before entering the enrollment in CHAMPS.

Once the enrollment is entered into CHAMPS, the Enrollment Specialist will then notify the appropriate MDHHS Field Office by email of the new Waiver Enrollment. The Enrollment Specialist will document in the participant's file and continue to monitor the enrollment for completion in the CHAMPS system. If there are any PET code or Benefit Plan conflicts or issues with enrollment, the Supports Coordinator will be notified of the issue and be responsible for following up with the participant and/or the appropriate entity to resolve the issue.

Confirming Mi Choice Waiver Enrollment

The MI Choice PET code and Benefit ID will set once CHAMPS is able to verify Medicaid eligibility via data transfers from Bridges. CHAMPS will be checked daily and continued monitoring will be noted in the participant file. As soon as the MI Choice code is updated and the MDHHS Field Office confirms Medicaid eligibility, the Enrollment Specialist will update the participant's Status Report and change the program status from Waiver-Pending to Waiver-Yes as of the initial enrollment date. The Supports Coordinator will be notified of the status change and will add the updated report to the participant file. Only the Enrollment Specialist, Director of LTC Programs, or other designated staff are able to update the participant's program status to Waiver-Yes.

If the participant was presumed eligible but MDHHS denies eligibility, the participant has the right to appeal MDHHS's decision. [See **Policy: 2022-31-Action Notices and Appeal Rights** for more guidance regarding appeal process]. The Supports Coordinator is responsible for notifying the participant, in writing, that services will end immediately upon MDHHS denial of financial eligibility. If appealed, the services will terminate upon issuance of a Decision and Order upholding the denial or if the participant withdraws the hearing request.

If the participant does not appeal MDHHS’s decision, the Supports Coordinator will need to work with the participant to determine next steps. The Supports Coordinator should offer the participant enrollment into the Care Management program, if eligible, before closing the participant. The Supports Coordinator must notify the Enrollment Specialist and Director of LTC Programs of the determination of ineligibility immediately. [Refer to **Policy Number 2022- 15-01 - Presumptive Eligibility Program Policy** for a more detailed process]

The Director of LTC Programs or Enrollment Specialist will notify the MDHHS Home and Community-Based Services Staff and formally request the removal of the MI Choice enrollment date in CHAMPS. If the participant would like to continue to receive Supports Coordination/Care Management services after the denial, the participant can be opened to the Care Management or Local Care Management program at that time.

Enrollment – Care Management

Participants who are not financially eligible for enrollment into the MI Choice Waiver but still require Supports Coordination/Care Management services, or are going to actively spend down their excess assets to obtain future financial eligibility into the MI Choice Waiver, will be enrolled into the Care Management program. Supports Coordinators will assign the program status of AASA/CM (60+) or LCM-1 (Under 60) on the participant’s status report therefore enrolling them in the Care Management or Local Care Management program as of the initial assessment date.

References

[Medicaid Provider Manual - MI Choice Waiver](#)
[Mi Choice Contract - Attachment C](#)

Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - Added section re: enrolling from Care Management
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - No changes
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - No changes
- Revised 09/29/2023 – Internal Quality Committee Annual Review
 - Link to new Presumptive Eligibility Program Policy
 - Additional language added re: Medicaid denial



Presumptive Eligibility Program

Policy Number: 2022-15-01	Effective Date: 10/01/2023	Review Date(s): 09/10/2024; 04/23/2024; 03/18/2024
Reviewed by: Internal Quality Committee	Approved by: <i>Theresa LaFave</i>	Category: SC

Policy:

The Michigan Department of Health and Human Services (MDHHS) strongly encourages MI Choice Waiver agencies to meet the needs of potential participants who do not currently have Medicaid eligibility, would otherwise qualify for MI Choice services, and who are likely to gain Medicaid eligibility upon submitting a Medicaid application. In order to do this, MDHHS has set aside designated funds for the purpose of presuming eligibility for MI Choice participants who do not currently have Medicaid eligibility. This Presumptive Eligibility Pilot (PEP) program allows Waiver agencies to start MI Choice Waiver services right away, while the Medicaid application is being processed, with the hope that these participants will be able to remain at home and in the community longer.

Prior to the designation of these funds, individual agencies could elect to presume participants financially eligible based on the agency's available funds. UPCAP has always encouraged Supports Coordinators to presume participant's financially eligible as long as the income and assets could be confirmed prior to enrollment. The PEP Program now allows for UPCAP to recoup 90% of the costs for up to six (6) weeks of MI Choice Waiver services, including Supports Coordination, for those participants who are ultimately found financially ineligible by MDHHS.

Process:

The following process outlines the requirements for those participants who are denied financial eligibility following a presumptive eligibility period. [Refer to **Policy: 2022-15 Participant Enrollment** for more guidance regarding enrollment dates and the process of presuming a participant eligible]

When a Supports Coordinator is notified by a participant or MDHHS that the participant was found financially ineligible, the Supports Coordinator must notify the Enrollment Specialist and the Director of LTC Programs immediately.

If the participant appeals MDHHS's decision within the required ten (10) day timeframe, all MI Choice Waiver services must continue until MDHHS makes a formal decision to either uphold the denial or alter the decision to reflect Medicaid approval. The Supports Coordinator will need to communicate with the participant regularly and monitor their Medicaid case. If the denial is upheld, the Supports Coordinator will notify the Enrollment Specialist and Director of LTC Programs immediately.

If the participant does not appeal MDHHS's decision or a denial is upheld after formal appeal, the Enrollment Specialist and Director of LTC Programs will determine if the participant meets the requirements for reimbursement under the PEP program.

If it is determined that the participant is eligible for the PEP, the Enrollment Specialist will update the participant's status in COMPASS to reflect Waiver-Financially Ineligible for the presumed eligibility period and select PEP (Presumptive Eligibility Pilot) from the drop-down menu under Money Follows the Person (MFP) category on the status. Since all Waiver services rendered during that time frame cannot be reimbursed using MI Choice Waiver Medicaid funds as Medicaid eligibility has been denied, the Director of LTC Programs will re-code all services rendered during the presumptive eligibility period to 105 in COMPASS. The Director of LTC Programs or the Enrollment Specialist will notify

MDHHS Home and Community-Based Services Staff and formally request the removal of the MI Choice Enrollment date previously entered into CHAMPS.

The Supports Coordinator must complete work orders stopping all MI Choice Waiver services as of the date of MDHHS notification of financial ineligibility. If the participant is interested in participating in the Care Management program, the Supports Coordinator will submit a COMPASS status to the appropriate Case Tech denoting the Care Management program status (ACLS/CM). If appropriate, the Supports Coordinator may submit a request to the Director of LTC Programs for alternative 202 funding for in-home services.

If the participant is eligible for the PEP program, the Enrollment Specialist will update the Presumptive Eligibility Pilot Program Spreadsheet with the Participant's Last and First Name, Medicaid number (if available), Start Date of Waiver Services, Stop Date of Waiver Services, and Reason for MDHHS Denial. The spreadsheet will be utilized by the Enrollment Specialist and the Director of LTC Programs to track the updates to the Participant's Status, the date the required information is submitted to MDHHS for reimbursement, the amount billed, and the amount approved for reimbursement by MDHHS.

Expenditure Reports and Billing Process:

It is the responsibility of the Director of LTC Programs to submit the MDHHS-approved expenditure report by participant to MDHHS once PEP-related costs have been determined. Once the expenditure report is submitted, MDHHS will notify the Director of LTC Programs of the amount authorized for reimbursement.


Upon final approval, the Director of Finance will submit a financial status report in E-GRAMS. Financial status reports must be completed on a monthly basis, even if there is not an expenditure report for that month.

References:

MI Choice Contract - Attachment E

Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - No changes
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - No changes
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - No changes
- Revised 10/01/2023
 - New Policy Added

		<h1 style="color: #D9534F;">Case Classifications</h1>	
Policy Number: 2022-16	Effective Date: 05/01/2022	Review Date(s): 09/10/2024; 04/23/2024; 03/18/2024; 09/29/2023; 10/06/22	
Reviewed by: Internal Quality Committee	Approved by: <i>Theresa LaFave</i>	Category: SC	

Scope

Every participant enrolling into one of UPCAP’s Long-Term Care Programs must be assigned a case classification. Case classifications are used to identify the program the participant is enrolled in.

Policy

Supports Coordinators or Case Techs are required to designate a case classification for each Participant either at the time a referral is received or after an initial assessment, depending on the type of referral and/or program enrollment. All case classifications, including changing from one classification to another, must be supported by appropriate documentation in the Participant’s progress notes. Case classifications are made by utilizing the [COMPASS Status Form](#)

Procedures

Program Status:

Waiver-Yes

The Waiver classification (WA-Y) classification is only to be used for participants who are medically eligible, financially eligible and require two services provided by Mi Choice on a monthly basis.

Waiver-Pending

The Waiver Pending (WA-P) classification is only to be used if a participant meets medical eligibility, requires two services provided by Mi Choice on a monthly basis and appears financially eligible as a result of the “Presumptive Eligibility” determination and the Supports Coordinator is awaiting formal MDHHS approval of a submitted application, and the Supports Coordinator has determined a need to begin purchasing services prior to formal MDHHS notification. If the participant does not want services during this period time, the Participant is to be classified as Care Management-AASA CM (age 60 and over) or Local Care Management-LCM1 (under 60).

Waiver Divestment

The Waiver Divestment (WA-D) classification is only to be used if a participant meets medical eligibility, requires two services provided by Mi Choice on a monthly basis, is financially eligible, but is serving a penalty period (divestment) as determined by MDHHS.

Waiver Financially-Ineligible

The Waiver Financially Ineligible (WA Fin-I) classification is only to be used for individuals who were presumed financially eligible for Mi Choice and previously classified as Waiver Pending, but Medicaid was denied by MDHHS.

Waiver Ineligible

The Waiver Ineligible (WA-I) classification is to be used for individuals previously classified as Waiver, but are temporarily in a nursing facility. For those participants that close due to nursing home placement, the day of placement should be classified as Waiver Ineligible.

Care Management

The Care Management (ACLS-CM) classification is only to be used for participants who are medically eligible, but not financially eligible and meet age requirements (over 60). For more details regarding medical and financial eligibility, please refer to **Policy Number: 2022-08 – Initial Assessment**. This classification includes individuals who have excess assets above the allowable MDHHS level even if the asset level will be reduced to the MDHHS limit within thirty (30) days.

Local Care Management

The Local Care Management (LCM-1) classification is only to be used for participants who do not meet the age requirement for Care Management (18-59 years old) and who are medically eligible, but not financially eligible for the MI Choice Waiver program. This classification is intended to be used on a short-term basis and requires Supervisor or Director approval.

Targeted Care Management

The Targeted Care Management (AASA-TCM) classification is to be used for participants age 60 and over who are medically eligible, meet the financial tests for Medicaid without Waiver eligibility rules applied, and whose needs can be addressed through Care Management intervention. Such individuals also represent the segment of the population who have opted to maintain their MDHHS Home Help worker as the primary caregiver.

Targeted Care Management classification can also be used for individuals who may benefit from Mi Choice Waiver, but the Waiver agency has a waiting list for available waiver slots.

Options Counseling

The Options Counseling (AASA/Options Counseling) classification is to be used for participants that do not score eligible on the Michigan Intake Guide (MIG), but wish to speak to a Supports Coordinator on other available options in their community.

Case Coordination

The Case Coordination (Case Coord) classification is to be used temporarily for referrals from Nursing Facilities for individuals that answered “yes” to Section Q of the MDS Assessment.

Nursing Facility Transition

The Nursing Facility Transition (NFT) classification is to be used temporarily for referrals from Nursing Facilities for participants wishing to return to the community. This is not the same as Transition.

Veterans

The Veterans classification is to be used for individuals referred to UPCAP Services, Inc. through the Veterans Self-Directed Care Contract.

Upper Peninsula Health Plan

There are four different classifications for Upper Peninsula Health Plan participants.

- UPHP-AHH – Individuals enrolled in Mi Health Link only needing personal care services
- UPHP-C Waiver – Individuals enrolled in Mi Health Link’s C-Waiver program
- UPHP-Pending – To be used for referrals received from UPHP for a C-Waiver assessment, but approval not received yet or for nursing facility transitions on an individual enrolled in Mi Health Link
- UPHP-I – To be used for Mi Health Link participants for the time between a UPHP referral date and a C-Waiver enrollment date, if different.

Program Options:

Transition/Diversion

This is used to track participants who are either coming out of or are being diverted from a nursing facility. Participants are only designated as “Transition” when they utilize State-Plan Transition Services and approved funding to transition from a nursing facility to the community. There are currently no State-Plan Transitions Services available in UPCAP’s region, therefore, this option should not be selected for any new participants. However, if a participant has utilized State-Plan Transition Services in the past, they will always reflect Transition on their status – once Transition, always Transition.

Money Follows the Person

This is used to track participants enrolled in Money Follows the Person option, however this program is no longer available. The designation for the Presumptive Eligibility Program (PEP) was added to track participants included in this program starting in 10/1/2022. PEP designation can only be added to a participant’s status by the Enrollment Specialist or the Director of Long-Term Care Programs. If a participant is designated as PEP, they will always reflect PEP on their status – once PEP, always PEP.

Self Determination

This is used to track participants who choose the Self-Determination option for service delivery. If a participant is currently utilizing a Fiscal Intermediary or Agency with Choice for any applicable self-determined service, they must be marked “Yes” in this category.

MOU/SMOU - Significant Support System

The Significant Support System (SSP) is a special designation for participants who meet certain criteria as defined by MDHHS. The Director of Long-Term Care Programs will notify the Supports Coordinator when a participant qualifies for the SSP designation. This designation is tracked by utilizing the SMOU classification in the COMPASS status report. If a participant is designated as SSP, they will always reflect SSP on their status – once SSP, always SSP.

Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - No changes
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - Updated to include Program Options – Transition/Diversion; PEP; SD; and SSP; LCM-1
 - Updated to clarify difference between NFT & Transition designations
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - No changes
- Revised 09/29/2023 – Internal Quality Committee Annual Review
 - No changes.

	<h1 style="color: #D9534F;">Person Centered Care Planning, Monitoring and PCSP</h1>	
Policy Number: 2022-17	Effective Date: 05/01/2022	Review Date(s): 09/10/2024; 04/23/2024; 03/18/2024; 11/08/2023; 09/29/2023; 10/06/2022
Reviewed by: Internal Quality Committee	Approved by: <i>Theresa LaFave</i>	Category: SC

Scope

The Person-Centered Planning process is a contract requirement for MI Choice waiver agencies, which provide community-based long-term care services to people who are aging and/or have disabilities.

Policy

It is the policy of UPCAP Services, Inc. to utilize a person-centered planning process to establish a written Person-Centered Service Plan (PSCP) for all participants in the MI Choice Waiver program. The PCSP will be based upon the iHC assessment and choices and preferences expressed by the Participant. It will build upon the individual's capacity to engage in activities that promote community life, maximize independence, and that reflects the needs, goals, and preferences that are important to the participant. The participant's cultural background shall be recognized and valued in the planning process, and the Supports Coordinator will provide information in an understandable way.

Procedures

Person-centered planning (PCP) is an on-going process used to develop a Plan of Care that focuses on the Participant's strengths and desires, as well as areas of everyday life where they may need and want assistance. The plan is not static, and will change as new opportunities and challenges arise. The Participant directs the process and includes any and all goals, dreams, or desires that are relevant to them. The planning process should include all of the people identified by the Participant as being important and necessary to assist them in meeting their needs and reaching their goals. This may include guardians, family, friends, professionals, caregiving staff and other formal supports and allies that the individual desires or requires. The Supports Coordinator will assist the participant in identifying specific, measurable, achievable and relevant objectives to meet their goals.

The Supports Coordinator must utilize a person-centered care planning process. This starts with information provided by the Participant during the initial screening and continues on with the completion of the iHC assessment by a Supports Coordinator. During the assessment process the participant is encouraged to describe what problems or issues they feel need to be addressed in order for them to remain in the community. The Supports Coordinator explains the full array of services, supports, and options that may be available to the Participant depending on their financial circumstance, availability of a Waiver slot, and the limitations of the Waiver, ensuring that the individual is provided with information regarding all choices. The Supports Coordinator is responsible for supporting the Participant and authorizing the person-centered service plan (PCSP), which is based on the expressed preferences, desires and choices of the Participant. All services and interventions must be approved by the participant prior to implementation.

The PCP Process

- Includes people chosen by the individual.
- Provides necessary information and support to ensure the participant directs the process to the maximum extent possible, and is enabled to make informed choices and decisions.
- Is timely and occurs at times and locations of convenience to the participant.
- Reflects cultural considerations of the participant and is conducted by providing information in plain language and in a manner that is accessible to individuals with disabilities and persons who are limited English proficient.
- Includes strategies for solving conflict or disagreement within the process, including clear conflict of interest guidelines for all planning participants.
- Offers choices to the participant regarding the services and supports the participant receives and from whom.
- Includes a method for the participant to request updates to the plan, as needed.
- Records the alternative home and community-based settings that were considered by the participant.

PCP meetings are conducted when the participant is not in crisis and at a time of the participant's choice. The initial PCP meeting is frequently completed during the initial assessment visit. If the participant declines a formal PCP meeting, the Supports Coordinator must document the participant's preference in the case record. The participant has authority to determine who will be involved in the PCP process as well as a time and location that meets the needs of all individuals involved in the process. An interim plan of service may be developed by the supports coordinator when the participant is experiencing a crisis situation that requires immediate services and the participant is not ready to fully participate in PCP. Interim care plans are authorized for no more than 30 days without a follow-up visit (or planning meeting) to determine the participant's status.

COMPASS Person Centered Service Plan

The Person-Centered Service Plan (PCSP) will be developed, updated and revised as the participant's needs change. The PCSP will maximize the participant's strengths, cultural and ethnic needs, stated preference, available resources, personal control and independent living, taking into consideration the whole person. There will be active involvement of the participant, guardians, family members, caregivers, and others as deemed appropriate by the participant.

Supports Coordinators are required to utilize a person-centered planning process to establish a written PCSP for all participants in the MI Choice Waiver program. The PCSP will be based upon the iHC assessment utilizing the choices and preferences expressed by the Participant. It will build upon the individual's capacity to engage in activities that promote community life, maximize independence, and that reflects the needs, goals, priorities, and preferences that are important to the participant.

The Michigan Department of Health and Human Services (MDHHS) and the Bureau of Aging, Community Living, and Supports (ACLS Bureau) require that UPCAP utilize a standardized care plan that identifies and addresses the participant's strengths, weaknesses, needs, goals, expected outcomes and planned interventions.

UPCAP Supports Coordinators work with each participant and their allies to develop a written PCSP. The PCSP must reflect the services and supports important for the individual to meet the needs identified through the iHC assessment, and what is important to the individual regarding preferences for the delivery of services and supports. Supports Coordinators inform the participant or his or her representative of all options to address identified issues and needs. The Supports Coordinator and the participant concur upon the services and supports arranged, as well as the frequency and duration of those services.

The Supports Coordinator will utilize the **COMPASS Person Centered Service Plan** that coincides with the COMPASS iHC assessment. Through this assessment a CAPs and Triggers report is populated identifying any problems and potential areas of need. Supports Coordinators will discuss the results of this report with the Participant, along with the information obtained through the person-centered planning process to develop a comprehensive PCSP. While the PCSP

may include CAPs for each protocol triggered, not all CAPs triggered are applicable to each Participant. A participant may state that they do not wish to include specific CAPs on their PCSP. The PCSP should also never be restricted to those CAPs triggered by the Participant's assessment.

All problems and needs identified from the assessment must be addressed on the PCSP, regardless of payment source or informal service provision. Participant preferences for requested or declined services including service delivery and communication must be documented. All formal and informal services planned are to be listed, including community services to be arranged and purchased, and those that are funded from sources other than MI Choice. This includes services obtained through allies, Medicare, the State Medicaid plan, other public programs, and/or paid and unpaid supports that meet identified participant needs. It must also include those interventions provided directly by Supports Coordinators including interventions such as advocacy and/or limited counseling.

The PCSP must contain, at a minimum:

- An indication that the participant chose the setting in which the participant resides.
- The participant's preferred method of communication.
- The services and supports that are important to the individual to meet the needs identified during the individual's assessment.
- The individual's strength and preferences.
- The clinical and support needs identified by a functional assessment.
- The services and supports (paid and unpaid) that will assist the participant to achieve identified goals, and the providers of those services and supports, including natural and informal supports.
- Risk factors and measures in place to minimize them, including individualized backup plans and strategies when needed.
- Language that is understandable to the participant, and the individuals important in supporting him or her. It must be written in plain language, with no acronyms, and in a manner that is accessible to individuals with disabilities and persons who are limited English proficient.
- The individual or entity responsible for monitoring the plan.
- Participant-focused goals and outcomes, ranked and prioritized based on the Participant's preferences
- The informed consent of the individual in writing, and signed and dated by all individuals and providers responsible for its implementation.
 - Participants may provide verbal approval of the PCSP so that service delivery can begin. Supports Coordinators must document the verbal approval, including date on the PCSP, and secure the participant's signature at a subsequent in person encounter.
- Distribution to the individual and other people involved in the plan as designated by the participant.
- All service directed services.
- All services and supports.

The PCSP should also include safeguards and support mechanisms to honor the Participant's preferences and choices and provide assistance when choices may cause risk to the Participant's health.

The initial PCSP must be developed within five (5) business days of the initial assessment visit. Formal service delivery must begin with seven (7) business days of the initial assessment or indicate a plan to address Participant needs until such time as approved formal service delivery can begin. The participant must approve the PCSP and a signature obtained. If a signature cannot be obtained, verbal approval may be obtained from the participant/representative for initiating services. Verbal approval and date of approval must be documented.

A copy of the Participant's PCSP and COMPASS Service Summary must be provided to the participant and/or their designated representative each time a permanent change is made to the service plan - i.e. a service is added, decreased, stopped, etc.

For specific guidance on completing the PCSP in COMPASS, refer to the [COMPASS Person-Centered Service Plan Guide](#).

Follow-Up

The PCSP must be reviewed and updated with the Participant as frequently as necessary or preferred by the Participant. The Supports Coordinator reviews the Participant's needs and interventions on an ongoing basis during the telephonic monitoring contact, however the Participant may request changes to their PCSP at any time. The Supports Coordinator must complete a formal PCSP review 90 days after the initial assessment and then every 180 days thereafter. Supports Coordinators are required to meet with the Participant in-person to complete the formal PCSP reviews. If there are extenuating circumstances that prevent the Supports Coordinator from completing a PCSP review in person, the Supports Coordinator must contact the Director of LTC Programs and receive authorization for a telephonic review. Supports Coordinators will review the Participant's current services to ensure that they are appropriate and meeting the Participant's needs and expectations. The Participant's goals and outcomes will also be reviewed and updated with their progress, allowing for revisions or adjustments to the PCSP if the Participant is not able to attain desired outcomes. If there are barriers to meeting any goals outlined in the PCSP, the Supports Coordinator will document these barriers and discuss these with the Participant.

References

[Medicaid Provider Manual - MI Choice Waiver
Compass User Guide Assessment/PCSP](#)

Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - No changes
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - No changes
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - Updated language to reflect ALL services on the PCSP
- Revised 11/08/2023 – Internal Quality Committee
 - End of Public Health Emergency – updated policy to include in-person requirement for formal PCSP reviews.
- Revised 09/29/2023 – Internal Quality Committee Annual Review
 - Add link to COMPASS PCSP Guide

	<h1 style="color: #E67E22;">Participant Management of Risk</h1>		
Policy Number: 2022-18	Effective Date: 05/01/2022	Review Date(s): 09/10/2024; 04/23/2024; 03/18/2024; 11/08/2023; 09/29/2023; 10/06/2022	
Reviewed by: Internal Quality Committee	Approved by: <i>Theresa LaFave</i>	Category: SC	

Scope

Participant management of risk embraces all the decisions one makes and activities one undertakes with the intent of improving one’s health and safety in their environment and living arrangements. Each risk involves the possibility of detrimental consequences and their likelihoods. The management component of risk management involves decisions about these risks. In MI Choice, effective risk management builds upon the service planning and monitoring processes.

Policy

Waiver agencies are required to assist MI Choice participants in managing the risks associated with choosing home and community-based service programs over institutionalization. Critical risks should be addressed during the PCSP development process by incorporating strategies into the plan to mitigate whatever risks may be present. The Supports Coordinators will identify potential risks to the participant during all assessments and fully discuss these risks with the participant and their allies upon identification. Supports Coordinators will provide information regarding potential interventions and/or modifications available to address the risks identified. Supports Coordinators will educate the participant to ensure that the participant makes informed choices concerning their risks. The participant will ultimately determine what risks to include in their PCSP and the preferred methods of monitoring their potential impact. The Supports Coordinators are responsible for informing service providers of a participant's risk status when ordering services in the traditional MI Choice program. The participant or, if preferred, the Supports Coordinators inform service providers of the participant’s risk status when the participant chooses the Self-Determination option.

Procedures

Clinical Assessment Protocols (CAPs) and Triggers

The iHC is designed to “trigger” items, called Clinical Assessment Protocols (CAPs), based on answers provided by the participant during the assessment process. These CAPs identify areas of concern or “risk” that may apply to the participant. CAPs cover problems in four broad areas of concern: Functional Performance, Clinical Issues, Cognition and Mental Health, and Social Life. Within these four categories, there are 27 total CAPs. These specific CAPs are designed to identify issues or areas that could benefit from further evaluation of specific problems and risks for functional decline. Some of the CAPs form the core of the PCSP when relevant to the participant's existing problems and risks, others will not.

Supports Coordinators may choose to use the computerized report called the CAPs and Triggers Report (C&T report) that generates following each assessment of a participant. Supports Coordinators can use the information on the C&T report to identify problems, evaluate causes and associated conditions for the problems, and to assist in the development of necessary goals and related approaches to services and referrals for services and supports.

The review of the C&T report requires Supports Coordinators to evaluate a wide variety of triggered problems. The focus is not just on simple maintenance services or planning a response to an immediate problem. While these are included, the system also helps Supports Coordinators to assess for opportunities to rehabilitate function, prevent decline, and maintain participant strengths. In responding to urgent needs, the participant and their Supports Coordinators can identify service and support priorities. In looking at chronic problems, the participant and their Supports Coordinators can maintain comprehensive well-being. All risk planning and management is contingent on the participant and families agreeing with the assessment and the Supports Coordinator's recommendations in care planning.

It is important to note that not all conditions triggered apply to every participant. The Supports Coordinator or participant may have already planned for, ameliorated, or determined a specific condition is not a problem to the participant.

Conversely, the CAPs do not include every problem that the participant needs to have addressed in care planning and risk management. However, the CAPs are sound starting points covering most frequent problem situations that Supports Coordinators need to address in care planning and risk management. Supports Coordinators fully discuss strategies to mitigate risk with the participant and their allies, family, and relevant others during the PCP. The Supports Coordinators inform the participant of risks and educate the participant about consequences of chosen risks, as necessary. Supports Coordinators document the participant's informed choice in the case record. Only participant-approved risk strategies are added to the PCSP.

Service Need Levels Based on Identified Risks

Supports Coordinators must categorize each MI Choice participant into a service need level based upon the participant's immediacy of need for the provision of services and the availability of informal supports. Supports Coordinators must make direct service providers aware of the service need levels and the classification of each participant served by that provider so that the service provider can target services to the highest priority participants in emergencies.

1. Immediacy of need for the provision of services
 - Immediate – the participant cannot be left alone
 - Urgent – the participant can be left alone for a short time (less than 12 hours)
 - Routine – the participant can be left alone for a day or two
2. Availability of Informal Supports
 - No informal supports are available for the participant
 - Informal supports are available for the participant
 - The participant resides in a supervised residential setting

Grid of Service Need Levels

Immediacy	Informal Supports	Service Need Level	Service Need Level Description
Immediate	None	1A	This means you cannot be left alone. If your services are not delivered as planned, your backup plan needs to start immediately.
Immediate	Available	1B	This means you cannot be left alone. If your services are not delivered as planned, your family or friends need to be contacted immediately.
Immediate	SRS	1C	This means you cannot be left alone. Staff at your place of residence must be available to you as planned or follow established emergency procedures.
Urgent	None	2A	This means you can be left alone for a short time. If your services are not delivered as planned, your backup plan needs to start within 12 hours.
Urgent	Available	2B	This means you can be left alone for a short time. If your services are not delivered as planned, your family or friends need to be contacted within 12 hours.
Urgent	SRS	2C	This means you can be left alone for a short time. Staff at your place of residence must check on you periodically each day. Follow established emergency procedures if no staff is present in the home.
Routine	None	3A	This means you can be left alone for a day or two. If your services are not delivered as planned, your backup plan needs to start within a couple of days.
Routine	Available	3B	This means you can be left alone for a day or two. If your services are not delivered as planned, your family or friends need to be contacted within a couple of days.
Routine	SRS	N/A	There is not a 3C service need level because participants in supervised residential settings typically require 24-hour supervision and cannot be left alone for long periods.

Contingency Planning

The development of contingency plans is one way to address some of the risks encountered by MI Choice participants. MDHHS identifies both emergency plans and backup plans as contingency plans for MI Choice participants. The Back-up Plan populated in COMPASS incorporates both an emergency plan and back-up plan into one document, pulling from information within the participant file and assessment, as well as work orders entered.

Back Up Plans

Once the participant's iHC assessment is finalized in COMPASS and work orders authorizing all services are entered, the back-up plan must be printed and provided to the participant, service providers, and any others as preferred and authorized by the participant. Contracted providers that utilize Vendor View will have access to the participant's back-up plan within the Vendor View system.

The back-up plan must be updated at least annually and reviewed whenever there is a change. The Supports Coordinator will be responsible for sending a copy of the updated back-up plan to the participant and any others as requested by the participant. The Supports Coordinator must document confirmation that the participant and/or the guardian received a copy of the back-up plan each time that it is updated and sent. Additionally, if the participant and/or guardian has declined a copy of the back-up plan, the Supports Coordinator must document that it was not sent due to participant choice, each time (Documentation of preference within the Supports Coordination intervention within the PCSP is not sufficient). A copy of the most up-to-date back-up plan must also be located in the participant file.

Backup Plans must include the following information:

- Participant name, address, and contact information
- Direction for contacting emergency services - 911
- Name and contact information for participant's primary care physician
- Name and contact information of primary Supports Coordinator, including back-up contact information if primary Supports Coordinator cannot be reached
- Service need level of participant
- Emergency contacts and their contact information
- Contact information for Participant's Guardian or responsible party, if applicable
- Existence of Advance Directives and location of document
- Type of assistance needed in case of an emergency, including evacuation plans and designation of emergency exits
- Whether or not the participant has medical equipment requiring power
- Location of the participant's medication list
- Alternative caregivers and contact information
- Contingency plan for service provision, including participant's preference to not have a back-up provider if applicable
- Informal Supports and contact information
- Name and phone number of all formal service providers, both purchased and arranged

It is the Supports Coordinators responsibility to ensure that all the information within the participant's COMPASS file and assessment is fully completed and accurate so that the backup plan reflects the participant's current needs and information.

In situations where services are limited or not available due to a participant residing in a remote area and/or limited provider agency staffing, the participant must be informed of the risks and likelihood that not all identified needs will be met. If no informal supports are able to supplement paid providers, the Supports Coordinator should note the unaddressed need(s) in the Participant's COMPASS Assessment under Section Q: Service Utilization - Formal Care. A brief summary should be added to the section entitled *My Back-Up Plan for Care is:* stating specifically which services will not be provided and that the participant is aware of risks.

Supports Coordinators are responsible for addressing issues that may arise with service provision. If informal supports are delayed in relieving agency staff, the informal supports will be instructed to contact the Supports Coordinator. If the Supports Coordinator is unavailable, the informal support will contact the service provider. If the Supports Coordinator determines that increased services are necessary based on the participant's service level need, they may authorize extra time on a one-time-only basis. If informal supports are consistently unreliable, the Supports Coordinator will want to address the issues and concerns with the participant and informal supports, focusing on the agreed-upon responsibilities of the informal support system. The Supports Coordinator may adjust the person-centered care plan if necessary and approved by the participant.

If there are consistent missed or no-show visits on behalf of the provider, the Supports Coordinator will be responsible for communicating these concerns to the provider. These concerns may be voiced at monthly case conferences or on individual phone calls with the provider as they occur. The Supports Coordinator may also want to alert their Team Leader and/or Director of LTC Programs. Information regarding the reliability of a provider can also be relayed to the Quality Assurance Team and addressed during their provider monitoring.

[Sample back-up plan](#)

Advanced Directives

Advanced directives are legal documents that allow the participant to document their decisions about end-of-life care ahead of time. At the initial home visit and assessment, the Supports Coordinator will review the importance of completing an Advanced Directive.

If the participant has already completed an Advanced Directive, the Supports Coordinator will ask permission to make a copy for the participant's file, making note of the participant's end of life wishes. If unavailable, the Supports Coordinator will take note of the location of the completed form and note participant's wishes in the file.

If the participant does not currently have an Advanced Directive in place, the Supports Coordinator will provide the participant and/or approved representative with the Advance Directives document to complete. The Supports Coordinator can assist the participant with reading through the Advance Directive document and answer any questions.

However, it is a conflict of interest and not appropriate for the Supports Coordinator to act as a witness or accept the responsibility of becoming the participant's decision maker.

If the participant is not interested in completing an Advance Directive form or the Supports Coordinator deems that it is not appropriate, the Supports Coordinator must document this in the participant's record.

Durable Power of Attorney for Health Care

Participants also have the opportunity to assign someone the responsibility of making health care decisions for them if and when they are unable to do so safely. The Durable Power of Attorney for Health Care document allows for the participant to formally name a trusted individual as their Durable Power of Attorney (DPOA) to make decisions in the event that they have become incapacitated. The DPOA must be a willing party and must sign the document assuming the responsibility.

Many Advance Directives documents provide a DPOA form that can be completed at the same time.

The designation of the DPOA provides evidence of the participant's wishes, not formal delegation of decision-making authority. The participant remains in charge of all decision-making until they voluntarily delegate responsibility, two health care officials activate the DPOA, or the court grants that responsibility to another individual.

Guardianship

The Support Coordinator's goal is to promote the general welfare of all participants by establishing a system which permits persons who are "incapacitated" to participate as fully as possible in all decisions which affect them. The definition of "incapacitated" is an individual whose ability to receive and evaluate information effectively and communicate decisions in any way is impaired to such a significant extent that they are partially or totally unable to manage their financial resources or meet essential requirements for their physical health and safety. If a Guardian is already in place for a participant, it is the Supports Coordinators responsibility to make a copy of guardianship paperwork for the file. The guardian will ultimately be responsible for providing authorization and signing all legal documents with respect to enrollment in the MI Choice Waiver.

When a participant makes decisions that are self-injurious or jeopardize the safety of others, Supports Coordinators determine if an appropriate substitute decision-maker can act informally or if they should seek a guardian to protect the participant.

When a Supports Coordinator determines a participant's poor decision-making abilities and/or behaviors are not the result of a basic lack of knowledge that can be addressed through education and teaching, the participant's ability to understand the consequences of their decisions or behaviors, i.e. competency, must be examined. A person has the right to make unsafe decisions as long as they understand the consequences of those decisions. This follows the principles of personal choice, and self-determination. When it has been determined that competency is in question, efforts to ensure participant safety and security should be accomplished using the least restrictive mechanism possible so that the person is able to retain as much of their autonomy and dignity as possible.

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he first appropriate step would be suggesting a comprehensive cognitive assessment by a specialist to validate the deficit, identify the level of severity, and obtain a physician's medical treatment plan. At a minimum, Supports Coordinators should complete the standardized mini-mental exam on any participant they suspect may have problems with cognition.

For cases involving obvious and severe endangerment of the participant, a referral to MDHHS Adult Protective Services shall be made. Supports Coordinators shall approach responsible parties about helping with cueing, providing structure/routine, or assisting with IADLs/ADLs before seeking a surrogate decision maker.

Activation of a DPOA for health care or other matters would be the next least restrictive step if deemed necessary. Two physicians or one physician and a psychologist must attest to the fact that a person is no longer competent to make their own medical decisions in order to activate a DPOA for health care. Representative Payees and Conservatorships to assist with financial matters, and are considered less restrictive than guardianship.

Finally, there are several forms of limited guardianship available that are considered less restrictive than full guardianship. Full guardianship should be explored as a last resort for the most severely impaired. Supports Coordinators are required to inform the Regional SC Supervisor and/or Director of LTC Programs anytime they feel the pursuit of guardianship is necessary. The participant's case may need to be evaluated by the Director of LTC Programs and/or Regional SC Supervisor, to ensure that all other options have been explored. It shall not be the responsibility of UPCAP or its employees to initiate any guardianship activities. If, after consultation with the Regional SC Supervisor and/or Director of LTC Programs it is determined that guardianship is appropriate, Supports Coordinators will be directed to contact the local MDHHS office to request Guardianship procedures be initiated. MDHHS will not pursue Guardianship if they do not agree with the Supports Coordinator's recommendation. UPCAP staff are expected to fully cooperate with MDHHS staff and, if requested, testify to their knowledge of the case during the formal guardianship process.

Use of Restraints, Seclusion, or Restrictive Interventions

Under no circumstances shall a Supports Coordinator recommend the use of physical restraints for a participant or resort to the use of seclusion of a participant. UPCAP prohibits service providers from utilizing physical restraints as part of the provision of a waiver service and requires each provider agency to develop internal policies for staff when family members/responsible parties request the use of such devices.

UPCAP and its employees cannot control the use of such restraints by family members, informal caregivers, or service providers who are acting upon the request of the participant or responsible party. Additionally, lap belts used to keep a person secure in their wheelchair and other restrictive interventions can only be used if a participant requests this intervention through the person-centered planning process and it is clearly documented the participant's person-centered service plan.

An exception to restraints or restrictive intervention is bed rails or bed canes. If bed rails or bed canes are used, this must be based upon assessed need for the participant and documented in the person-centered service plan, including the purpose and size of the intervention utilized. If the participant resides in a provider-controlled setting, there must also be an order from a licensed medical professional, and this must be kept on file in the participant's case record at the waiver agency. As per requirements in federal law and the Home and Community-Based Services Chapter in the Medicaid Provider Manual, the use of bed rails or bed canes must be reviewed on an annual basis to ensure they are still required. If no longer required, the bed rail or bed cane must be removed.

Supports Coordinators shall assess the utilization of any restraints, seclusion or restrictive interventions by family or caregivers as necessary and no less than annually at the in-person assessment. Supports Coordinators have the primary responsibility for identifying and addressing the use of restraints, seclusion or restrictive interventions. If the Supports Coordinator determines that the use of such restraints or restrictive measures are inappropriate, unsafe, not in the best interest of the participant, or has caused participant injury, the Supports Coordinator should notify the Regional SC Supervisor or Director of LTC Programs to discuss their concerns. If appropriate, the Supports Coordinator may also need to make an Adult Protective Services referral and complete a Critical Incident Report.

[Refer to ***Policy Number: 2022-28 - Critical Incidents***]

Informed Risk

Supports Coordinators are responsible for assessing potential safety risks given the Participant's choices and providing the Participant with education on how to mitigate the risks their choices pose to their safety or the safety of others. In some circumstances, more comprehensive documentation of the participant's understanding of the potential negative outcomes from their choices may be necessary. For this reason, UPCAP has developed an Informed Risk Agreement form.

The [Informed Risk Agreement Form](#) is a tool designed to assist in educating a participant regarding decisions they are making and the risks associated with the decisions. The tool is designed to confirm participant understanding of the identified risks, outline a plan for addressing those risks, and establish a timeline for follow up.

The following are examples of situations in which the tool is utilized:

- Participant is transitioning from a skilled nursing facility (SNF) and the situation may be unsafe.
- Participant is choosing to live in a situation with an unsafe back up plan for supports, services or emergent situations
- Participant is making choices that may be harmful or detrimental to themselves or others
- Participant behaviors or actions are putting them at risk of losing their supports and services
- Participant is exhibiting risky behavior

The Supports Coordinator determines if the situation warrants the completion of the tool. If it is determined that an Informed Risk Agreement is appropriate, the Supports Coordinator shall:

- Contact their Team Leader, the Regional SC Supervisor and/or the Director of LTC Programs, if needed.
- Inform the participant their behavior or decisions warrant the completion of the Informed Risk Agreement
- Complete the form with the participant.
- Document the completion of the tool and participant understanding of the identified risks in COMPASS.

Once the form is completed, the Supports Coordinator must mail a copy to the participant, requesting a signature with a return envelope. The completed, signed copy is then placed in the Participant's file and a copy uploaded and attached to the Participant's record in COMPASS. The participant is also provided a copy of the signed form. If the participant refuses to sign the tool, the Supports Coordinator documents the participant's refusal in COMPASS.

[\[Informed Risk Agreement Form SAMPLE\]](#)

References

Medicaid Provider Manual - MI Choice Waiver

[Approved 1915\(b\) Waiver Application](#)

[Approved 1915\(c\) Waiver Application](#)

Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - No changes
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - Updated Restraints & Seclusion section
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - No changes
- Revised 01/23/2024 – Internal Quality Committee (following CQAR audit)
 - Added language regarding documentation of participant receipt and decline of contingency plan
 - Added language to requirement of purpose and size of restraints/restrictive intervention
- Revised 09/29/2023 – Internal Quality Committee Annual Review
 - No changes

		<h1>Participant Medications</h1>	
Policy Number: 2022-19		Effective Date: 05/01/2022	Review Date(s): 09/10/2024; 07/22/2024; 04/23/2024; 03/18/2024 09/29/2023; 10/06/2022
Reviewed by: Internal Quality Committee		Approved by: <i>Theresa LaFave</i>	Category: SC

Purpose

To identify participants who are at risk for unsafe medication management and ensuring appropriate interventions are in place to facilitate proper medication management and education.

Policy

In compliance with MDHHS standards, all MI Choice participants are assessed for risk in managing their medications. Due to the fact that the majority of MI Choice Waiver participants live in their own homes, Waiver agencies have the ongoing responsibility for second-line management and monitoring of participant medication regimens, with the first-line responsibility of management and monitoring being the prescribing medical professional. Effective risk management is built upon the assessment, service planning, and monitoring process. At-risk planning is contingent on the participant’s agreement with the Supports Coordinator’s assessment and recommendations for care. UPCAP respects the participant’s right to make choices as long as the participant appears capable of understanding the consequences and risks associated with those choices.

RN Supports Coordinators are required to create a complete list of medications for each participant in COMPASS, and perform a medication reconciliation upon participant enrollment and whenever warranted by the Supports Coordinator’s professional judgment. Reasons to complete reconciliation include, but are not limited to: multiple or recent changes to medications; hospital or nursing facility discharge; the participant is unsure of what medications they are taking; discrepancies are noted when collaborating with other health care systems; the participant is taking high risk medications such as opioids and psychotropic medications; the participant is experiencing an adverse drug event or is at risk for one.

Medication lists should be compared against the physician’s admission, transfer, and/or discharge orders, with the goal of providing correct medications to the participant at all care transition points within the hospital, nursing home and amongst Primary Care Physicians and Specialists. Adherence to the medication regimen should be documented accurately in the participant’s case record during monthly contacts and reassessments.

Supports Coordinators are not authorized to handle or administer a participant’s medication. Supports Coordinators are only authorized to handle the containers the medications are stored in. This is to gather the information to create or update the medication list in COMPASS.

Procedures

1. The Supports Coordinator will utilize the COMPASS iHC assessment to obtain a complete list of the medications the participant is currently taking, including all prescriptions, herbal supplements, vitamins, homeopathic, and over the counter medications. The Supports Coordinator must complete all COMPASS fields for each medication including, strength, frequency, route, purpose, and prescribing physician. All herbal, homeopathic, and over the counter medications should be listed and noted as such. Supports Coordinators must note all important medication information, including the dosage, how the medication is taken (route/administration), number of puffs for inhalers, number of drops, where a medicated cream is used on the body, etc. This list is reviewed and updated at each Participant reassessment, post-hospital or nursing home visit, and as warranted.
2. Supports Coordinators must ask participants to see all of the medication bottles or containers to confirm all information, as well as any medications kept in other areas of the home, such as a bedroom or bathroom, kitchen fridge, or in a bag/purse.
3. Once the initial assessment is completed, and the medication list is as accurate as possible, the Supports Coordinator should run the Medication/Allergy Report from COMPASS and submit to the participant's primary care physician with the [Medical Release Form](#) and request a review. The physician can sign the COMPASS report as accurate, make notes on the report and return, or send the SC their own list. **The RN Supports Coordinator will review the physician's records and update the medication list in COMPASS, if needed.**
 - The Supports Coordinator should make every effort to get the signed Medical Release Form from the physician. Follow-up contacts to the physician's office should be made and documented in the participant's record.
4. **The RN Supports Coordinator must review the medication list for potential errors such as duplication, inappropriate dosing, or drug interactions at the initial assessment, re-assessments, and any time there is a documented medication change.** The RN Supports Coordinator is also responsible for contacting the participant and/or their physician(s) when there are questions or concerns regarding the participant's medication regimen and documenting this contact in the participant record.
5. When a participant is discharged from the hospital or nursing home, Supports Coordinators must review the discharge instructions and medications, noting if there are changes and updating the medication list in COMPASS. **The RN Supports Coordinator is responsible for reviewing any medication changes at discharge and completing a medication review. This must be documented in the participant record.**
6. When obtaining skilled care or hospice plans of care and medication lists, Supports Coordinators must review the information, **noting if there are changes or discrepancies to the participant's medication list in COMPASS.** The RN Supports Coordinator is responsible for reviewing the medication list and completing a medication review. If the discrepancies or changes are confirmed by the physician, the changes should be added to the COMPASS Medication List. This must be documented in the participant record.
7. If there is a discrepancy with medication records, the RN Supports Coordinator is responsible for reviewing the conflicting information and following up with the participant's physician and/or appropriate provider. **The RN Supports Coordinator will document the review of medications in the participant's record.**
8. All documentation of reconciliation received from the participant's physician or any other provider should be saved in the participant's case record.
9. The Supports Coordinator is responsible for a thorough assessment of the participants health literacy regarding their current medications and their uses. The Supports Coordinator should assess the participant's understanding of their medical diagnoses and reason for taking each medication. Education should be provided when needed and documented in the participant's case record.
10. Supports Coordinators are also responsible for assessing any risks related to medication management. The participant's process for managing their medications should be thoroughly documented in the assessment. If the participant's compliance rate for taking medication is less than 100%, Supports Coordinators must document that action was taken to reduce the risk of medication mismanagement. The Supports Coordinator should assist with mitigation of risks by offering interventions and education when warranted. All interventions related to medication management must be added to the participant's Person-Centered Service Plan, and any education provided should be documented in the participant case record.
11. If the Participant does not agree or authorize medication management interventions recommended by the Supports Coordinator and expresses an understanding of the risks associated with the mismanagement of

prescribed medications, the Supports Coordinator will document this in the participant record.


12. Ongoing monitoring of the effectiveness of the participant’s medication regimen should be documented through case record review, face-to-face meetings with participants, and discussion with direct care and other staff as appropriate.
13. When completing the Initial Assessment and Reassessments, the RN Supports Coordinator is required to review and finalize the COMPASS Medication List. If the SW Supports Coordinator completes a PCSP review, Reassessment, or Monitoring Contact and is notified of a medication change, an interdisciplinary consultation with the RN Supports Coordinator to review and sign off on the medication list must be completed. The SW Supports Coordinator must notify the RN Supports Coordinator within two (2) business days of notification of medication change. The RN Supports Coordinator would need to complete a medication review and document in the case record within five (5) business days.
14. Inactive medications that contain a stop date over a year old, can be deleted from the COMPASS Medication record in the “Next Assessment”, after the most-recent assessment has been finalized.

References

[Medicaid Provider Manual - MI Choice Waiver](#)
[MI Choice Contract - Attachment C](#)

Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - No changes
- Revised 07/22/2024 – Internal Quality Committee Review
 - Added clarification regarding RN Supports Coordinator responsibilities for medication reviews.
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - No changes
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - No changes
- Revised 1/23/2024 – Internal Quality Committee (following CQAR audit)
 - Updated policy to include Waiver agency responsibility of second-line management
 - Updated to include language regarding documented issues with participant compliance
 - Updated to include process for addressing discrepancies in medication records
- Revised 09/29/2023 – Internal Quality Committee Annual Review
 - Updated process for follow up with physician office re: medication release and consent
 - Updated process for follow up with discrepancies with medication lists.

		<h1 style="color: #C8513E;">Residential Services</h1>	
Policy Number: 2022-20		Effective Date: 05/01/2022	Review Date(s): 09/10/2024; 04/23/2024; ;03/18/2024; 09/29/2023; 10/06/2022
Reviewed by: Internal Quality Committee		Approved by: <i>Theresa LaFave</i>	Category: SC

Scope

The Residential Services option within the Community Living Supports (CLS) Service Standards allows for the provision of CLS and a number of other MI Choice Waiver services to be provided within a licensed Adult Foster Care (AFC) or a licensed Home for the Aged (HFA). Residential services enhance the continuum of care for persons in need of long-term care who choose not to receive services in a nursing facility. This option provides services beyond the usual and customary services provided by AFCs and HFAs and allows participants to age in place.

Policy

MI Choice Waiver services provided through the residential services option includes only those services and supports that are in addition to, and must not replace, usual and customary supports and services furnished to residents in the licensed setting. Community Living Supports do not include any of the costs associated with room and board. The Participant and/or the participant’s informal supports will be expected to pay the home’s traditional room and board costs. Room and board costs would be reflected in a monthly lease agreement between the participant and the AFC/HFA. Supports Coordinators will request a copy of the signed lease agreement, denoting the participant’s monthly costs, and place in the participant file. This must be requested and updated annually, with receipt recorded in the participant’s record.

Only AFCs and HFAs who have entered into a Purchase of Services Agreement with UPCAP are available when implementing this option. If a participant would like to reside within an AFC or HFA that does not have a Purchase Agreement, Supports Coordinators will coordinate with the Director of LTC Programs and Quality Assurance Department to establish a formal agreement. Residential services cannot be provided until a formal agreement is in place.

UPCAP requires all contracted AFC/HFAs to meet all requirements as outlined in the Home and Community-Based Services Final Rule for all provider-controlled settings. Therefore, modifications to the [HCBS Final Rule](#) will not be authorized.

Each participating AFC or HFA will be required, as part of their contractual agreement with UPCAP, to provide documentation indicating the daily assistance provided to residents through its “Usual and Customary Services” provision of licensure. The documented amount of usual and customary care is to serve as the baseline for all service planning activities as part of establishing the participant’s PCSP.

When a participant, who is an SSI recipient, is receiving MI Choice Waiver services in an AFC/HFA home, the home will no longer be eligible to receive the personal care supplement from MDHHS as they will now be receiving payment for authorized services from MI Choice.

Referrals, Assessment, and Eligibility

The referral and assessment process remain the same for potential participants utilizing community-based MI Choice Waiver services or the Residential Services option. To utilize Residential Services, a potential participant must meet all eligibility criteria for the MI Choice Waiver program: medical/functional eligibility; financial eligibility; service need.

Supports Coordinators complete the initial assessment process as usual and establish a level of service intervention required by the Participant. The level of service intervention is determined by completing the [Residential Services Rate Calculation tool](#). The tool should be reviewed with the home/facility so they can determine the tasks and amount of time needed for each task that is included in the participants room and board rate. Once determined the amount would be deducted off the tool. The difference between the participant's total need and the amount of care provided by the home/facility is the amount of service to be purchased by the MI Choice Waiver Program. This amount qualifies the participant as having a "service need" in relation to the eligibility requirements for MI Choice Waiver enrollment. If it is determined that the home/facility meets the participant's daily needs through the services provided by the room and board rate, the participant is not eligible for the MI Choice Waiver as the participant does not meet all of the eligibility requirements for enrollment, i.e. service need.

If needed, the Supports Coordinator can request to review progress notes, current care plans, or other documentation provided by the home to determine the amount of care currently being provided by the home. It may be necessary to obtain a release from the prospective Participant in order to view this documentation. It is not sufficient to simply take the word of the home/facility staff as to the level of assistance being provided.

Documentation in the participant's record must clearly identify the participant's need for additional supports and services not covered by the home. The participant PCSP must clearly identify the portion of the participant's supports and services covered by CLS. Homemaking tasks incidental to the provision of assistance with Activities of Daily Living may also be included in CLS, but must not replace services provided by the home that are included in the room and board rate.

Enrollment and Service Arranging

The enrollment process remains the same for participants utilizing community-based MI Choice Waiver services for the Residential Services option. After determining eligibility, the Supports Coordinator must submit the appropriate paperwork for MI Choice Waiver enrollment.

Through its agreement, each participating home/facility establishes an hourly rate for services. This information will be maintained by UPCAP's Director of LTC Programs and made available to each Supports Coordinator. Residential Services are reimbursed on a monthly per diem rate. The per diem rate will likely be different for each participant based on the home/facility and the participant's overall care needs.

When arranging residential services, the Supports Coordinator must complete a traditional Work Order as well as a Summary of Residential Services and Per Diem Rate. The Summary of Residential Services and Per Diem Rate establishes what UPCAP will reimburse the home on a monthly basis for services provided to the participant. [[Summary of Residential Services & Per Diem Rate](#)]

To complete the "Summary of Residential Services and Per Diem Rate" document, Supports Coordinators are to multiply the number of Residential Service hours to be provided on a daily basis by the home's established hourly rate. This total is then multiplied by 30.4 which represents the average number of days in a month over the course of a year. This is the amount that UPCAP will be responsible for paying the home/facility for each month that the Participant resides at the home/facility.

The monthly per diem rate is adjusted in the following two situations:

1. If the Participant enters the AFC/HFA or is enrolled in the MI Choice Waiver after the 10th day of the month, the Supports Coordinators are to multiply the daily care total by the actual number of days remaining in the month. In such cases, complete both lines on the “Summary of Resident Services & Per Diem Rate” sheet, the first line indicating payment for the first month, the second line indicating payment for the subsequent months of residency.

***Example:** Participant enters AFC Home on the 16th of January. There are 16 days remaining in the month (including the day of entry). The hours of care are to be multiplied by the unit rate and by 16.*

2. If a Participant is hospitalized or away from the AFC/HFA for **5 days or less**, UPCAP will continue payment as established in the “Summary of Resident Services and Per Diem Rate” document. If the Participant is hospitalized or away from the AFC/HFA for **more than 5 days**, payment will be based on the actual number of days the Participant resides at the home similar to the first month of participation.

Participating homes/facilities are required to immediately notify Supports Coordinators of any hospitalization, leave of absence or death of the Participant. Supports Coordinators need to review documentation during reassessments to ensure that the home has in fact provided proper notification as required, as well as to ensure that services are being provided as set forth in the Work Order. This includes a review of the services logs the participating homes/facilities are required to maintain for each Waiver Participant.

Some AFCs/HFAs have indicated that they are not interested in entering into a Purchase Agreement with a Waiver Agent but will allow an outside provider to enter their home/facility to provide for the additional service needs of the Participant. In such situations the participant is responsible for paying the traditional room and board rate. Supports Coordinators are responsible for establishing their person-centered plan and to subtract from this plan the services included in the room and board rate by the home. The balance is then purchased from a traditional in-home service provider enrolled into UPCAP’s Service Purchasing Pool or through a self-directed process with the Participant identifying a caregiver who will be responsible for providing the needed care.

The home/facility will be responsible for negotiating an agreement between themselves and the in-home service provider. The agreement should include a statement that holds the AFC/HFA “harmless” to any acts by the Service Provider that endanger the health and well-being of the Waiver Participant or other residents of the home. This agreement must be in place before UPCAP can purchase services on behalf of the Participant and a copy must be placed in the participant’s file.

References

[Medicaid Provider Manual - MI Choice Waiver HCBS Final Rule](#)

Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - No changes
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - No changes
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - No changes
- Revised 09/29/2023 – Internal Quality Committee Annual Review
 - Updated process to include HCBS Final Rule Information
 - Updated process to include new MDHHS-developed rate tool and direction from MDHHS

		<h1 style="color: #C85130;">Self-Determination Program</h1>	
Policy Number: 2022-21		Effective Date: 05/01/2022	Revision Date(s): 09/10/2024; 04/23/2024; 03/18/2024; 09/29/2023; 10/06/2022
Reviewed by: Internal Quality Committee		Approved by: <i>Theresa LaFave</i>	Category: SC

Scope

The Self Determination (SD) Program option is central to implementing Self Determination policy and practice in the MI Choice Waiver. It is also an option for the Bureau of Aging, Community Living, and Supports (ACLS Bureau). It allows Participants in the Waiver and Care Management to direct and manage their services. The Participant and their supports work with the Supports Coordinator to determine the appropriate level of services (based on the PCSP/Care Plan) utilizing the person-centered planning process.

Policy

It is the policy of UPCAP Services, Inc. to provide all participants with information on the Self Determination Program. The Supports Coordinator should explain the details of the program and assist the participant with enrollment if desired. Participants may choose to use a **Fiscal Intermediary (FI)** or an **Agency with Choice (AWC)** model to implement the SD option. Both FI and AWC options allow Participants to directly employ workers or contract directly with chosen Providers. Service and support arrangements managed by the Participant may include multiple SD approved services, or just one.

Procedures

All Waiver Participants are eligible to participate in the SD Program. Participants may choose to involve someone to assist them with the process – informal supports, spouse, DPOA or Guardian. The Participant may also choose to elect a Representative to manage their self-determined services on their behalf. This Representative, however, cannot be a paid caregiver.

If a Participant needs assistance with managing aspects of the self-determination program, including assistance with managing or supervising employees, services and/or supports, the Supports Coordinator will provide additional training or resources to the participant.

If a Participant has a diagnosis of dementia and is not able to make decisions on their own (as determined by at least two physicians), the Self Determination option is still available to them if they have an activated Durable Power of Attorney (DPOA) or Guardian in place. The DPOA or Guardian must be willing to act as the Representative for the Self Determination option or assign another representative and, therefore, cannot be a paid caregiver.

Fiscal Intermediary Option

A FI is an independent legal entity that acts as the fiscal agent for the Waiver Agent and as the employer of record when the Participant directly hires their workers. The Fiscal Intermediary does the following:

- ensures financial accountability for the funds in the budget,
- receives the funds comprising the Participant's budget,
- makes payments as authorized by the Participant and approved in the budget, to Providers of services, supports, or equipment;
- acts as an Employer Agent when the individual directly employs workers.

Participants or their designated Representative choosing the FI option must:

- be willing and able to manage employees and a monthly budget,
- be willing to utilize the services of an FI to disperse funds in their budget,
- be willing to work with their Supports Coordinator to develop their individual budget or service plan using the principles and practices of person-centered planning,
- design an emergency back-up plan in the event paid providers or caregivers are not available,
- require workers to maintain daily documentation of services and supports provided, including the amount of time required to complete necessary and assigned tasks,
- (with their supports) understand the legal responsibilities in managing LTC funds - particularly Medicaid funds and the legal ramifications of Medicaid fraud.

If a Supports Coordinator feels a Participant is not able to direct or manage their services, and lacks the informal supports or ability to elect a Representative, the SC must address these concerns with the Regional SC Supervisor or Director of LTC Programs. If it is determined that the SD option is not appropriate, the Supports Coordinator must issue a formal Adverse Benefit Determination and provide the Participant with the right to appeal. This should be the action of last resort after all other attempts to rectify the situation have been explored.

Fiscal Intermediary Services Process

The Fiscal Intermediary (FI) acts as an employer agent and assists SD Participant meet state and federal requirements for hiring home care workers. The FI charges a monthly fee to perform these functions and this fee is taken out of the Participant's budget.

The FI will pay, out of the Participant's budget:

- all the Participant's SD Employees,
- Workers Compensation for each employee,
- Employer Taxes
- Goods and services purchased by the Participant that are not reimbursable under traditional Waiver funds.

After the Supports Coordinator completes the Care Plan Cost Review and receives approval for the budget from the Regional SC Supervisor and the Participant, they must:

- Complete the **Participant Registration** form online at the FI's website.
- Print copies of the Employee Application (one for each employee) or let each employee know they can fill it out on-line at the FI's website.
- Contact the FI and finalize the budget. The FI will complete the budget template and e-mail a copy to the Supports Coordinator and the Supports Coordinator Supervisor. The Supports Coordinator should know the approximate number of employees that will be used and the hourly pay rate for at least one of the employees. This will enable the FI to calculate if there will be an excess dollar amount in the budget.

The FI will also calculate the maximum hourly rate the Participant can pay their employees.

- Schedule the meeting between the FI and the Participant. All SD Employees must be present at this meeting.

The FI will bring all necessary forms and documents to the meeting including Employee Time Sheets. **Before** the Participant can begin utilizing the funds in their budget, all SD Employees must have completed the necessary paperwork for both the FI and UPCAP. **There are no exceptions to this policy.**

The FI will inform the Supports Coordinator and Participant of the budget start date. This date must be written on the Enrollment Agreement and signed by the Supports Coordinator and Participant. The Supports Coordinator Team Leader, Regional SC Supervisor or Director of LTC Programs will sign the Budget template giving final approval and mail a copy to the FI and Supports Coordinator.

Employee Requirements

Supports Coordinator shall assist SD Participants interested in hiring their own workers in determining the types of tasks and services they would want the SD Employee to perform. This will ensure that a Participant does not expect an SD Employee to perform tasks they are not capable of. It also helps the Participant determine an hourly rate of pay for the SD Employee, i.e. they may wish to pay more for personal care and specialized services, than house cleaning and chore services. Supports Coordinators shall also meet with SD Employees to review documentation requirements, if needed.

Participants shall to choose the SD Employees they want to hire; however, these workers must meet the following requirements:

- Must be at least 18 years of age
- A US citizen or Legal Alien
- Must be in good standing with the law and agree to a criminal background check
- Cannot have been convicted of abuse, neglect, or exploitation of any person
- Cannot be a legally responsible relative (spouse) or legal guardian
- Cannot be the Participant's Representative for the Self Determination Program
- If performing personal care tasks, must be trained in CPR, First Aid, and Standard Precautions. The CPR requirement can be waived by the Participant if there is a written "Do Not Resuscitate" order
- Must be willing and able to maintain written documentation related to services and supports provided and the time requirements for completing assigned tasks

Agency with Choice Option

Those Participants choosing the **Agency with Choice (AWC) option**, will be approved for services on a weekly basis as with the traditional agency arrangement. They will receive an annual budget based on the approved weekly hours. Participants will choose the workers and set the hourly wage. The AWC will employ those individuals and act as the employer of record with the Participant acting as the co-employer. The Agency is considered the employer for IRS reporting purposes.

Agency with Choice Process

The Agency with Choice (AWC) option allows Participants to hire their own workers through a traditional home care agency that has agreed to allow Participants to choose their own staff and direct their care. The AWC serves as employer of record and directly hires staff of the Participants choosing. The AWC completes all necessary paperwork as they would for any employee with the exception of the Employment Agreement that is signed by the Participant, employee, and AWC. This Agreement sets rules and policies the Participant wishes the employee to follow as well as specific hiring criteria like criminal background checks that the AWC requires. It includes the agreement of the hourly wage for the employee and number of hours allowed each week.

Supports Coordinators contact the AWC of the Participant's choosing and directs the Participant's providers/workers to contact the AWC for enrollment as an employee of the AWC for the Participant.

- All paperwork must be completed before the Employees can begin work.
- Each AWC have its own procedures for hiring and meeting with the Participant.
- Supports Coordinators complete the annual Care Plan Cost Review and send to the Team Leader or Regional SC Supervisor for approval and placed signed form in participant case record.
- Supports Coordinators have Participant sign SD Enrollment Agreement

Budgets

All Participants in the Self Determination Program will be allocated resources to purchase needed services in a monthly budget. The budget will be determined based on the hours of services needed each month or week to meet the needs of the Participant. The Supports Coordinator, along with the Participant and their supports, will through the person-centered planning process, decide on what services can best meet the needs of the Participant, and set the frequency and duration for each service.

When developing the hourly rate or wage, Supports Coordinator must use the average agency rates of existing UPCAP contracted providers, by service, to determine the total amount budgeted.

If a Participant is currently receiving traditional Waiver services and those services are meeting their needs but has elected to switch to self-determination, the budget (amount of service hours) will not be increased because they choose the Self Determination option. For Participants whose current level of traditional Waiver services are not meeting their needs, the Supports Coordinator along with the Participant will determine the appropriate number of service hours. All care plan cost reviews must be approved by the Team Leader, Regional SC Supervisor or Director of LTC Programs before implementation. Participants must sign a copy of their Plan of Care acknowledging their approval of the budget.

Wage Increases

If a Participant requests a wage increase for their employee, the Supports Coordinator must discuss the potential impact it may have on their ongoing budget. The Supports Coordinator must submit a formal request to the Director of LTC Programs, including a rationale, for any wage increases. Once the request is approved and the Supports Coordinator notified, a revised Work Order must be completed noting the wage increase and submitted for data entry.

Services

The Self-Determination option can be utilized for the following services:

- Chore services
- Community Health Worker
- Community Living Supports
- Community Transportation
- Environmental Accessibility Adaptations
- Fiscal Intermediary Services
- Goods and Services (gaps services under traditional Waiver, not available for Care Management Participants)
- Nursing Services
- Private Duty Nursing/Respiratory Care
- Respite – At home or home of another

The **fund code** for self-directed services is 108 (202 for Care Management Participants). The service (HCPCS) code for the **FI is T2025**, with a standardized remark of 8500 Fiscal Intermediary Services, per month. There is no specific service code for the AWC. Supports Coordinators are to use the HCPCS code for the service being provided by the employee as

with a traditional agency, utilizing fund code 108. Work Orders written for SD services are for the use of data entry and the FI or AWC. Participant SD Employees do not receive a written Work Order, but sign an Employee Agreement.

Billing

The FI shall bill UPCAP on a bi-weekly basis utilizing Vendor View. They must also complete a monthly expenditure report/budget for each participant. A copy of the monthly expenditure report/budget is sent to the participant and the Supports Coordinator.

The Supports Coordinator must review the report. Participants may occasionally over or under spend their budget for the month. These discrepancies should not be of concern to the Supports Coordinator or FI unless there is a pattern of over spending, or if an item or service appears on the report that the Supports Coordinator did not approve. The Supports Coordinator should then contact the Participant and FI immediately.

All AWCs shall bill on a monthly basis utilizing Vendor View. The AWC will contact the Supports Coordinator anytime an SD Employee submits a timesheet that is over the approved hours in order to obtain approval before payment is made to the employee

Neither the FI, AWC, or Participant can purchase a service not approved on the Care Plan or approved in the budget.

Goods & Services

Individual directed goods and services are services, equipment, or supplies not otherwise provided through the Waiver, Medicaid State Plan, other 3rd party, or community resources.

Goods and services can be approved when they meet the following requirements:

- The item or service would decrease the need for other Medicaid services; and/or
- promote inclusion in the community; and/or
- increase the Participant's safety in the home environment; and
- the Participant does not have the funds to purchase the item or service.
- must address an identified need in the Care Plan.
- are designed to meet the Participant's functional, medical, or social needs and advances the desired outcomes in their Care Plan.
- are not prohibited by State or Federal law.
- are purchased from the budget.
- must be documented in the individual Care Plan and authorized prior to purchase.

When a Participant wishes to purchase goods or services under the SD/FI option the Supports Coordinator must contact the FI and tell them what is being purchased, the cost and the name of Vendor. The Vendor must have a signed contract with UPCAP in order to be reimbursed by the FI.

The Supports Coordinator's shall send a **Work Order** to the FI and Vendor for their records. When completing the Work Order, the Supports Coordinator shall:

- write the name of the FI in the space for "agency," and
- write the name and address of the Vendor in the Comment Section.

***Participants using the AWC option will be able to purchase Goods and Services using a traditional provider agency.

Required Forms

Fiscal Intermediary Option

- Employee Agreement (one for each employee) - signed by Participant and employee
- Self Determination Enrollment Agreement - signed by Participant, Supports Coordinator, and representative (if applicable)
- Care Plan Cost Review - signed by Team Leader or Regional SC Supervisor
- Updated Plan of Care with cost of SD services - Participant signature required
- Medicaid Provider Agreement - signed by Employee
- Person Centered Service Plan - copy for Participant
- Back-up Worker Agreement - signed by Participant

Agency with Choice Option

- Self Determination Enrollment Agreement - signed by Participant, Supports Coordinator, and Representative if applicable. Copy to Participant and AWC
- Employment Agreement - signed by AWC, Participant, and Employee. AWC will supply copy to Participant, Employee, and Supports Coordinator
- Annual Budget (DSP form)
- Person Centered Service Plan

Forms for Participant to use with Employees

- Hiring & Managing Personal Care Workers
- Employee Job Description
- Employee Work Documentation form

Special Self Determination Rules for Care Management Participants

The ACLS Bureau allows the Self Determination option to be made available for Care Management Participants using Older American Act and Older Michiganian Act resources. However, only those services approved in the Area Agency's Annual Implementation Plan may be purchased using the Self Determination process.

Because UPCAP's reserve of Older American Act resources (202-fund code services) is extremely limited, Self Determination may only be used as an option to meet gaps in traditional service delivery (i.e. agency does not provide evening or weekend service and this is a Participant need). Participants must utilize personal resources and grant funds through traditional service delivery must be accessed and utilized before the SD process is implemented. For Care Management Participants, Self Determination may not be the sole option for meeting the Participant's needs unless approved by the Regional SC Supervisor or Director of LTC Programs, and then only when based on the absence of traditional service delivery options for the Participant.

All other aspects of the Self Determination process must be followed as set forth in this policy manual and/or by the MDHHS or ACLS Bureau.

References

[Medicaid Provider Manual - MI Choice Waiver](#)
[Mi Choice Contract - Attachment C](#)

Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - No changes
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - No changes
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - No changes
- Revised 09/29/2023 – Internal Quality Committee Annual Review
 - No changes

		<h1 style="color: #E67E22;">Services - Service Arranging</h1>	
Policy Number: 2022-22	Effective Date: 05/01/2022	Revision Date(s): 09/10/2024; 04/23/2024; 03/18/2024; 01/23/2024; 09/29/2023; 06/19/2023; 10/06/2022	
Reviewed by: Internal Quality Committee	Approved by: <i>Theresa LaFave</i>	Category: SC	

Policy

MI Choice Waiver agencies are required to authorize and purchase all approved waiver services that a participant needs to live successfully in the community that are:

- indicated by the current assessment;
- detailed in the person-centered service plan (PCSP); and
- authorized in accordance with the provisions of the MI Choice Waiver.

Procedures

Services

Waiver agencies are not able to use MI Choice Waiver Medicaid funds to pay for services not specifically authorized in advance and included in the participant’s PCSP. Supports Coordinators must also ensure that the service requested and authorized is medically necessary to ensure the ongoing wellbeing and safety of the participant. Services cannot be authorized for the financial benefit of the participant.

Supports Coordinators may only purchase services as authorized in the [Medicaid Provider Manual - MI Choice Waiver](#) with MI Choice Funds. Supports Coordinators must pay specific attention to each service definition, corresponding HCPCS codes, minimum standard and limitations and ensure, through documentation in the participant’s file, that the participant meets the requirements of each service before authorizing and arranging.

The participant must be informed of all authorized and contracted service providers and have access to any willing and qualified provider within UPCAP’s provider network. The participant has the option to select any participating provider in the network, thereby ensuring freedom of choice. The participant must also be informed that any existing services provided through grant-funded sources (ACLS Bureau) will now be provided by the MI Choice Waiver. This may result in a change in service provider if the grant-funded provider is not a contracted Waiver provider.

Waiver participants cannot be asked to contribute to the cost of their MI Choice Waiver services. However, services paid for using MI Choice funds must not duplicate or replace services available through the State Plan Medicaid or Medicare, if applicable. Supports Coordinators must explore other options for available payers first - i.e. Medicaid State Plan, Medicare, Tribal, Veterans Administration, etc. – prior to authorizing MI Choice payment and must document these attempts in the participant’s file. MI Choice is the payor of last resort. The participant’s preference for a certain provider is not grounds for declining another payer in order to access waiver services.

Waiver Service Definitions

The following services are authorized for purchase through the MI Choice Waiver:

- **Adult Day Health:** Adult Day Health services are furnished four or more hours per day on a regularly scheduled basis, for one or more days per week, or as specified in the PCSP, in a non-institutional, community-based setting, encompassing both health and social services needed to ensure the optimal functioning of the participant. Meals provided as part of these services shall not constitute a "full nutritional regimen," i.e., three meals per day. Physical, occupational and speech therapies may be furnished as component parts of this service.
- **Assistive Technology:** Assistive Technology is defined as: An item, piece of equipment, or product system, whether acquired commercially, modified, or customized, that is used to increase, maintain, or improve functional capabilities of participants or decrease social isolation. Assistive technology service means a service that directly assists a participant in the selection, acquisition, or use of an assistive technology device.
- **Chore Services:** Chore Services are needed to maintain the home in a clean, sanitary and safe environment. This service includes heavy household chores such as washing floors, windows and walls, securing loose rugs and tiles, and moving heavy items of furniture in order to provide safe access and egress. Other covered services might include yard maintenance (mowing, raking and clearing hazardous debris such as fallen branches and trees) and snow plowing to provide safe access and egress outside the home. These types of services are allowed only in cases when neither the participant nor anyone else in the household is capable of performing or financially paying for them, and where no other relative, caregiver, landlord, community or volunteer agency, or third-party payer is capable of, or responsible for, their provision. In the case of rental property, the responsibility of the landlord, pursuant to the lease agreement, will be examined prior to any authorization of service.
- **Community Health Worker:** The Community Health Worker (CHW) works with participants who are re-enrolling in MI Choice, enrolling after a nursing facility or hospital discharge, or otherwise assists participants with obtaining access to community resources. The CHW may also perform the duties of a supports broker, providing assistance throughout the planning and implementation of the PCSP, assist the participant in making informed decisions about what works best for the participant, and assists with access to housing and employment. The CHW may offer practical skills training to enable participants to remain independent, including information for recruiting, hiring and managing workers as well as effective communication and problem solving. The CHW may also coach participants in managing health conditions, assist with scheduling appointments, facilitate coordination between various providers, and assist participants with completion of applications for programs for which they may be eligible. The CHW must work in close collaboration with the participant's Supports Coordinator as the Supports Coordinator has ultimate responsibility for the participant's case.
- **Community Living Supports:** Community Living Supports facilitate an individual's independence and promote participation in the community. Community Living Supports can be provided in the participant's residence or in community settings. Community Living Supports include assistance to enable program participants to accomplish tasks that they would normally do for themselves if able. The services may be provided on an episodic or a continuing basis. The participant oversees and supervises individual providers on an on-going basis when participating in self-determination options. These services are provided only in cases when neither the participant nor anyone else in the household is capable of performing or financially paying for them, and where no other relative, caregiver, landlord, community/volunteer agency, or third-party payer is capable of or responsible for their provision. When transportation incidental to the provision of community living supports is included, it shall not also be authorized as a separate waiver service for the beneficiary.
- **Community Transportation:** The Community Transportation (CT) service combines non-emergency medical transportation and non-medical transportation into one transportation service. CT services are offered to enable waiver participants to access waiver and other community services, activities, and resources as specified in the individual plan of services. The CT service may also be utilized for expenses related to transportation and other related travel expenses determined necessary to secure medical examinations/appointments, documentation, or treatment for participants.

- **Counseling:** Professional level counseling services seek to improve the participant's emotional and social well-being through the resolution of personal problems and/or change in an individual's social situation. Counseling may only be provided through the MI Choice Waiver if no other resources are available.
- **Environmental Accessibility Adaptations:** Environmental Accessibility Adaptations (EAA) includes physical adaptations to the home required by the participant's PCSP that are necessary to ensure the health and welfare of the participant or that enable the participant to function with greater independence in the home, without which the participant would require institutionalization. Assessments and specialized training needed in conjunction with the use of such EAAs are included as a part of the cost of the service.
- **Fiscal Intermediary Services:** Fiscal Intermediary services assist participants in self-determination in acquiring and maintaining services defined in the participant's PCSP, controlling a participant's budget, and choosing staff authorized by the waiver agency. The fiscal intermediary helps a participant manage and distribute funds contained in an individual budget. Funds are used to purchase waiver goods and services authorized in the participant's PCSP. Fiscal Intermediary services include, but are not limited to, the facilitation of the employment of MI Choice service providers by the participant (including federal, state, and local tax withholding or payments, unemployment compensation fees, wage settlements), fiscal accounting, tracking and monitoring participant directed budget expenditures and identifying potential over- and under-expenditures, and assuring compliance with documentation requirements related to management of public funds. The fiscal intermediary may also perform other supportive functions that enable the participant to self-direct needed services and supports. These functions may include verification of provider qualifications, including reference and criminal history review checks, and assisting the participant to understand billing and documentation requirements.
- **Goods and Services:** Goods and services are services, equipment, or supplies not otherwise available through the MI Choice waiver or the Medicaid State Plan that address an identified need in the individual PCSP, including improving and maintaining the participant's opportunities for full membership in the community. Goods and Services are only available for those utilizing the Self Determination option for service delivery.
- **Home Delivered Meals:** Home Delivered Meals (HDM) is the provision of one to two nutritionally sound meals per day to a participant who is unable to care for their own nutritional needs. The unit of service is one meal delivered to the participant's home or a selected congregate meal site that provides a minimum of one-third of the current recommended dietary intake (RDI) for the age group as established by the Food and Nutritional Board of the National Research Council of the National Academy of Sciences. Allowances shall be made in HDMs for specialized or therapeutic diets as indicated in the participant's PCSP. HDMs cannot constitute a full nutritional regimen.
- **Nursing Services:** MI Choice Nursing Services are covered on an intermittent (separated intervals of time) basis for a participant who requires nursing services for the management of a chronic illness or physical disorder in the participant's home and are provided by a registered nurse (RN) or a licensed practical nurse (LPN) under the direct supervision of a registered nurse (RN). MI Choice Nursing Services are for participants who require more periodic or intermittent nursing than available through the Medicaid State Plan or other payer resources for the purpose of preventive interventions to reduce the occurrence of adverse outcomes for the participant such as hospitalizations and nursing facility admissions. MI Choice Nursing Services shall not duplicate services available through the Medicaid State Plan or third payer resources.
- **Personal Emergency Response System (PERS):** A Personal Emergency Response System (PERS) is an electronic device that enables a participant to summon help in an emergency. The participant may also wear a portable "help" button to allow for mobility. The system is often connected to the participant's phone and programmed to signal a response center once a "help" button is activated. Installation, upkeep and maintenance of devices and systems are also provided.
- **Private Duty Nursing (PDN)/Respiratory Care:** Private Duty Nursing (PDN)/Respiratory Care services are skilled nursing interventions provided to a participant age 21 and older on an individual and continuous basis to meet health needs directly related to the participant's physical disorder. PDN/RC includes the provision of nursing/respiratory care assessment, treatment and observation provided by licensed nurses within the scope of the State's Nurse Practice Act or respiratory therapists, consistent with physician's orders and in accordance with the participant's PCSP. To be eligible for PDN/RC services, the waiver agency must find the participant meets either Medical Criteria I or Medical Criteria II, and Medical Criteria III. Regardless of whether the participant meets Medical Criteria I or II, the participant must also meet Medical Criteria III. The

participant's PCSP must provide reasonable assurance of participant safety. This includes a strategy for effective back-up in the event of an absence of providers. The back-up strategy must include informal supports or the participant's capacity to manage his or her care and summon assistance. PDN for a participant between the ages of 18-21 is covered under the Medicaid State Plan.

- **Residential Services:** Residential Services are defined as: Personal care and supportive services (homemaker, chore, attendant services, meal preparation) that are furnished to waiver participants who reside in a setting that meets the home and community-based setting requirements and includes 24-hour on-site response capability to meet scheduled or unpredictable resident needs and to provide supervision, safety and security. Services also include social and recreational programming, and medication assistance (to the extent permitted under state law). Services that are provided by third parties must be coordinated with the assisted living provider.
- **Respite:** Respite services are provided to participants unable to care for themselves and are furnished on a short- term basis due to the absence of, or need of relief for, those individuals normally providing services and supports for the participant. Services may be provided in the participant's home, in the home of another, a Medicaid- certified hospital, a licensed Adult Foster Care facility, or a Nursing Facility. Respite does not include the cost of room and board, except when provided as part of respite furnished in a facility approved by MDHHS that is not a private residence.
- **Specialized Medical Equipment and Supplies:** Specialized Medical Equipment and Supplies includes devices, controls, or appliances that enable participants to increase their abilities to perform activities of daily living, or to perceive, control, or communicate with the environment in which they live. This service also includes items necessary for life support or to address physical conditions, along with ancillary supplies and equipment necessary to the proper functioning of such items. This service excludes those items that are not of direct medical or remedial benefit to the participant. Durable and non-durable medical equipment and medical supplies not available under the State Plan that are necessary to address the participant's functional limitations may be covered by this service. Medical equipment and supplies furnished under the State Plan must be procured and reimbursed through that mechanism and not through MI Choice. All items must be specified in the participant's PCSP. All items shall meet applicable standards of manufacture, design and installation. Coverage includes training the participant or caregiver(s) in the operation and maintenance of the equipment or the use of a supply when initially purchased. Waiver funds may also be used to cover the maintenance costs of equipment.
- **Supports Brokerage:** The supports broker assists the participant or designated representative in arranging for, directing and managing services. Serving as the agent of the participant or family, the service is available to assist in identifying immediate and long-term needs, developing options to meet those needs and accessing identified supports and services. Practical skills training is offered to enable families and participants to independently direct and manage waiver services. The supports broker may provide information to ensure that participants understand the responsibilities involved with directing their services. The extent of the assistance furnished to the participant or family is specified in the person-centered service plan.
- **Supports Coordination:** Supports Coordination is provided to assure the provision of supports and services needed to meet the participant's health and welfare needs in a home and community-based setting. Without these supports and services, the participant would otherwise require institutionalization. The supports coordination functions to be performed and the frequency of face-to-face and other contacts are specified in the participant's PCSP. The frequency and scope of supports coordination contacts must take into consideration health and safety needs of the participant. Supports Coordination does not include the direct provision of other Medicaid services.
- **Training:** Training services consist of instruction provided to a MI Choice participant or caregiver(s) in either a one- to-one situation or a group basis to teach a variety of independent living skills, including the use of specialized or adaptive equipment or medically-related procedures required to maintain the participant in a community-based setting. The training needs must be identified in the comprehensive assessment or in a professional evaluation and included in the participant's PCSP. Training is covered for areas such as activities of daily living, adjustment to home or community living, adjustment to mobility impairment, adjustment to serious impairment, management of personal care needs, the development of skills to deal with service providers and attendants, and effective use of adaptive equipment. For participants self-directing services, Training services may also include the training of independent supports brokers, developing and managing

individual budgets, staff hiring, training, and supervision, or other areas related to self-direction.

- **Vehicle Modifications:** This service covers adaptations or alterations to a vehicle that is the participant's primary means of transportation in order to meet the needs of the participant. Vehicle adaptations are identified in the person-centered service plan as necessary to enable the participant engage in the community, and ensure health, welfare and safety of the participant. The vehicle that is adapted may be owned by the participant, a family member with whom the participant lives or has consistent and ongoing contact, or a non-relative who provides primary long-term support to the participant and is not a paid provider of such services.

[Refer to [Medicaid Provider Manual - MI Choice Waiver](#)]

Provider Selection

To ensure that Supports Coordinators remain service neutral, the following procedures must be followed:

- The Supports Coordinator will provide the participant with a list of contracted providers available within the service area.
- If a participant indicates a specific choice of provider, the Supports Coordinator will contact the selected provider to arrange services.
- If the participant specifically requests information regarding enrolled providers, such information may be provided in the form of a generic overview. Provider Agency brochures are not to be used. If the participant still does not have an agency preference, all things being equal, the Supports Coordinator should access services from the agency who charges the least amount. No recommendation of provider is to be made to the participant.
- In the event all agencies in the area are charging the same amount, and the participant does not express a specific choice of provider, the Supports Coordinator should set up a mechanism to rotate provider selection.
- If a participant wishes to hire their own employees or have family members be paid for providing their care, they must be enrolled in the Self Determination Program. [Refer to ***Policy 2022-21 Self Determination Program*** for more guidance]
- If a participant wishes to utilize a provider that is not enrolled as a contracted provider for UPCAP, Supports Coordinators will assist in coordinating the provider's potential enrollment. Supports Coordinators will contact the potential provider to assess provider interest. If interested, Supports Coordinators will notify the Director of LTC Programs to start the process.

Service Arranging

Supports Coordinators must establish both frequency and duration of service delivery as part of the care planning process. Supports Coordinators are responsible for contacting the requested provider, confirm the provider's capacity to meet the participant's needs, and determine a formal start date for each service. This is applicable for services being purchased through the MI Choice Waiver or being arranged through a different payor source.

There are multiple MI Choice Waiver services that require a physician's order. The Supports Coordinator is responsible for contacting the participant's physician and coordinating the receipt of the corresponding order PRIOR to the start date of the service. UPCAP requires a physician's order for the following MI Choice Services:

- Medication Management (Nursing Services) – Must be renewed every six (6) months
- Diabetic Foot Care (Nursing Services) – Must be renewed every six (6) months
- Private Duty Nursing/Respiratory Care – Must be renewed every twelve (12) months
- Liquid Nutritional Supplements (Specialized Medical Equipment & Supplies) – Must be renewed every six (6) months
- Liquid Meals (Home Delivered Meals) – Must be renewed every three (3) months
- Lift Chair – Required for initial authorization only

All contacts with the physician and the receipt of the physician order must be documented in the progress notes and a hard copy placed in the in the Participant's chart. Supports Coordinators must keep track of the due dates and renewal periods for each required order, as well as any specific documentation required on the order as noted in the Medicaid Provider Manual. The due dates should be documented in the Participant's Case File in COMPASS.

*Although MDHHS does not require a physician's order for all of the above services in the Medicaid Provider Manual, UPCAP maintains that it is best practice to obtain a physician's authorization for these services.

The COMPASS iHC Assessment and corresponding PCSP must be completed and finalized before services can be arranged. The Supports Coordinator must also have a signed and authorized care plan costs review documented in the participant's file before services can begin. Supports Coordinators must attempt to have service delivery begin within seven (7) days of the finalized COMPASS assessment or develop an alternative plan with informal supports to address the participant's needs until formal service delivery can begin. This must be documented in the participant record.

If a requested provider is unable to meet the participant's needs, the Supports Coordinator will coordinate other potential service delivery options with the participant and other providers. If there is no availability of requested services available, the Supports Coordinator will need to clearly document the lack of provider availability in the Participant's file. The Supports Coordinator will also need to document communication with providers to discuss potential availability on an ongoing, monthly basis until services are arranged.

[Care Plan Costs Review](#)

All initial participant care plan costs must be approved by a Field Office Team Leader or the Regional SC Supervisor before a start date for services can be determined. Supports Coordinators must complete a Care Plan Costs Review for each participant and submit to the appropriate staff person for review and signature. The Care Plan Costs Review must list out the services requested or needed by the participant during the care planning process, the hours/units per week, the monthly costs for each individual service, the overall care plan costs for the first month, and the ongoing monthly costs of the care plan. Once submitted for approval, the Team Leader or Regional SC Supervisor will review the completed form as well as the participant's corresponding PCSP to ensure that the cost review is appropriate and accurate. Once approved, the signed Care Plan Costs Review will be returned to the Supports Coordinator. The Supports Coordinator will document care plan approval in the Participant record and place the copy of the signed document in the Participant's file.

Participant services can not start until the Care Plan Costs Review has been signed and returned to the Supports Coordinator. There are two exceptions to this requirement:

- The participant was receiving grant-funded services (home delivered meals, homemaking, etc) through a provider who is also contracted to provide MI Choice Waiver services. Grant-funded services must be stopped the day before Waiver enrollment. In order to maintain service delivery and avoid a lapse in service, the service must be billed to the MI Choice Waiver as of the first day of Waiver enrollment.
- The participant is transferring from another Waiver agent. It is required that the participant does not experience a lapse in Waiver services, therefore services must begin the day that they are opened to UPCAP's MI Choice Waiver program.

[Care Plan Cost Review Form](#)

[Work Orders](#)

Work Orders must be used to formally authorize the type of service to be provided, total units, time of day, day of week, start and stop dates, and any changes in services. Work orders are also used to authorize services that are not ongoing and only need to be authorized on a one-time only basis.

- Work orders need to be completed and submitted to the appropriate Case Tech for entry prior the service start date.
- Work Orders must also include information about risks related to health and welfare decisions made by the participant and any instructions the provider should follow in order to minimize risk to agency staff and/or the participant.
- Work orders must contain information regarding participant allergies (pharmaceutical, environmental, or food-related) and any dietary restrictions.
- Work Orders must contain the participant’s priority status/service need level
- Work Orders must include appropriate HCPCS codes for each service being ordered.
- Work Orders must include the appropriate fund code for each service being ordered.
- Work Orders are to be written with specific instructions for each service requested and must include participant instructions and/or wishes if applicable.
- Work Orders must be completed whenever service delivery is to be put on HOLD or STOPPED unless a specific stop date is indicated on the original Work Order. Supports Coordinators may utilize the participant service summary in COMPASS to HOLD and RESUME services if appropriate.

For some services, such as chores (snow plowing & lawn mowing), days per week can be defined as “when needed.” If the authorization states, “when needed,” the Supports Coordinator must define when the participant needs such services, i.e. two or more inches of snow, grass is four inches or higher, etc.

Healthcare Common Procedure Coding System (HCPCS) codes for each available MI Choice service and applicable fund codes can be found in [UPCAP’s HCPCS Code Manual](#).

[\[Work Order Forms\]](#)

Vendor View

Once the Case Tech enters the work order into COMPASS, it will generate a notice to the selected provider in Vendor View. The notice will include the approved service type, frequency, and duration of the service along with any additional notes for the provider as listed on the work order. A Vendor View notification alone is not sufficient to the process of arranging services. The Supports Coordinator is required to call the provider and confirm availability prior to submitting the work order. The provider must receive the service authorization in the form of a formal work order/Vendor View notification before services can begin. The service provider will be required to view the service authorization, accept, and then archive the notice within the system to be considered complete. The date, time, and user identification will be attached to each service authorization once archived. This will act as the service providers “written” confirmation that they assume the responsibility for service implementation.

The majority of UPCAP’s contracted providers are registered in Vendor View. However, for contracted or non-recurrent providers not enrolled in Vendor View, work orders should be faxed or mailed to the provider following the confirmation call. It is not appropriate to send a work order without first talking to the provider. This includes adjustments to previously accepted work orders. [Vendor View Providers](#)

Service Summary

Once all work orders have been entered for a participant’s care plan, the current authorized services, service provider, frequency, cost per unit, days/times, and total monthly care plan costs can be found on the participant’s service summary populated in COMPASS. The service summary should reflect the full extent of the services authorized, if different from services currently received due to provider staffing shortages or availability of providers. It is the responsibility of the Supports Coordinator to review the participant’s service summary on a regular basis and ensure that the services currently authorized in the system reflect the plan of care and work orders submitted. Supports Coordinators are responsible to send a copy of the service summary with a copy of the person- centered service plan (PCSP) to participants and/or their designated representatives with each permanent change to the current service plan - i.e. when a service is added, changed, or stopped.

Home Modifications

Any Environmental Accessibility Adaptation or Home Repair requested by the Participant, totaling over \$600.00, requires a bidding process and Director of LTC Programs approval before a start date can be determined. Per Waiver service standards, all alternative funding sources must be exhausted prior to the authorization of a service utilizing MI Choice Waiver funding. Documentation must also include evidence of efforts to apply for alternative funding sources and the acceptances or denials of these funding sources. Supports Coordinators are responsible for documenting evidence, within the Participant's case record, that the adaptations or repairs being requested are the most cost-effective and reasonable alternative to meet the participant's needs. There must also be documentation that such adaptations or repairs are necessary to ensure the health and welfare of the participant or enable the participant to function with greater independence in the home, and without which the participant would require institutionalization.

[Refer to **Policy Number: 2022-24 - Environmental Accessibility Adaptations/Home Modifications** for more information on procedures]

Durable Medical Equipment & Medical Supplies

Before Waiver funds can be used to purchase any type of equipment, supplies, or items, Supports Coordinators are to seek payment from other potential sources: Medicare, State Plan Medicaid, Veterans Administration, or private insurance. The medical equipment/supply company of the Participant's choice will be able to determine if the needed item is billable to any of the Participant's insurances. Unless it is customary for the DME Company to obtain a physician's order (Rx), the Supports Coordinator shall contact the doctor's office to request the order along with directions to fax or mail the Rx to the DME Company, depending on the standard operating procedure of the physician's office and/or the DME Company.

Waiver policy states that the Waiver Agent must obtain a copy of the Medicare/Medicaid denial of payment from the DME Company before Waiver funds can be used to purchase state plan items. If a DME Company is unwilling to provide this required denial, then Waiver funds cannot be used to purchase the state plan service/item on behalf of the Participant unless a Waiver is granted by MDHHS. Supports Coordinators must thoroughly document all conversations with DME companies related to requests for DME or other Medicare/Medicaid reimbursable services.

Supports Coordinators should reference the current HCPCS Codes to verify an item is billable to the Waiver or whether it is a State Plan item that requires prior authorization before purchase. [DME Work Order](#)

Lift Chairs

The purchase of a lift chair must be medically necessary and need must be clearly documented within the participant's assessment and/or progress notes. A physician's order recommending the purchase of a lift chair is also required.

When purchasing a lift chair from a DME company, UPCAP requires the company to bill Medicare and Medicaid for the lift mechanism. UPCAP may purchase the lift chair using Waiver funds only after the DME Company receives the denial for the lift chair. Supports Coordinators must use the lift chair Work Order giving instruction to the DME Company to bill Medicare and Medicaid first. The DME Company must send the denial to UPCAP along with the bill before UPCAP will pay for the chair.

In the event that a contracted DME provider is not available, Supports Coordinators may purchase a lift chair through a furniture company with Director of LTC Programs approval. [Lift Chair Work Order](#)

Non-Emergency Medical Transportation (NEMT)

Non-Emergency Medical Transportation (NEMT) is a benefit of the MI Choice Waiver program for participants who need

assistance getting to and from their medical appointments, pharmacy, urgent care, or hospital.

[Refer to **Policy Number: 2022-23 - Non-Emergency Medical Transportation**]

Goods and Services

Goods and services are intended to be used by participants enrolled and utilizing Self-Determined services. Services can be approved when they meet the following requirements:

- The item or service would decrease the need for other Medicaid services; and/or
- promote inclusion in the community; and/or
- increase the Participant's safety in the home environment; and the Participant does not have the funds to purchase the item or service,
- must address an identified need in the participant care plan.
- are designed to meet the Participant's functional, medical, or social needs and advances the desired outcomes in their care plan.
- are not prohibited by State or Federal law,
- are purchased from the budget.
- must be documented in the participant's care plan and authorized prior to purchase.

Special approval can be requested for goods and services needed by participant's not enrolled or utilizing self-determined services. However, MI Choice Medicaid funds cannot be used to purchase the items. UPCAP will have to utilize alternative funding sources (221 Fund Code) and will require Director of LTC Programs or Regional SC Supervisor approval.

Direct Interventions

Supports Coordinators are involved in a number of direct interventions designed to assist the participant in meeting personal goals and objectives as well as to assist in reducing potential risks which may result from activities and/or behaviors engaged in by the participant.

Counseling interventions are provided directly by Social Workers and Nurses to participants and caregivers as defined in the care plan. Such interventions are intended to be short-term and provided only when such interventions cannot be arranged or purchased from the formal network. Further, counseling efforts are not intended to serve as treatment of long-term psycho-social disorders or as a substitute for therapeutic treatment for a licensed therapist. The following issues are appropriate for direct counseling interventions:

- Refusal of services crucial to independence - Includes addressing issues or activities which place the participant at risk such as non-compliance with physician orders, misuse of medications, refusal to allow service providers to carry out Work Orders, etc.
- Conflicts in relationships which threaten continued independence.
- Adjustment difficulties experienced by participants regarding illness or modifications in service delivery (i.e. coping abilities, wants vs. needs, etc.)
- Increasing the involvement of informal caregivers.
- Assistance with financial or budgeting issues to ensure maximum use of resources for needed care.
- Address risks associated with unsafe medication management.

Social Workers and Nurses are to provide advocacy as necessary for entitlements, financial issues, and social services. Nurses provide advocacy focused on health-related issues and services. All Supports Coordinators must continually strive to increase their knowledge regarding regulations of various service programs, and are to seek advice or assistance as needed.

Non-Waiver Services, Resources, or Items

The Supports Coordinator is responsible for assessing the participant's need for non-waiver services or resources and then, as preferred by the participant, provide the participant/guardian with information and/or assistance with arranging and coordinating service delivery. The Supports Coordinator is also responsible for documenting follow-up and continued monitoring to ensure the participant's receipt of the service or item, as well as their ongoing satisfaction.

Additionally, if the participant/guardian reports difficulty in securing a non-waiver or arranged service, the Supports Coordinator must continue to assist as warranted until resolved. This includes, but is not limited to, assisting the participant in contacting their physician or skilled provider, contacting the physician or DME company regarding a Medicare-covered item or service, contacting an arranged provider to request a change in service, or contacting the local MDHHS office regarding Medicaid benefits or emergency assistance.

Supports Coordinators will maintain a working knowledge of available community resources in their local service area. Additionally, UPCAP's 2-1-1 call center provides up to date information regarding local health and human service agencies and available community resources across UPCAP's service area. 2-1-1 and information regarding available resources can be accessed via telephone or online.

If the participant needs or requests services that the Mi Choice Waiver is unable to provide, the Supports Coordinator should also make every effort to look into available options for potential service delivery. Attempts to arrange and link the participant with services or resources must be documented in the participant's record. Supports Coordinators must document all follow up contacts and confirm that the services or resources were provided through an external entity or program. If it is determined that the participant is not eligible for supplemental services or community resources, or the participant declines recommendations made by the Supports Coordinator, it must be documented in the participant record.

Unauthorized Services

When a Provider furnishes a service in excess of the authorization level, the Provider is essentially rendering a free service to the participant and payment utilizing Waiver funds CAN NOT be made. CMS regulations require that all services must be authorized on participant care plans, and corresponding work orders. For this reason, Supports Coordinators must increase frequency, duration and/or units when a determination is made that a participant needs and wants additional services.

**UNDER NO CIRCUMSTANCES CAN A PROVIDER BE PAID FOR SERVICES NOT AUTHORIZED ON THE CARE PLAN!
(MDHHS/CMS Standard)**

Situations may arise where service provision is necessary but has not been authorized on the initial care plan or subsequent updates. Usually such events will take place when a Supports Coordinator is not available to discuss the situation with the Provider Agency (evenings or weekends). In such situations, the provider should notify the Supports Coordinator of service provision within 24 hours and provide justification for the extra hours. If appropriate, the Supports Coordinator should approve the additional time provided. In such situations, the Supports Coordinator shall be responsible for completing a one-time only Work Order and submit to the appropriate Case Tech for entry into the system.

Participant Not Utilizing Approved Services

For participants who have multiple instances where they fail to notify their Supports Coordinator or the service provider when they are not home, or who regularly send provider staff home without service provision, Supports Coordinators are to re-evaluate the need for the service and if appropriate, adjust the service plan with the participant's approval.

Provider Missed Visits

Service providers are responsible for immediately notifying UPCAP Services staff if, for any reason, the Provider is unable to provide services to the Participant, as negotiated, (i.e., unavailability of staff, inclement weather) or if a service is not provided as agreed to (i.e., Participant is not at home, an on-going request to change hours or days). The provider must submit documentation of any missed visit through the messaging option in Vendor View or submit the approved Provider Service Log (PSL) on a daily basis. If the provider is not providing documentation to the Supports Coordinators regarding missed visits, notify the Director of LTC Programs of issue.

Case Techs from each Care Management office will check these notifications in Vendor View regularly. Once a notification is received, the Case Tech will print the notification, update the care plan in COMPASS to reflect the decrease, and provide a copy of the printed notification to the Supports Coordinator for review. No work order is required as the Vendor View notification acts as the decrease/missed authorization.

If a Provider does not utilize Vendor View, they are required to submit a PSL to notify UPCAP of any missed visits. When a PSL is received, the Case Tech will update the care plan in COMPASS to reflect the decrease/missed visit and provide a copy to the Supports Coordinator for review. Daily emails from providers that include notice of missed visits, including detailed reason, can be qualified as a PSL as long as they are printed, the appropriate Case Tech is notified, and a copy of the email is added to the participant file. No work order is required as the PSL acts as the decrease authorization. If a Supports Coordinator receives notice of missed visit from a source other than a PSL or Vendor View notification, the Supports Coordinator will contact the service provider and request that they complete a formal PSL or notification in Vendor View.

If a Supports Coordinator is notified of a canceled visit or decrease in advance, they will be responsible for following up with the participant to ensure that the participant is aware and to implement the back-up plan for service provision if necessary. The Supports Coordinator will also need to complete a one-time only Work Order for the decrease and submit it to the appropriate Case Tech for entry.

References

[Medicaid Provider Manual - MI Choice Waiver Approved 1915\(b\) Waiver Application](#)
[Approved 1915\(c\) Waiver Application](#)

Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - No changes
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - Updated to include newly added Waiver services
- Revised 3/18/2024 – Internal Quality Committee Review
 - Services updated to include Community Health Worker updated definition and service description
- Revised 1/23/2024 – Internal Quality Committee (following CQAR audit)
 - Updated language re: non-waiver services and items/community resources
- Revised 09/29/2023 – Internal Quality Committee Annual Review
 - Add exceptions for budget approval – grant services; transfer to AFC
 - Direction re: notifying LTC Director with issues with provider missed visit documentation
 - Updated Home Modification requirements to match Medicaid Provider Manual Service Delivery Standard

		<h1 style="color: #E67E22;">Non-Emergency Medical Transportation (NEMT)</h1>	
Policy Number: 2022-23	Effective Date: 05/01/2022	Review Date(s): 09/10/2024; 04/23/2024; 03/18/2024; 09/29/2023; 10/06/2022	
Reviewed by: Internal Quality Committee	Approved by: <i>Theresa LaFave</i>	Category: SC	

Policy

Non-Emergency Medical Transportation (NEMT) is a benefit of the MI Choice Waiver program for participants who need assistance getting to and from their medical appointments, the pharmacy, urgent care, or the hospital. Supports Coordinators are required to notify participants of the availability of this benefit. This benefit is only for non-emergency medical appointments and Supports Coordinators are not required to honor requests if the participant did not give advance notice of at least one day (24 hours).

As with any service, the Supports Coordinator must utilize family, neighbors, friends, or community agencies that can provide this service without charge. MI Choice Waiver funds can be utilized when all other options are exhausted or no other options are available.

In order for a participant to access NEMT they must contact their Supports Coordinator prior to the scheduled appointment to approve the transportation and determine if the medical appointment is for a Medicaid-covered service. If the appointment is not for a Medicaid covered service, we are not allowed to reimburse for transportation. Participants should not be contacting the family, friend, volunteer, public transporter, etc., for transportation services without prior authorization from the Supports Coordinator if it is going to be billed to UPCAP.

For out of town transportation, Supports Coordinators must determine if the same appointment could be made locally before authorizing the use of NEMT services. Example: Participant from Escanaba calls and needs transportation to an Orthopedist in Iron Mountain, but Escanaba has multiple Orthopedists to choose from. In this case we would not provide NEMT and the Supports Coordinator would have to send an [Adverse Benefit Determination](#) for denial of services.

The NEMT service does not cover out of state travel unless the participant cannot receive the same treatment in Michigan. Any out of state travel must be documented thoroughly with rationale as to why out of state travel is required.

If a Supports Coordinator determines the participants qualifies for NEMT, they must arrange the transportation through one of the following methods:

- Volunteer transporters (needs agreement)
- Home Health agency currently providing services to the participants (needs agreement)
- Public transportation (needs agreement)
- Reimburse family or friends (no agreement needed)
- Self-Determination worker, if no transportation was previously included in the plan of care

Prior to authorizing NEMT, the Supports Coordinator must determine what type of hands on assistance the participant will need for transportation. This should be discussed with the transporter so they know in advance what is expected and if they will be able and willing to provide the service.

Volunteer Drivers

All volunteer transporters must sign an agreement with UPCAP, complete a background check, supply a copy of a valid driver's license and submit proof of insurance for the vehicle they will be utilizing to transport the participant. Volunteers must be able to assist the participant from their home into the vehicle and into the building of appointment. Anything more than that needs to be confirmed with the driver before the appointment.

NEMT Reimbursement Form

For volunteer drivers, family, or friends, one reimbursement form per transporter needs to be completed. [NEMT Reimbursement Form](#)

The Supports Coordinator must fully complete Section 1: *Participant Information & Approved Expenses* and Section 2: *Medical Provider Information*. The Supports Coordinator is responsible for reviewing the appointment time and estimated travel time to authorize approved meals. The Supports Coordinator must then sign and date the form. The Supports Coordinator will then provide the driver with the form.

The individual driver being reimbursed must fully complete Section 3: *Medical Transportation Information* and Section 4: *Reimbursement*. All sections of the form, including departure and return times, must be completed in order to obtain reimbursement. The form will be returned to the driver if not completed correctly and payment will be postponed. The driver must also obtain a signature from the MD or their representative to confirm the appointment and need for NEMT. **Request for reimbursement will be denied without the MD signature.**

If the participant is requesting reimbursement for meals, a separate form must be completed by the Supports Coordinator authorizing the approved meals. Any individual being reimbursed by UPCAP will need a separate form, unless they agree that one person will be paid by UPCAP and then they will reimburse the others.

For ongoing appointments (weekly dialysis, monthly labs, etc.), the Supports Coordinator may use one reimbursement form authorizing NEMT as an ongoing service. The Supports Coordinator will complete their required sections and check "Ongoing Appointments" in Section 2, making note of the frequency of the scheduled appointments (i.e. weekly, bi-weekly, 3x/wk, monthly, etc). After the Supports Coordinator signs and dates the form, they may make several copies and send them to the driver scheduled to provide the NEMT, eliminating the need for a new form with each appointment.

Attendant Fee

The attendant fee is provided for volunteers or friends who need to assist the participant inside the physician's office and wait inside the appointment for them. The attendant fee is not reimbursable to family members and is not reimbursable for someone just walking the participant to the door.

Meal Reimbursement

Meal reimbursement is available to the driver and the participant as long as all criteria is met. Meals are only reimbursable when traveling out of the local area. Meals are unable to be reimbursed for participants when purchased within a 30-mile radius of their home. Volunteer driver meals are not able to be reimbursed for meals purchased in the town in which they live. Agency staff or SD workers are not eligible for meal reimbursement. **All meals must be supported by a detailed receipt (not credit card receipt). Receipts must show date, time, place of purchase, detail of what was purchased and cost.**

- Breakfast: The vehicle with the beneficiary must depart at, or before, 6:00 AM and must return at, or after, 8:30 AM/\$9.75
- Lunch: The vehicle with the beneficiary must depart at, or before, 11:30 AM and must return at, or

after, 2:00 PM/\$9.75

- Dinner: The vehicle with the beneficiary must depart at, or before, 6:30 PM and must return at, or after, 8:00 PM/\$22.00

Mileage Reimbursement

Only Volunteer Drivers can be reimbursed for mileage from their home to the participant's home, to the appointment, and back. Family or friends only get reimbursed from participant home, to the appointment, and back.

Documentation

All NEMT must be documented in progress notes and added to the PCSP if it will be an ongoing service.

Public/Private Transportation Providers

For public or private transportation providers (vendors) or Self-Determination (SD) workers, a work order is completed and submitted for data entry and a copy sent to the provider. These providers are not required to complete an NEMT form, nor are they required to obtain a physician's signature. They would bill like they normally do. A reimbursement form would only be needed for the **participant** if they want reimbursement for a meal.

The SD worker will be paid through the FI or AWC at the rate in their agreement with UPCAP and not subject to meal reimbursement. Out of State travel provided by a SD worker will be handled on a case-by-case basis. Requests and rationale must be submitted to the Director of LTC Programs, Terry LaFave.

Submission of NEMT Form

Completed NEMT forms must be submitted to the Director of Long-Term Care Programs to be processed, along with any receipts (if applicable).

References

[Medicaid Provider Manual - MI Choice Waiver](#)

Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - No changes
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - No changes
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - No changes
- Revised 09/29/2023 – Internal Quality Committee Annual Review
 - Updated reimbursement amounts



Environmental Accessibility Adaptations/Home Modifications

Policy Number:2022-24	Effective Date: 05/01/2022	Review Date(s): 09/10/2024; 04/23/2024; 03/18/2024; 09/29/2023; 10/06/2022
Reviewed by: Internal Quality Committee	Approved by: <i>Merissa LaFave</i>	Category: SC

Policy

Environmental Accessibility Adaptations (Home Modifications) are physical adaptations to the home required by the participant’s PCSP that are necessary to ensure the health and welfare of the participant or that enable the participant to function with greater independence in the home, without which the participants would require institutionalization. It is the policy of UPCAP Services, Inc. that all Supports Coordinator follow procedures as defined below and in the [Medicaid Provider Manual - MI Choice Waiver Chapter](#).

Procedures

Due to the nature of home modifications and repairs under Environmental Accessibility Adaptations and/or Chore Services, review and authorization of the proposed service must be completed prior to the start date of the service. The following procedures apply when considering authorization of a Mi Choice Waiver service under any of the following HCPS and Standard Remark codes:

S5165 Home Modifications, per service	S5165 Home Modifications, per service	S5120 Chore Services, per 15 min	S5120 Chore Services, per diem
5001 Bathroom Modification	5011 Ramp Installation	6002 Install Safety Equipment	6002 Install Safety Equipment
5002 Kitchen Modification	5012 Ramp Repair	6004 Window Installation	6004 Window Installation
5003 Specialized Door Locks	5013 Portable Ramp	6005 Window Repair	6005 Window Repair
5004 Doorway Modification	5014 Safety Railings	6008 Replace/Repair Electrical	6008 Replace/Repair Electrical
5005 Equipment Installation Charge	5015 Wireless Door Alarm	6009 Replace/Repair Plumbing	6009 Replace/Repair Plumbing
5008 Outside Railings	5016 Specialized Electrical System Installation	6011 Install Storm Door	6011 Install Storm Door
5010 Stair Lift	5017 Specialized Plumbing System Installation		
	5018 Other Repair		
	5019 Weatherization		
	5020 Injury Prevention		

Per Waiver service Standards, all alternative funding sources must be exhausted prior to the authorization of a service utilizing MI Choice Waiver funding. Supports Coordinators are responsible for documenting evidence, within the Participant’s case record, that the adaptations or repairs being requested are the most cost-effective and reasonable alternative to meet the participant’s needs. The participant, with the direct assistance of the Supports Coordinator when necessary, must make a reasonable effort to access all available funding sources. The participant’s case record must include evidence of efforts to apply for alternative funding sources and the acceptances or denials of each funding

sources. There must also be documentation that such adaptations or repairs are necessary to ensure the health and welfare of the participant or enable the participant to function with greater independence in the home, and without which the participant would require institutionalization.

The Participant's home must be intended to be the permanent residence of the Participant and cannot be a condemned structure. If the home is rented by the Participant, the Supports Coordinator must verify, through examination of the rental agreement, that the landlord/landowner is not responsible to furnish repairs or modifications. Adaptations may be made to rental properties when the lease or rental agreement does not indicate the landlord/landowner is responsible for such adaptations, and the landlord/landowner agrees to the adaptation in writing.

Supports Coordinators will attempt to secure two estimates for all home repair/modification services exceeding \$600 prior to authorizing the work. Supports Coordinators will contact potential providers, as requested by the Participant, and provide them with the [Provider Bid/Estimate Form](#) to complete and return. After securing all of the bids/estimates for the proposed adaptations or repairs, the Supports Coordinator must complete and submit the [Home Repair/Modification Request Form](#) to the Director of LTC Programs.

If the Supports Coordinator is not able to secure two estimates, there must be documentation within the Participant case record that multiple attempts were made to secure multiple estimates. The Supports Coordinator must also note on the Home Repair/Modification Request Form if two estimates are not available.

All services must be provided in accordance with applicable state or local building codes. For more specific standards and minimum requirements, please refer to the Medicaid Provider Manual MI Choice Services section.

Process

1. Supports Coordinators must complete all fields on the Home Repair/Modification Request Form.
 - a. **Supports Coordinator Information** – Full Name, Direct Phone Number, Direct Fax Number
 - b. **Participant Information** – Full Name, Address of proposed repair/modification, Participant phone number, and Alternative Contact information, if applicable
 - c. **Checkbox regarding participant residence** – Participant states intended to be permanent residence
 - d. **Property Owner Status** – If the Participant owns the property, check box 'Participant'. If someone other than participant owns property, check 'Other' and complete the Name and Phone Number fields for the property owner.
 - e. **Brief Description of Work to be Completed w/ Rationale:** Enter a brief description of the repair/modification being requested with a rationale.
 - f. **Owner Signature:** If the participant owns the home, they would sign and date. If it is a rental, then the landlord/landowner must sign and date.
 - g. **Provider Bids:** Fill out the information for each bid/estimate received and attach the completed bid/estimate form.
2. Supports Coordinators are to submit, via email, the completed Home Repair/Modification Request Form, including all corresponding Provider Bids/Estimates received to the Director of LTC Programs (Terry LaFave) and Executive Director (Jonathan Mead).
3. Once a bid/estimate has been approved, the Director of LTC Programs or Executive Director will provide a signature on the Home Repair/Modification Form, formally selecting and authorizing the repair or adaptation. The completed form will be sent back to the Supports Coordinator.
4. The Supports Coordinator will be responsible for notifying the approved Provider and sending them UPCAP's Purchase of Service Agreement for Non-Recurrent Providers and the Direct Purchase Application. Supports Coordinators will explain that the Provider must complete and return the forms with any supporting documentation before the repair or adaptation can begin.
5. The Supports Coordinator will be responsible for coordinating any down-payment or advanced payment required by submitting a Work Order to the appropriate Case Tech for entry.
6. Once the Provider notifies the Supports Coordinator that the work is complete, the Supports Coordinator will request an invoice and a copy of the final inspection report (if available). This must be received within thirty (30) days of project/service completion.

7. The Supports Coordinator is to verify the work is complete, correct, and acceptable to the participant and property owner within fourteen (14) calendar days or ten (10) working days of the notice of completion. This must be documented in the Participant's record.
8. Supports Coordinator must visually review the completed project and photograph the work completed. Photos should be uploaded to the Compass Participant record.
9. Supports Coordinators will submit a work order authorizing the remaining balance, the Provider's final invoice, and a copy of the approved bid to the appropriate Case Tech.

References:

[Medicaid Provider Manual - MI Choice Waiver](#)

Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - No changes
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - No changes
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - No changes
- Revised 09/29/2023 – Internal Quality Committee Annual Review
 - Updated language re: documentation requirements per Medical Provider Manual service delivery standards.

		<h1>Monitoring & Follow-up</h1>	
Policy Number: 2022-25		Effective Date: 05/01/2022	Review Date(s): 09/10/2024; 07/22/2024; 04/23/2024; 03/18/2024; 12/18/2023; 09/29/2023; 10/06/2022
Reviewed by: Internal Quality Committee		Approved by: <i>Theresa LaFave</i>	Category: SC

Scope

Monitoring and follow-up includes contact between Supports Coordinators, the participant or service providers to ensure providers deliver services as planned and to the satisfaction of the participant. Supports Coordinators use monitoring and follow-up to evaluate the timeliness, appropriateness, and quality of services implemented under the PCSP. Supports Coordinators monitor all services implemented on behalf of participants as a function of care planning and participant reassessment activities. All contacts are to be documented in the participant case record.

Policy

It is the policy of UPCAP Services, Inc. to complete ongoing monitoring and follow-up with participants according to MDHHS requirements and the procedures below.

Procedures

Participant Progress Notes

Supports Coordinators are responsible for documenting all contacts and correspondence related to a participant's case in the participant's COMPASS case file under Progress Notes. The Supports Coordinator must make every attempt to enter a record of all contacts within twenty-four (24) hours of when the contact occurred. Supports Coordinators may make late entries in the case record as long as the Supports Coordinator designates the entry as late by entering "Late Entry" at the beginning of the corresponding progress note. Late entries can be added to the participant's record up to seven (7) days from the contact being completed. Any contact entered past 7 days is out of compliance with State requirements for timely contacts and monitoring, and will result in a non-evident finding in a Clinical Quality Assurance Review (CQAR).

If it is determined through a review of the participant's case file that previously omitted information needs to be added or documented in the case record, the Supports Coordinator may add an entry to amend the record. This entry must be identified as a "Record Amendment" and include the reason why the amendment is being entered. Appropriate reasons for a record amendment include: Supervisory Review; Peer Review; Interdisciplinary Consultation; File Review or Audit; or Quality and Performance Reporting. Scheduling conflicts, progress notes not entered within seven (7) days, and/or work load constraints are not approved reasons for entering a record amendment.

All late entry and/or record amendments should be entered on the date that the original contact occurred, not on the date it is entered into the record. For example, if the participant contact occurred on 12/10/2023 but the Supports Coordinator is unable to enter the note until 12/15/2023, the selected date of the note should reflect 12/10/2023 with the designation of "late entry".

No recorded contacts are to be deleted or permanently removed from the participant record for any reason. If previously entered information is incorrect, Supports Coordinators may add entries to the record to correct earlier entries.

Two Week Follow Up

Supports Coordinators contact newly enrolled participants within fourteen (14) calendar days of the agreed-upon service start date to verify the providers deliver services in the manner arranged and to the satisfaction of the participant. This contact must be documented in the participant case record as a “Two-Week Follow Up”.

Supports Coordinators may need to contact the service provider in addition to contacting the participant to verify the provision of services and any issues identified by the provider. This requirement does not apply to changed services or participants who are re-enrolling in the MI Choice program after a brief disenrollment period.

Monthly Contacts

Supports Coordinators contact participants on a regular basis to monitor the participant’s health and welfare, the provision of services, and the participant’s satisfaction with the current PCSP. The standard time frame for contact is every thirty (30) calendar days, but participants can elect to extend the time frame, if needed, to serve the participant’s needs. Supports Coordinators must contact the participant no less frequently than every ninety (90) calendar days. Supports Coordinators are not to offer or encourage the extension of the contact time frame unless the participant discloses a need or preference for the extension. The participant’s preference for contact is to be documented in the PCSP and progress notes.

It is vital that the participant know that they have a continuous opportunity to provide feedback about services, supports, interventions, and treatments. Participants must be informed that they can contact their Supports Coordinator to discuss their needs at any time during normal business hours.

All follow up and monitoring contacts must be recorded in the participant case record. Any changes in services negotiated during follow up and monitoring on behalf of the participant must also be recorded in the participant’s PCSP. See [Monthly Monitoring Contact Process Guide](#) for guidance on how to complete a monitoring contact, including follow-up questions and potential scripts to address any issues and mitigate any risks to the participant’s safety and well-being.

Change in Home Environment

Supports Coordinators are required to complete an in-person meeting (home visit) within seven (7) calendar days of a participant moving or transferring from one residence to another (not nursing facility or hospital) to ensure that participant’s needs are being met and to update any assessments as necessary. Supports Coordinators should conduct an assessment of the new living environment and note any accessibility issues or needs. Supports Coordinators will document the in-person visit in the participant record.

Hospital Discharge

Supports Coordinators are required to complete an in-person meeting (home visit) within seven (7) calendar days of a participant’s discharge from a hospital to ensure that their needs are being met, that the previous assessment is still valid, and to update the assessment as necessary. If it is determined that a full reassessment is required, as the participant has experienced a significant change in condition, the Supports Coordinator will complete it at this time. The Supports Coordinator will also review all discharge paperwork, post-discharge instructions, any new diagnosis and prescribed treatments, and conduct a formal medication review with the participant. If the RN Supports Coordinator is not present at the home visit to conduct the medication review, the SW Supports Coordinator will gather all of the necessary medication information and notify the RN Supports Coordinator that a medication review is necessary. The RN Supports Coordinator will complete the review and document in the participant record. If the participant declines an in-home visit, it MUST be documented in the participant record.

Provider Follow Up

Supports Coordinators are required to provide oral or written feedback to providers regarding services furnished according to the PCSP when the Supports Coordinator receives complaints from participants. Supports Coordinators are to maintain lines of open communication with service providers to assist in resolving any issues or concerns with service delivery.

Case Conferencing - Service Providers

Each UPCAP Long-Term Care Programs Office shall establish an internal process of ensuring that there is regular and on-going case conferencing with local service provider agencies occurring no less frequently than once a month with each in-home service provider, regardless of utilization.

A specific day of each month shall be set aside to meet with provider agency representatives. Provider Case Conferencing shall be used to:

- discuss the provision and quality of service
- address any questions related to the Work Order or Work Order adjustments
- address Backup Plan developed by Supports Coordinator
- address provider no-shows in an effort to reduce or eliminate the negative impact on participants.
- inform providers of risks related to health and welfare decisions made by participants.

In the event a specific office is having difficulty finding agencies to provide service in a particular service area, the provider meetings should be used to gather all agencies together at the same time that serve that area, to discuss ways to share referrals in order to better utilize agency staff, and most importantly, to ensure that the needs of participants in a particular area are met.

Provider meetings that pertain to participant-specific issues must be documented in the participant's case record. Documentation pertaining to general issues may be maintained in the form of meeting notes by the office Case Tech, Office Team Leader, or by the Supports Coordinators. Such notes must be maintained for review by MDHHS and/or for the purpose of Quality Assurance reviews.

Provider Agency Staffing/Participant Preference

To ensure continuity of care and continued availability of services from enrolled providers, if an employee of the selected provider leaves that agency's employment, the agency shall be granted the opportunity to assign a new employee. If the agency is unable to provide an acceptable replacement, then the participant shall be offered the choice of another enrolled provider. This procedure ensures that participants are not caught up in agency battles to increase service caseloads by luring employees away from another agency.

Supports Coordinators must work with the participant to allow adequate time for the new employee and the participant to become acclimated with one another. If after sufficient time (two-week minimum) has lapsed and the participant remains unsatisfied with the new employee, Supports Coordinators may offer additional choices in service providers.

Supports Coordinators must discuss with their participants the possibility of employees moving from one agency to another. This should be done through the Person-Centered Planning process, both by phone at the time the initial care plan authorization is received, and at the time when the participant actually signs the Care Plan. Supports Coordinators are also encouraged to discuss this policy with other responsible parties so that they are aware of the process.

During the implementation of the care plan and on-going service provision, should problems occur, Supports Coordinators shall attempt to resolve the problem with the provider initially selected. If the problem(s) cannot be resolved to the participant's satisfaction, Supports Coordinators can access a new service provider.

References

[Medicaid Provider Manual - MI Choice Waiver](#)

Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - Added process re: hospital discharge
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - No changes
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - No changes
- Revised 12/18/2023 – Internal Quality Committee
 - Added clarification regarding late entries and record amendments.
- Revised 09/29/2023 – Internal Quality Committee Annual Review
 - Updates to clarify business days vs calendar days.
 - Add Monitoring Contact Guide link

		<h1 style="color: #C8513E;">Participant Non-Compliance</h1>	
Policy Number: 2022-25-01	Effective Date: 11/01/2022	Review Date(s): 09/10/2024; 04/23/2024; 03/18/2024 09/29/2023; 10/10/2022	
Reviewed by: Internal Quality Committee	Approved by: <i>Cheresa LaFave</i>	Category: SC & Case Tech	

Policy

At the initial assessment and annually thereafter, Supports Coordinators review the Participant Handbook with the participant, which contains the [Participant's Rights and Responsibilities](#). The participant must acknowledge understanding of these rights and responsibilities and the requirement that these must be followed in order to safely participate in the Mi Choice Waiver program.

In the event that the participant is non-compliant with these requirements, Supports Coordinators are responsible for addressing any issue or allegation of non-compliance. The Supports Coordinator will investigate the allegation in question and follow up with the participant. After looking into the allegation, if the participant is found non-compliant, the Supports Coordinator will issue the participant a Non-Compliance letter, along with a copy of the participant's Rights and Responsibilities, highlighting the areas of non-compliance. The letter will include a summary of the allegation and will remain in the participant's file.

The below process outlines the steps taken to address alleged participant non-compliance.

If the complaint comes from a contracted provider:

- Supports Coordinator requests written documentation of the allegation(s), including any steps or interventions the provider has taken or is planning to take to address the issue.
- Supports Coordinator reviews the allegation documentation.
- Supports Coordinator contacts the participant and informs them of the allegation from the provider, obtains participant feedback on the alleged "incident", and reviews the program rights and responsibilities

If the Supports Coordinator determines that the participant did violate program rights and responsibilities:

- Supports Coordinator prints the Rights and Responsibilities, as outlined in the Participant Handbook, and highlights the areas of participant non-compliance.
- Supports Coordinator completes the Non-Compliance Letter, summarizing the violation and issue as it pertains to the Rights and Responsibilities. [\[Sample Non-Compliance letter\]](#)
- Supports Coordinator mails the Non-Compliance Letter and highlighted Rights and Responsibilities to the participant or responsible party.
- Supports Coordinator uploads a copy of both documents and attaches them to the Participant's record in COMPASS. A hard copy of the documents is placed in the Participant's chart.

- Supports Coordinator determines if the situation warrants the completion of the Informed Risk Agreement Form. [Refer to ***Policy Number: 2022-18 Participant Management of Risk*** for process]
- Supports Coordinator documents all communication in COMPASS

If the Supports Coordinator determines that the participant did not violate program rights and responsibilities:

- Supports Coordinator notifies contracted provider of the determination
- Supports Coordinator documents all communication in COMPASS

If the complaint comes from any other source:

- Supports Coordinator requests documentation, if applicable
- Supports Coordinator contacts the participant and informs of observation or allegation, obtains participant feedback, and reviews program rights and responsibilities.

If the Supports Coordinator determines that the participant did violate program rights and responsibilities:

- Supports Coordinator prints the Rights and Responsibilities, as outlined in the Participant Handbook, and highlights the areas of participant non-compliance.
- Supports Coordinator completes the Non-Compliance Letter, summarizing the violation and issue as it pertains to the Rights and Responsibilities.
- Supports Coordinator mails the Non-Compliance Letter and highlighted Rights and Responsibilities to the participant or responsible party.
- Supports Coordinator uploads a copy of both documents and attaches them to the Participant's record in COMPASS. A hard copy of the documents is placed in the Participant's chart.
- Supports Coordinator determines if the situation warrants the completion of the Informed Risk Agreement Form. [Refer to ***Policy Number: 2022-18 Participant Management of Risk*** for process]
- Supports Coordinator documents all communication in COMPASS

If the Supports Coordinator determines that the participant did not violate program rights and responsibilities:

- Supports Coordinator documents all communication in COMPASS



If, after the above process is completed, the participant violates the program rights and responsibilities a second time, the Supports Coordinator contacts the Regional SC Supervisor and/or Director of Long-Term Care Programs to discuss the situation and determine the next steps. The Regional SC Supervisor and/or Director of LTC Programs will determine if a mutual meeting between the participant/representative, provider, supervisor, and Supports Coordinator is appropriate. The Director of LTC Programs will also determine if program disenrollment due to continued violation of participant rights and responsibilities is appropriate.

At any point in the investigation of participant non-compliance, the participant/representative or the contracted provider are not satisfied with the process, they have the right to file a formal grievance or complaint to be reviewed by UPCAP's Grievance and Appeals Committee. The Supports Coordinator is responsible for providing the information needed to file a grievance, if requested.

[Refer to ***Policy Number: 2022-37 Grievances-Complaints*** for more information]

Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - No changes
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - No changes
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - No changes
- Revised 09/29/2023 – Internal Quality Committee Annual Review
 - No changes

		<h1 style="color: #C8513E;">Charting Examples</h1>	
Policy Number: 2022-26		Effective Date: 05/01/2022	
		Review Date(s): 09/10/2024; 07/22/2024; 04/23/2024; 03/18/2024; 01/24/2023; 11/02/2023; 09/29/2023; 10/06/2022	
Reviewed by: Internal Quality Committee		Approved by: 	
		Category: SC	

Policy

It is the policy of UPCAP Services, Inc. to ensure all Supports Coordinators are charting their progress notes appropriately and including language as required by the ACLS Bureau and MDHHS. All notes should include specific information for each participant. If the participant is not currently enrolled in Medicaid, the progress note should include discussion of financial eligibility criteria, review of finances and plan for the filing of a Medicaid application if applicable.

The Supports Coordinator must document contact with the participant/guardian prior to ALL home visits or meetings to ensure the date(s), time(s), and location(s) are convenient for the participant/guardian. This includes, but is not limited to, Assessments, Reassessments, Person-Centered Service Plan reviews, delivery of purchased items by Supports Coordinators, Medicaid meetings, or paperwork pick-up/drop-off.

Prior to Assessment

Call participant and/or guardian prior to assessment to confirm visit. This call must be documented in progress notes.

Charting Example: [Standard Note]

Spoke with potential participant and confirmed scheduled assessment time and place. Confirmed potential participant's understanding that they are able to have any other individuals present of their choosing at the assessment.

Assessment

Initial assessment progress note must include:

- SC review of participant handbook, receipt of the UPCAP Informational folder, and review of its contents. Must include review of critical incidents
- Review the Self Determination option and make sure it is charted.
- If requesting Waiver services, need explanation as to why other third-party resources would not meet the participant's needs.
- Documentation of determination of eligibility for supplemental community resources
- Brief explanation of why the participant is being enrolled in the Waiver program.
- NFLOC door participant scores under with brief explanation.
- If temporary door, discussion of discharge plan.
- Review of person-centered care planning and choice of service providers.
- Summary of potential barriers to meeting participant's goals.

Charting Example: [Standard Note]

RN & SW Supports Coordinators met with potential participant and daughter in participant home for assessment. Reviewed Participant Handbook, provided participant with UPCAP Information Folder, and reviewed its contents. Reviewed participant rights and responsibilities and HIPAA privacy information. Reviewed critical incidents and the need to report them. Participant signed consent forms and receipt of handbook acknowledgement. Completed NFLOCD. Participant scores under Door 1 for assistance with toileting and transfers in/out of bed. Completed FOC and received participant signature. Participant requests enrollment in waiver program. Participant would not be eligible for Adult Home Help program and Grant services would not meet participant needs. Participant is currently enrolled in Medicaid per CHAMPS Eligibility Report. Confirmed income and asset requirements for financial eligibility. Reviewed PCP with participant and explained that SC will always follow principles of PCP when care planning. Participant does not desire formal PCP meeting at this time. SC assured participant they could ask for meeting at any time. SC explained SD program option to participant they do/do not wish to participate at this time. Discussed participant's goals and reviewed any potential barriers to meeting their goals. (Overview of barriers discussed). Reviewed participant needs and services being requested. Reviewed available community resources but participant is not in need of any supplemental services or resources at this time. Discussed available MI Choice services to address participant's needs. Provided list of service providers available to participant. Participant chose (detail services) for services. Reviewed care planning process and if they would like anyone other than themselves to have a copy of their PCSP, they do not.

PCP Meeting

If Participant does desire a formal PCP meeting, a note should be added that includes who will be at meeting, what will be discussed, what won't be discussed, when it will take place, who will record meeting, who will invite people.

Charting Example: [Person Centered Planning Meeting Note]

SC asked participant if they wanted to review some PCP tools that are available that include a relationship mapping worksheet, and a tool for helping participant decide what is important for them. Discussed who will be at meeting, what will be discussed, what won't be discussed, when it will take place, who will record meeting, who will invite people.

Self Determination

If the participant would like to participate in the self-determination program for service delivery, a note should be added regarding review of the SD program at the initial visit or a separate SD meeting should be scheduled at a different time and documented in the note.

Charting Example: [Standard Note]

SC explained SD program option to participant and they do wish to participate. SC provided the participant with the self-determination informational folder. Explained to participant they can choose a representative if they do not feel they can manage the employer responsibilities. Participant may choose to manage employees through a Fiscal Intermediary (GT Financial) or an Agency with Choice (Northern Home Care). SC gave comparison sheet to participant to assist in making decision on best option for managing employees. Completed the SD Enrollment Agreement form. Participant chose to utilize (*SD provider*). Participant would like her daughter to be her employee. SC discussed application process and provided the participant and daughter with a copy of the employee application and directions for submission. SC explained that SD enrollment process could take several weeks to complete.

Scheduling the Reassessment

Call the participant and/or guardian to schedule at least a day before the reassessment. In order to ensure timely completion of reassessments, it is recommended that the Supports Coordinator contacts the participant and/or guardian at least a week in advance to schedule the reassessment. This contact MUST be charted in the participant file and must include:

- That participant was given the choice to have others present at the reassessment.
- Offer the participant the choice of setting for the visit.

Charting Example: [Standard Note]

Spoke to participant to schedule reassessment. Participant requested that SC come out on Thursday afternoon this week. Scheduled reassessment for xx/xx/xx at 1:00pm at the participant's home, per the participants request. Reminded the participant that they can have others present at the reassessment.

90-day Reassessment

- Document the participant's continued NFLOCD eligibility and Door
- Document ongoing Medicaid eligibility
- Document that you reviewed the PCSP and participant goals and signature
- Document you reviewed the Participant Handbook, Medicaid appeals procedure, rights and responsibilities, critical incidents, and ask participant if they still have the UPCAP folder and contents in the home. If you are providing an updated handbook, document and get signature on receipt of handbook acknowledgement form. Ask if they would like to review anything in the folder.
- Document that you reviewed the backup plan and if there were any changes that needed to be made.

Charting Example: [Person Centered Planning Meeting Note]

90-Day reassessment completed with participant at their home. SC reviewed the participant handbook including the MA appeals process, participant rights and responsibilities, critical incidents and the need to report them. Reviewed NFLOCD and functional eligibility status. Participant remains eligible under Door 1. No changes reported to Medicaid eligibility. Completed PCSP review. Participant is happy with current formal and informal services – amount, type and frequency. No changes requested at this time. Reviewed participant goals. Goals and outcomes updated. Participant does not want copy sent to anyone. Participant signed and dated care plan. No interest in a formal PCP meeting at this time but participant is aware they can request one at any time. Reviewed Back up plan, no changes requested.

Annual Reassessment

- Document the participant's continued NFLOCD eligibility and Door
- Document participant signature on FOC, if applicable
- Document ongoing financial eligibility.
- Document that you reviewed the PCSP and participant goals and signature
- Document participant signature on consent forms, if applicable
- Document you reviewed the Participant Handbook, Medicaid appeals procedure, rights and responsibilities, critical incidents, and ask participant if they still have the UPCAP folder and contents in the home. If you are providing an updated handbook, document and get signature on receipt of handbook acknowledgement form. Ask if they would like to review anything in the folder.
- Document that you reviewed the backup plan and if there were any changes that needed to be made.

Charting Example: [Person Centered Planning Meeting Note]

Annual reassessment completed with participant at their home. SC reviewed the participant handbook including the MA appeals process, participant rights and responsibilities, critical incidents and the need to report them, and asked participant if they still had the UPCAP informational folder and contents in the home. He/she does. Participant does not feel the need to review anything in the folder at this time. Completed annual NFLOCD. Participant remains eligible under Door 1. Completed FOC. Participant requests continued enrollment in MI Choice, participant signature received. Reviewed participant finances and confirmed ongoing financial eligibility for Medicaid. Reviewed annual Consent and Authorization and Medical consent forms; participant signed and dated. Completed PCSP review. Participant is happy with current formal and informal services – amount, type and frequency. No changes requested at this time. Reviewed participant goals. **Discussed participant’s goals and reviewed any potential barriers to meeting their goals. No barriers assessed at this time.** Goals and outcomes updated. Participant does not want copy sent to anyone. Participant signed and dated care plan. Reviewed the back-up plan, no changes requested. No interest in a formal PCP meeting at this time but participant is aware they can request one at any time.

Two-Week Service Follow-Up

- Must be completed within 14 days of the start date of services after the participant’s initial assessment
- Select a response for each of the Two-Week Service Follow-up Questions and complete the note sections.
- Document the participant’s services and satisfaction with the type, amount, and frequency

Charting Example: [Two Week Service Follow-up Note]

Two-Week Service Follow-up completed with participant. Participant received their PERS unit in the mail and was able to set up on their own. SC confirmed that they tested the unit and reminded them to test their unit monthly. Home Delivered meals started on 5/14/22. Participant reports that they are happy with their meals. Participant still has not received the World Point materials. SC will follow up and check on status of order.

Monthly Monitoring Contact

- Document the participant’s services and their satisfaction with the type, amount, and frequency
- Select a response for each of the monitoring contact questions.
- If “yes” is selected for any monitoring contact questions, provide further information in the Notes
- Follow up with any outstanding issues from previous monitoring contacts and document progress.
- If a new Waiver service has been added to PCSP since last monitoring contact, make sure to document follow up.

Charting Example: [Monitoring Contact Note]

Monthly monitoring contact completed with participant. No new health concerns reported. Participant reports that they had a recent physician appointment and their medication dosage was increased. Participant is now taking 10 mg Wellbutrin daily instead of 5mg. Start date of new medication was 5/14/2022. Medication reconciled and list updated in COMPASS. Participant is satisfied with current care plan and Waiver services – amount, type and frequency. Participant is currently receiving HDM, CLS, PERS; Supports Coordination.

Unable to Contact

- See “Unable to Contact” policy for specific process and contact attempt requirements
- Document whether or not you were able to leave a voicemail message

Charting Example: [Unable to Contact Note]

Attempted to contact participant for monthly monitoring contact. Left voicemail message providing participant with SC name, purpose of call, and contact information. Requested a return phone call.

Scheduling 6-Month PCSP Review

Call the participant and/or guardian to schedule at least a day before the PCSP Review. This contact MUST be charted in the participant file and must include:

- That participant was given the choice to have others present at the reassessment.
- Offer the participant the choice of setting for the visit.

Charting Example: [Standard Note]

Spoke to participant to schedule 6-month PCSP review. Participant requested that SC come out on Wednesday morning. Scheduled visit for xx/xx/xx at 10:00am at the participant’s home, per the participants request. Reminded the participant that they can have others present for the review.

6-Month PCSP Review

Document review of current PCSP including:

- Current services
- Participant goals and progress
- Additional needs or concerns

Charting Example: [Person Centered Planning Meeting Note]

Completed 6-month PCSP review with participant at their home. Reviewed PCSP, including current services and interventions, formal and informal. Participant is happy with services – amount, type and frequency. No changes requested at this time. Reviewed participant goals. Reviewed potential barriers to meeting existing participant goals. Goals and outcomes updated. Participant signature received on PCSP. Participant does not want copy sent to anyone else.

Home Health Services/Skilled Nursing

Initial and ongoing contact is required with the Home Health agency providing skilled services to a participant.

Charting Example: [Standard Note]

Spoke to primary RN with skilled agency. Reviewed what services are currently being provided to participant through skilled. Participant has RN twice per week to set up meds and monitor vitals, physical therapy twice per week, and home health aide twice per week for bathing. MD has not ordered any other services at this time and RN does not feel any

other issues are present. SC reviewed services waiver would be providing and requested RN contact SC if any issues arise and when they are preparing to discharge participant from skilled. Requested copy of 485.

Medication Review (RN)

When the RN Supports Coordinator is notified that a medication review is required following a hospital discharge, ER visit, collaboration with skilled services or health care system, or a change in medication is reported, the RN Supports Coordinator must document the review in the participant record.

- Document that all medications were reviewed for potential errors and added to the medication list
- If there is a discrepancy in medications, document contact with the participant's primary physician to confirm current medications.
- Document adherence to medication regimen and offer resources if participant is non-compliant
- Document assessment of participant risk based on current medications
- Document any education needed or provided regarding the participant's prescribed medications

Charting Example – Medication Review Following Change (RN primary SC): [Standard Note]

Completed medication review following a change in the participant's medications. Updated COMPASS Medication list and confirmed current medications with participant. Participant declines any issue with medications or need for education.

Charting Example – Medication Review Following Change (non-primary SC): [Standard Note]

Completed medication review following a change in the participant's medications. SW SC notified RN Supports Coordinator of new medications reported during monitoring contact. Confirmed medications as entered by SW SC.

Charting Example – Error/Medication Discrepancy: [Standard Note]

Completed medication review following review of skilled care plan and medication list. Identified discrepancy between current medication list and medication list provided by skilled agency. Contacted primary care physician to request current medication list and confirmed all prescriptions as ordered. Contacted participant to review medications and confirmed participant is taking all medications as ordered. Updated COMPASS medication list as confirmed by physician. No assistance with medication compliance or education needed at this time.

Post-Hospital Discharge

Supports Coordinators are required to contact the participant within one (1) business day of notification of participant discharge. During this contact, the Supports Coordinator will schedule a home visit within seven (7) calendar days of participant discharge to review all discharge paperwork, instructions, and changes to or new medications. During this visit, the Supports Coordinator will also assess the current service plan for appropriateness and determine if a change of condition reassessment is warranted.

- Document scheduled home visit or if participant declines
- Document review of discharge paperwork and instructions
- Document review of medications and update COMPASS medication list as needed.
- Document review of PSCP and current services and confirm ongoing needs.
- Document need for change in condition re-assessment

Charting Example – Post-Hospital Discharge Phone Call – Scheduled Visit: [Standard Note]

Contacted Participant after receiving notification of discharge from hospital. Confirmed participant returned home on [date]. Notified participant that SC is required to schedule a home visit within 7 days to complete a service plan review and assess for need for re-assessment. Scheduled reassessment for [date] at the participant's home, per the participants request. Reminded the participant that they can have others present at the reassessment. Reviewed current service plan and any immediate needs. Submitted work orders to resume services previously on hold.

Charting Example – Post-Hospital Discharge Phone Call – Declined Visit: [Standard Note]

Contacted Participant after receiving notification of discharge from hospital. Confirmed participant returned home on [date]. Notified participant that SC is required to schedule a home visit within 7 days to complete a service plan review and assess for need for re-assessment. Participant declined Supports Coordinator visit. Reviewed discharge paperwork and confirmed participant understanding. Confirmed no new diagnosis and reviewed medication list. RN completed medication review with participant and updated COMPASS medication list to include new prescription of [prescription w/ dosage]. Participant expressed understanding of current medications and declines need for education or assistance with medication adherence. Participant confirms compliance and takes all current medications as prescribed. Reviewed need for DME or orders for skilled care. No skilled services ordered at this time. Reviewed current service plan. No new MI Choice services needed or requested by participant at this time. Submitted work orders to resume services previously on hold. No other non-Waiver resources or services needed.

Charting Example – Post Hospital Discharge In-Person Visit: [Person-Centered Planning Note]

Met with participant for post-hospital discharge visit. Reviewed discharge paperwork and confirmed participant understanding. No Change of Condition Re-assessment warranted at this time. Confirmed no new diagnosis and reviewed medication list. RN completed medication review with participant and updated COMPASS medication list to include new prescription of [prescription w/ dosage]. Participant expressed understanding of current medications and declines need for education or assistance with medication adherence. Participant confirms compliance and takes all current medications as prescribed. Reviewed need for DME or orders for skilled care. No skilled services ordered at this time. Reviewed current service plan. No new MI Choice services needed or requested by participant at this time. Reviewed PCSP, including current services and interventions, formal and informal. Participant is happy with services – amount, type and frequency. No changes requested at this time. Reviewed participant goals. Reviewed potential barriers to meeting existing participant goals. Goals and outcomes updated. Participant signature received on PCSP. Participant does not want copy sent to anyone else.

Charting Example – Post Hospital Discharge Re-Assessment: [Person-Centered Planning Note]

Met with participant for post-hospital discharge visit. Reviewed discharge paperwork and confirmed participant understanding. Participant experienced a significant change in condition, including multiple new diagnosis and service needs. SC completed full reassessment at this time. SC reviewed the participant handbook including the MA appeals process, participant rights and responsibilities, critical incidents and the need to report them, and asked participant if they still had the UPCAP informational folder and contents in the home. He/she does. Participant does not feel the need to review anything in the folder at this time. Completed NFLOCD. Participant is now eligible under Door 1. Completed FOC. Participant requests continued enrollment in MI Choice, participant signature received. Reviewed participant finances and confirmed ongoing financial eligibility for Medicaid. Reviewed annual Consent and Authorization and Medical consent forms; participant signed and dated. RN completed medication review with participant and updated COMPASS medication list to include new prescription of [prescription w/ dosage]. Participant expressed understanding of current medications and declines need for education or assistance with medication adherence. Participant confirms compliance and takes all current medications as prescribed. Reviewed need for DME or orders for skilled care. Skilled services were ordered. SC to follow up with skilled agency. Physician orders for a [equipment] noted. SC confirmed that participant has received DME as ordered and expresses satisfaction. Completed PCSP review. Participant is happy with

current formal and informal services – amount, type and frequency. Participant is requesting additional CLS services as their needs have increased following hospitalization and health changes. SC will contact provider and request increase in service. Reviewed participant goals. Discussed participant’s goals and reviewed any potential barriers to meeting their goals. No barriers assessed at this time. Goals and outcomes updated. Participant does not want copy sent to anyone. Participant signed and dated care plan. Reviewed the back-up plan, no changes requested. No interest in a formal PCP meeting at this time but participant is aware they can request one at any time.

Home Modification

Home Modification documentation must include evidence that the participant, with the direct assistance of the Supports Coordinator, made a reasonable effort to access all available funding sources before the approval of MI Choice payment for each modification or adaptation. Documentation must include evidence of efforts to apply for alternative funding sources and the acceptances or denials of each funding source. There must also be documented evidence that the modification or adaptation is the most cost-effective and reasonable alternative to meet the participant’s need(s). Once the modification or adaptation is complete, the record must include an in-person verification by the Supports Coordinator and the participant’s satisfaction.

Charting Example – Prior to Modification/Adaptation: [Standard Note]

Formal request received by the participant for bathroom modification to be provided through the MI Choice Waiver. Supports Coordinator assisted participant with completing applications for the USDA Rural Development Grant and MSHDA Property Improvement Program for the modification. Denials received and placed in file. Supports Coordinator contacted local resources for community grants or assistance including Habitat for Humanity, Salvation Army and Community Action. Confirmed that local funding is not available at this time. Supports Coordinator confirms that the bathroom modification is necessary to ensure the participant’s safety and well-being within their home environment and is the most cost-effective option. Supports Coordinator confirms that the participant is the owner of the home and the home is considered the participant’s permanent residence. Two estimates were secured and the provider bid forms completed. Home Repair/Modification Request form was completed and submitted to Director of LTC Programs for approval.

Charting Example – Post Modification/Adaptation: [Standard Note]

Completed walk-through and verification of completed bathroom modification. Modification was completed correctly, based on provider bid and approval. The participant is satisfied with the modification. Photograph of completed work uploaded to the participant record. Work order submitted to case tech for entry authorizing the remaining balance be paid to provider.

Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - Added charting examples – RN Medication Reviews; Post-Hospital Discharges
- Revised 07/22/2024 – Internal Quality Committee Review
 - Added charting examples per SC requests
 - Added requirement for charting of assessment of barriers.
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - No changes
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - No changes

- Revised 01/24/2024 – Internal Quality Committee (following CQAR audit)
 - Updated language within policy to include requirement of contact prior to every home visit.
- Revised 11/02/2023 – LTC Quality Improvement Manager (EB)
 - Added additional language re: review of community resources and documentation during initial visit note.
- Revised 09/29/2023 – Internal Quality Committee Annual Review
 - Added charting example for Home Modifications

	<h1 style="color: #D9534F;">Communication with MDHHS</h1>		
Policy Number: 2022-27	Effective Date: 05/01/2022	Review Date(s): 09/10/2024; 04/23/2024; 03/18/2024; 09/29/2023; 10/06/2022	
Reviewed by: Internal Quality Committee	Approved by: <i>Theresa LaFave</i>		Category: SC

Scope

Communication and collaboration with the Michigan Department of Health and Human Services (MDHHS) is vital to ensuring appropriate participant enrollment and continued eligibility. Supports Coordinators are responsible for building positive working relationships with their local office’s Long-Term Care Medicaid Eligibility Specialists, as well as notifying the local office of any information that may affect the participant’s eligibility.

Policy

Supports Coordinators are responsible for following up with MDHHS regarding any Medicaid applications or Initial Asset Assessments submitted on behalf of the participant. Supports Coordinators are responsible for assisting the participant with gathering verifications and submitting information as requested by the local MDHHS office.

Supports Coordinators are responsible for notifying MDHHS of any significant changes to the participant’s finances that may impact Medicaid eligibility while enrolled in the MI Choice Waiver, as this will prompt MDHHS to complete a re-determination of financial eligibility.

MI Choice Waiver Enrollment and Disenrollment

Supports Coordinators are responsible for submitting the appropriate Waiver enrollment and disenrollment forms to the Enrollment specialist. The Enrollment Specialist is responsible for submitting all enrollment and disenrollment information into CHAMPS as well as monitoring CHAMPS daily for changes to participant enrollment statuses. The Enrollment Specialist is also responsible for notifying MDHHS through the MDHHS Geo Group Email for each county office when a Waiver Enrollment has been entered and is pending Medicaid review.

If during the enrollment or disenrollment process, the Enrollment Specialist encounters an issue within CHAMPS that directly affects eligibility, the Enrollment Specialist will notify the primary Supports Coordinator and Director of LTC Programs of the issue. Depending upon the issue, the Supports Coordinator may be required to contact MDHHS directly.

If there is an issue that requires assistance at the State level, the Director of LTC Programs will notify the appropriate entities/contacts and submit the necessary information for assistance in CHAMPS/Siebel CRM utilizing the service request option.


[Refer to **Policy Number 2022-15 - Participant Enrollment**]

Demographic Changes

Supports Coordinators are responsible for notifying MDHHS of any changes to the participant's status or demographic information – i.e. address change, marital status, etc. The Supports Coordinator should also assist the participant in contacting MDHHS to verify or update their contact information in [MIBridges](#). Those who are unable to update their information in MIBridges can contact the Beneficiary Help Line at 1-800-642-3195 (TTY: 1-866-501-5656).

Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - No changes
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - No changes
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - No changes
- Revised 09/29/2023 – Internal Quality Committee Annual Review
 - No Changes

		<h1 style="color: #E67E22;">Critical Incidents</h1>	
Policy Number: 2022-28		Effective Date: 05/01/2022	
		Review Date(s): 09/10/2024; 04/23/2024 03/18/2024; 09/29/2023; 08/03/2023; 10/06/2022	
Reviewed by: Internal Quality Committee		Approved by: <i>Theresa LaFave</i>	Category: SC

Scope

A Critical Incident is defined by MDHHS-as any actual, alleged or suspected event or situation that occurs as a result of abuse, neglect, exploitation, or any event that creates a significant or potential risk of substantial or serious harm to the physical or mental health, safety or well-being of a waiver participant.

Policy

It is the policy of UPCAP Services that Supports Coordinators have the first line responsibility for identifying, investigating, evaluating, and following up on Critical Incidents that occur with program participants. All critical incidents must be reported to the Michigan Department of Health and Human Services utilizing the Critical Incident Portal. [Critical Incident Portal](#)

Types of Reportable Critical Incidents

1. Exploitation * - An action that involves the misuse of an adult’s funds, property, or personal dignity by another person.
2. Illegal activity in the home with potential to cause serious or major negative event * - Any illegal activities in the home that puts the participant or the workers coming to the home at risk.
3. Neglect *- Harm to an adult’s health or welfare caused by the inability of the adult to respond to a harmful situation or by the conduct of a person who assumes responsibility for a significant aspect of the adult’s health or welfare. Neglect includes the failure to provide adequate food, clothing, shelter, or medical care.
4. Physical Abuse * - The use of unreasonable force on a participant with or without apparent harm
5. Critical No Show – Instances when a provider is scheduled to be at a participant's home but does not come and back up service plan is either not put into effect or fails to get an individual to the participant’s home in a timely manner. This becomes a Critical Incident for those participants who are bed bound all day or have a critical need for services as indicated by a 1A, 1B, or 1C service need level.
6. Sexual Abuse *
 - a. Criminal sexual conduct as defined by sections [520b to 520e of the 1931 PA 318, MCL 750.520B to MCL 750.520e](#) involving an employee, volunteer, or agent of a provider and a recipient
 - b. Any sexual contact or sexual penetration involving an employee, volunteer, or agent of a department operated hospital or center, a facility licensed by the department under section 137 of the act or an adult foster care facility and a recipient.
 - c. Any sexual contact or sexual penetration involving an employee, volunteer, or agent of a provider and a recipient for whom the employee, volunteer, or agent provides direct services.
7. Theft – A person intentionally and fraudulently takes personal property of another without

permission or consent and with the intent to convert it to the taker's use.

8. Verbal Abuse *- Intimidation or cruel punishment that causes or is likely to cause mental anguish or emotional harm.
9. Worker consuming drugs and/or alcohol on the job – Use of any illegal drugs or alcohol on the job, or any medications that would affect the abilities of the worker to do his or her job.
10. A suspicious or unexpected death that the waiver agency, or other entity, reports to law enforcement and that is related to providing services, supports, or caregiving. A suspicious or unexpected death MUST also be reported to MDHHS contract managers within forty-eight (48) hrs.
11. Medication error – Wrong medications, wrong dosage, double dosage, or missed dosage which resulted in hospitalization, emergency treatment or death. Individuals who make an informed choice to not be 100% adherent to their prescribed medication regimen are not experiencing a medication error and should not be included as a critical incident.
12. Use of restraints, restrictive interventions, or seclusion.
13. Suicide - Death Occurs.
14. Suicide Attempt – Suicide was attempted but no death occurred.
15. Self-Harm – Participant intentionally harms self.
16. Eviction – Participant is involuntarily discharged from the house.
17. Missing person/Elopement – A participant is missing or wandered away.
18. Hospitalization or emergency department visits within 30 days of previous hospitalization due to neglect or abuse. Critical Incidents in this category should be entered under the appropriate Abuse or Neglect incident type and include information regarding injury and medical treatment.
19. Injuries requiring medical treatment that are a direct result of abuse or neglect. Critical Incidents in this category should be entered under the appropriate Abuse or Neglect incident type and include information regarding injury and medical treatment.
20. Other – Other events that create a significant or potential risk of substantial or serious harm to the physical or mental health, safety or well-being of a waiver participant, not already listed, which are a result of neglect or abuse. Examples of incidents including) fire, drive by shooting, car accident, etc. that are a result of abuse or neglect should be entered under the appropriate Abuse or Neglect incident type, not “Other”.

* Denotes incidents that must also be reported to Adult Protective Services

If you have questions as to whether or not something constitutes a Critical Incident, you may reach out to Cheryl Decker at MDHHS, **(517) 241-1680**, for direction and clarification.

Mandated Reporting

All Supports Coordinators and UPCAP staff have a legal obligation to report any suspicions regarding vulnerable adults believed to have been harmed or are at risk of harm from abuse, neglect or exploitation. In addition to reporting a Critical Incident, Supports Coordinators may also need to call and file a report with MDHHS Adult Protective Services (APS). Reports made to APS on behalf of a participant will also need to be documented within the participant record and the corresponding Critical Incident report.

MDHHS Adult Protective Services **1-855-444-3911**

Critical Incident Reporting

UPCAP utilizes the Critical Incident Reporting Portal to report and manage all Critical Incidents as it allows for the automatic reporting of Critical Incident information directly to MDHHS. The Director of LTC Programs arranges for each Supports Coordinator to have access to the Critical Incident Reporting Portal upon hire.

When a Critical Incident occurs, Supports Coordinators must investigate, evaluate, and enter the incident within two (2) business days of becoming aware of a situation. Supports Coordinators must continue to investigate the Critical Incident until the situation is resolved and the participant is no longer in danger. Per MDHHS policy “Cases can only be resolved when the participant is as safe as possible.”

The Critical Incident report must include the following information:

1. A description of each incident
2. Investigations and strategies implemented to reduce, ameliorate, and prevent future incidents from occurring; and
3. Follow-up activities conducted through the resolution of each incident. Critical Incidents should be resolved within sixty (60) days unless there are extenuating circumstances, or an investigation is ongoing. If the Critical Incident is not resolved within two months, the notes should be updated frequently until the Critical Incident is resolved.

Supports Coordinators shall enter the required information regarding the Critical Incident into the Critical Incident Reporting Portal, and then notify the Regional SC Supervisor and/or the Director of LTC Programs by e-mail that a Critical Incident has occurred and has been entered.

During the investigation period, the Critical Incident shall be classified as “Unresolved” in the Critical Incident Reporting system. If, after an investigation, it is determined that an issue or situation was not a Critical Incident, the Supports Coordinator MUST document this in the progress notes of the Participant’s case record. This documentation should include a brief summary of the reported incident, what information was gathered during the investigation, and that it was determined that the incident was not a result of abuse or neglect. The Supports Coordinator is also responsible to notify the Regional SC Supervisor and/or the Director of LTC Programs of these findings so that the incident can be reclassified as “Unsubstantiated” and closed in the Critical Incident Reporting system.

Once the investigation is completed and all parties agree the situation has been resolved, Supports Coordinators shall change the case status to “Resolved” and notify the Regional SC Supervisor and/or Director of LTC Programs. The Regional SC Supervisor or Director of LTC Programs will review all activities throughout the investigation, and release the report to MDHHS once the case is resolved (or classified as unsubstantiated). Documentation of the event and the activities taken by the Supports Coordinator to resolve the Critical Incident, shall be maintained in the Participant file.

Participant Education

To ensure that all potential Critical Incidents are being reported and addressed appropriately, Supports Coordinators must provide education to the Participant and their informal supports on what constitutes a Critical Incident, the importance of reporting it, and the process of reporting it to UPCAP, APS, and/or local law enforcement agencies. Information regarding Abuse and Neglect is included in the Participant Handbook provided to the participant and their informal supports at the initial assessment. Supports Coordinators should also provide additional information and discussion of Critical Incident reporting at this time. Ongoing training for participants and their informal supports on abuse and neglect, reporting, and Critical Incidents should take place at the time of MI Choice enrollment, during the initial care planning process, and then annually thereafter. Supports Coordinators may provide training more frequently when circumstances indicate that the participant needs it. Participants, caregivers, and informal supports are required to report Critical Incidents to UPCAP or their Supports Coordinator within thirty (30) days of the incident occurring.

Provider Responsibilities

All UPCAP contracted providers are required to report any Critical Incidents to UPCAP or the participant's Supports Coordinator within twenty-four (24) hours of being notified or becoming aware of the incident. If a provider becomes aware of an incident outside of business hours (holidays, weekends, nights, etc) , they can wait until the next business day to notify UPCAP or the participant's Supports Coordinator.

References

[Medicaid Provider Manual - MI Choice Waiver](#)

www.michigan.gov/MDHHS



[Compass Critical Incident User Guide](#)

[Approved 1915\(b\) Waiver Application](#)

[Approved 1915\(c\) Waiver Application](#)

Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - No changes
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - Updated to include additional situations constituting a critical incident.
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - Updated types of reportable critical incidents to include hospitalization within 30 days of previous discharge due to abuse/neglect
- Revised 08/03/2023 – Director of LTC Programs (TL) & LTC Quality Improvement Manager (EB)
 - Updated policy per direction from Cheryl Decker @ MDHHS (AQAR Review results)
- Revised 09/29/2023 – Internal Quality Committee Annual Review
 - No Changes

		<h1>Reassessment</h1>	
Policy Number: 2022-29		Effective Date: 05/01/2022	Review Date(s): 09/10/2024; 04/23/2024; 03/18/2024; 09/29/2023; 10/06/2022
Reviewed by: Internal Quality Committee		Approved by: 	Category: SC

Scope

The Michigan Department of Health and Human Services (MDHHS) requires that Supports Coordinators provide a scheduled, periodic in-person reexamination of participant functioning for the purpose of identifying changes that may have occurred since the previous assessment and to measure progress toward meeting specific goals outlined in the participant PCSP.

Policy

It is the policy of UPCAP Services, Inc. to perform a periodic in-person reexamination of participant functioning through a formal reassessment. The purpose of the reassessment is to identifying changes that may have occurred since the previous assessment and to measure progress toward meeting specific goals outlined in the participant PCSP. The reassessment is comprehensive and includes all of the items reviewed at the previous assessment.

Procedures

Supports Coordinators must input all assessment data in the participant's next assessment record in COMPASS system in a timely manner. All sections of the InterRAI-HC must be reviewed and completed. The Supports Coordinators may only copy and paste information that has not changed from a previous assessment to the new assessment when the information is still relevant. The InterRAI-HC Reassessment and corresponding PCSP should be completed and finalized in COMPASS within seven (7) calendar days of completing the in-person visit.

Supports Coordinators are responsible for confirming the participant's ongoing eligibility at each reassessment. Supports Coordinators will review the participant's functional eligibility for the MI Choice Waiver program through a review of the participant's NFLOCD and the information gathered at the assessment. The participant's case record must reflect documentation that the participant continually meets the NFLOC. The record must indicate the appropriate door through which the participant meets the NFLOC criteria based upon the current assessment. If the Supports Coordinator does not complete a paper copy of the NFLOCD tool, the corresponding assessment data MUST support the door through which the Supports Coordinator indicates the participant meets NFLOC criteria.

If a Supports Coordinator suspects the participant no longer meets the nursing facility level of care, the Supports Coordinator must conduct a face-to-face NFLOCD and input the data into the LOCD application in CHAMPS. When CHAMPS confirms the individual no longer meets NFLOCD criteria, the Supports Coordinator initiates program discharge procedures and provides the participant with notice and information on appeal rights. See Action Notices and Appeal Rights.

Either an interdisciplinary Supports Coordinator team or an individual Supports Coordinator can perform reassessments. A team is not required to perform reassessments. However, when one Supports Coordinator completes an assessment,

that Supports Coordinator should consult with a Supports Coordinator of the other discipline to assure all relevant issues have been updated and properly addressed through an interdisciplinary review. If during this consultation, it is determined that follow up is needed by the other discipline, the Supports Coordinator will contact the participant to discuss any concerns and assist in properly addressing the issue. If needed, the other discipline may schedule a home-visit within seven (7) days to review and verify the first Supports Coordinator's findings and assure the record properly reflects and addresses all issues.

If only one discipline completes the reassessment, they must complete the InterRAI-HC Reassessment and corresponding PCSP in COMPASS within two (2) business days of the in-person visit. They must notify the other Supports Coordinator and request an interdisciplinary review of the participant's information. The other discipline has two (2) business days to review the reassessment and PCSP for accuracy and document the interdisciplinary review in the progress notes. If there are any issues or concerns noted during the review, this Supports Coordinator will notify the Supports Coordinator who performed the reassessment. This Supports Coordinator will have one (1) business day to make the necessary changes to the InterRAI-HC Reassessment and corresponding PCSP in COMPASS and finalize it. If the other discipline is unable to review the Reassessment and PCSP within the seven (7) calendar days, the interdisciplinary review should be performed, documented in the participant's progress notes, and an assessment addendum note added to the participant's record, with changes or errors noted. [\[Reassessment Flow Chart\]](#)

In order to schedule the reassessment, the Supports Coordinator is responsible for contacting the participant prior to the reassessment due date. The reassessment should be scheduled on a date and time that is most convenient to the participant. The Supports Coordinator must also confirm that the participant understands that they may have any other individuals of their choosing to be present at the reassessment. Supports Coordinators will document this contact in the participant record. Supports Coordinators will call the participant on the same day as the scheduled visit to confirm that the participant is still available. If the participant needs to reschedule, the Supports Coordinator will coordinate with the participant to schedule another day and time. This new date and time will be recorded in the participant record.

Participants may refuse a reassessment. Supports Coordinators must document this refusal in the participant's case record. The Supports Coordinator should also confirm that the participant understands that to maintain program eligibility for the MI Choice Waiver, UCPAP must assess them on an annual basis. A refusal which prevents a timely redetermination is cause for termination from the program.

90- Day Reassessment

Supports Coordinators complete a comprehensive in-person reassessment with all **new** participants within 90 days of the initial assessment by updating the participant's next assessment record in COMPASS (**this is not required for re-enrollments/return re-assessments**). At the 90-day reassessment, the Supports Coordinator will complete the full InterRAI-HC assessment in COMPASS. The person-centered care plan will be reviewed, adjustments to the care plan made only at the participant's request, and a review of the participant's goals.

Supports Coordinators will also provide a more thorough explanation of the Participant Handbook and review of materials in the Participant Informational Folder provided at the initial assessment.

Change in Condition Reassessment

If the participant has experienced a significant change to their health or functional status OR there has been a significant change in the participant's support system (i.e. death of a primary caregiver), the Supports Coordinator must complete an in-person reassessment. The Supports Coordinator will complete the full InterRAI-HC assessment in COMPASS as well as a person-centered care plan review.

Return Reassessment

Supports Coordinators must complete a return reassessment when an existing participant returns to the MI Choice program after a nursing facility discharge, hospitalization lasting more than 30 days, or has been out of the service area for more than 30 days. The return reassessment consists of the full InterRAI-HC assessment in COMPASS and must be completed by a SW/RN Supports Coordinator team. The previous person-centered service plan will be reviewed for appropriateness and adjustments made to the care plan as needed, with participant approval. [[Return Re-Assessment Flow Chart](#)]

Annual Reassessment

Supports Coordinators must complete a comprehensive in-person reassessment with all participants no less than annually following the 90-day reassessment. The Supports Coordinator will complete the full InterRAI-HC assessment in COMPASS. The person-centered care plan will be reviewed, adjustments to the care plan made only at the participant's request, and a review of the participants goals.

Supports Coordinators will request to view the Participant Informational Folder provided to the participant at the initial assessment. Supports Coordinators will review the contents and the participant handbook, making specific note of the participant's rights and responsibilities. If the participant no longer has their Participant Informational Folder, the Supports Coordinator will provide them with a new one. If there have been any updates or changes to the participant handbook, a new copy of the handbook will be provided to the participant at the reassessment and the acknowledgement of handbook signed and dated. Receipt and/or review of the materials will be documented in the participant's file.

The Supports Coordinator is also responsible for updating all consent and authorization documents on an annual basis. Although these documents can be signed any time to extend the authorization period, it would behoove the Supports Coordinator to coordinate the due dates of all forms and documents with the date of the annual assessment for consistency and continuity. Receipt of annual consent and authorization, and any other consent forms, will be documented in the participant's file.

References

[Medicaid Provider Manual - MI Choice Waiver](#)

Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - Updated 90-day reassessment section
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - No changes
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - No changes
- Revised 09/29/2023 – Internal Quality Committee Annual Review
 - Updates made to the timeframes for reassessments
 - Updates made to requirements for interdisciplinary reviews and processes.

	<h1>Care Transitions</h1>	
Policy Number: 2022-30	Effective Date: 05/01/2022	Review Date(s): 09/10/2024; 04/23/2024; 03/18/2024; 09/29/2023; 10/06/2022
Reviewed by: Internal Quality Committee	Approved by: <i>Theresa LaFave</i>	Category: SC

Scope

Due to the complex care and support needs of many MI Choice Waiver participants, transitions of care can increase the risk of adverse events to the participant's health and functional status. A transition of care is the movement of a participant from one setting of care to another. Settings of care may include hospitals, long-term care facilities, home health, and rehabilitation facilities. Ensuring effective transitions of care is essential to the participant's health and safety, and will aid the participant in reducing their incidence of injury and avoiding or reducing hospital and nursing facility readmissions.

Policy

Supports Coordinators play a vital role in ensuring a safe and effective transition of care. Supports Coordinators will act as a liaison and are responsible for maintaining regular contact with appropriate care setting personnel (Social Workers, Discharge Planners, RNs, etc), the participant, and the participant's informal support network, as desired by the participant, by providing ongoing support throughout the process. Supports Coordinators should request supporting documentation at discharge in order to facilitate a safe transition back into the community setting. Supports Coordinators will be responsible for reassessing the participant and adjusting the participant's care plans, as needed, at discharge to support the participant's goals of returning to the community setting of their choice.

Procedures

ADT/CCD Notices

Supports Coordinators have access to real-time notifications within the COMPASS Next Dashboard that provide detailed information on participant admissions and discharges from acute and primary care. These notifications are referred to as ADT and CCD Notices. Admission Discharge Transfers (ADT) are files that include information about participant's admissions, discharges, and transfers from hospitals, nursing homes and home health agencies. Consolidated Clinical Documents (CCD) are files that include detailed information about the participant's medications, diagnoses, history, physicals, progress notes, care plans, etc. The ADT and CCD notices are tools to be utilized by the Supports Coordinator to track unplanned participant admissions so that they may begin tracking and supporting the participant through the transition of care settings.

Supports Coordinators are expected to check their ADT and CCD notices daily and follow up with the participant within one (1) business day of notification of an Emergency Room Visit, Hospital Admission, or Nursing Facility Admission. Follow-up, including the reason for the participant's visit or admission, must be documented in the participant's case file. Refer to the **ADT/CCD Follow-Up Guide** for more direction regarding follow up and documentation. Once the ADT or CCD notice is reviewed, the Supports Coordinator will be responsible for archiving the notice within COMPASS so it is no longer on the current list.

ADT and CCD notices are received and coordinated through the MiHIN – Michigan Health Information Network. Hospitals, nursing facilities, and other applicable care settings enter into a contractual relationship with MiHIN in order to participate in the sharing of files and participant encounter data. It should be noted that not all hospitals and/or care settings participate, therefore Supports Coordinators should not rely solely on these notifications to provide notice of transitions of care.

[COMPASS ADT/CCD User Guide](#)

Hospitalizations

Unplanned Admission

When a participant enters a hospital, their services must be placed on hold. The Supports Coordinator must:

1. Update the participant’s Status Report care setting to indicate the participant is in the hospital, making sure to note that it was “unplanned”. Submit completed form to the appropriate Case Tech.
2. Notify the service provider(s) by phone or email immediately, within the same business day, of the participant’s hospitalization and indicate the date to start holding the service(s).
3. Complete a Work Order or note “Service Hold” on the participant’s current Service Summary and submit to the appropriate Case Tech. Vendor View Providers will receive a Hold Notice and Care Setting Change via Vendor View. Supports Coordinators shall fax or mail Work Orders to providers not utilizing Vendor View.
 - Since hospital admissions are not considered enrollments in a benefit plan or LTSS service, Supports Coordinators may authorize the continuation of the following service(s) while the participant is hospitalized to ensure a safe transition at discharge:
 - Personal Emergency Response System (PERS)
 - Snow Removal – if applicable
 - Supports Coordination
4. Complete and mail an Adverse Benefit Determination (ABD) to the participant and retain a copy for the participant file. The ABD must indicate each service, service provider, and frequency of service being temporarily suspended as a result of the hospitalization. No abbreviations may be used on the Adverse Benefit Determination.

The Supports Coordinator will be expected to follow up with the admitting hospital and the participant, if appropriate, within one (1) business day of the hospitalization to discuss the participant’s current health issues, reason for admission, and to notify the necessary staff of the participant’s involvement in the MI Choice Waiver program. The Supports Coordinators should request a copy of the admission paperwork from the admitting hospital and maintain regular contact with appropriate hospital staff. The Supports Coordinator will be responsible for tracking the participant’s status throughout the extent of their hospitalization and coordinate the participant’s discharge with the hospital social worker or discharge planner.

There may be times that a Supports Coordinator is not notified of a hospitalization until after the participant returns home. Supports Coordinators must still make note in the participant record of the dates of hospitalization and follow the above steps to ensure that the care plan authorizations in the system are accurate. The Supports Coordinator will still need to contact the hospital discharge planner to request the documents and orders provided at the participant’s discharge.

Planned Admissions

When the Supports Coordinator is aware of a scheduled participant hospitalization, the Supports Coordinator should take all necessary steps to ensure a smooth transition of care. Supports Coordinators are required to notify the participant’s service providers ahead of time to coordinate the hold and resume dates of service. Once the Supports

Coordinator confirms that the participant has been admitted for a planned procedure or treatment, they must:

1. Update the participant's Status Report care setting to indicate the participant is in the hospital, making sure to note that it was "planned". Submit completed form to the appropriate Case Tech
2. Complete a Work Order or note "Service Hold" on the participant's current Service Summary and submit to the appropriate Case Tech. Vendor View Providers will receive a Hold Notice and Care Setting Change via Vendor View. Supports Coordinators shall fax or mail Work Orders to providers not utilizing Vendor View.
 - Since hospital admissions are not considered enrollments in a benefit plan or LTSS service, Supports Coordinators may authorize the continuation of the following service(s) while the participant is hospitalized to ensure a safe transition at discharge:
 - Personal Emergency Response System (PERS)
 - Snow Removal – if applicable
 - Supports Coordination
3. Complete and mail an Adverse Benefit Determination (ABD) to the participant and retain a copy for the participant file. The ABD must indicate each service, service provider, and frequency of service being temporarily suspended as a result of the hospitalization. No abbreviations may be used on the Adverse Benefit Determination.

For situations where a Supports Coordinator knows that a Participant will be away from home at a certain point each and every month (example: a medical procedure which requires the participant to spend two to three days in a row in a hospital), Supports Coordinators will need to coordinate the hold and resume dates of service with providers ahead of time.

Discharge

When the participant is hospitalized for less than thirty (30) days, the participant's services may restart upon discharge from the hospital. Once the Supports Coordinator is made aware of the participant's discharge date, the Supports Coordinator should contact all service providers by phone or email to notify them of the resume date and coordinate services to start once the participant returns home.

Upon discharge from the hospital, the Supports Coordinator will need to resume all services that were in the home prior to hospital admission:

1. Submit Status Report denoting change in care setting to the appropriate Case Tech
2. Update work orders to RESUME service OR note RESUME on the service summary used to HOLD services at the participant's admission and submit to the appropriate Case Tech. Vendor View Providers will receive a Resume Notice and Care Setting Change via Vendor View. Supports Coordinators shall fax or mail Work Orders to providers not utilizing Vendor View.

Supports Coordinator should request a copy of the discharge instructions, including information regarding medication and durable medical equipment orders from the discharge planner. Supports Coordinators will contact the participant within one (1) business day of notification of hospital discharge. The Supports Coordinator will review the discharge instructions with the participant and discuss any concerns or questions the participant may have. **The RN Supports Coordinator will review any medication changes and document all new and existing medications. If there are any concerns or discrepancies between the medication list and documented medications, the RN Supports Coordinator will contact the participant's primary physician.** The Supports Coordinator will also review any new physician orders for home health service, durable medical equipment, outpatient therapies, etc, and monitor the care plan to ensure that the services and/or equipment is received in a timely manner.

The Supports Coordinator will also need to assess the person-centered service plan for appropriateness based on the

functional status of the participant following discharge. The Supports Coordinator is required to schedule an in-home visit with the participant within seven (7) calendar days of hospital discharge. At the home visit, the Supports Coordinator will determine if the participant has experienced a significant change of condition warranting a full reassessment. If necessary, the reassessment will be completed with the participant at this visit. If the participant declines an in-home visit, it MUST be documented in the participant record.

Hospitalization Over 30 Days

When a Mi Choice participant is hospitalized, planned or unplanned, the participant's case does not have to be closed if the participant's stay does not exceed thirty (30) days. The Supports Coordinator is responsible for tracking the participant's total days of hospitalization. Supports Coordinators are able to track the number of days a participant has been hospitalized within COMPASS Next. Supports Coordinators can view all current hospitalized participants as well as the date of their admission and total days of institutionalization within the "Monitors" tab.

If the participant's stay exceeds thirty (30) days, the participant will need to be disenrolled from the Mi Choice Waiver. The disenrollment or Waiver closure date occurs after the thirty (30) day waiting period, on day thirty-one (31), as closure is not retroactive to the first day of hospitalization. The Supports Coordinator will send a Notice of Adverse Benefit Determination to the participant on day nineteen (19) of the hospitalization stipulating that if the hospitalization is over thirty (30) days, the participant will be disenrolled from the MI Choice Waiver and all MI Choice Waiver services will be discontinued. The participant's program status must be changed to Waiver-Ineligible. Once the Participant is ready for discharge back to the community, the Supports Coordinator will coordinate the participant's re-enrollment into the Waiver.

Nursing Facilities

Nursing Facility Admissions

When a participant enters a nursing home, for a permanent stay or short-term rehabilitation, all services must be stopped immediately. The Supports Coordinator must:

1. Complete the Participant Status Report and submit to the appropriate Case Tech
 - If the participant is admitted for a permanent stay, the Supports Coordinator will close the participant's file completely on the day of admission.
 - The Supports Coordinator will update the participant's Status Report to denote Mi Choice Waiver closure on the day BEFORE the day of admission to the nursing facility.
 - A program status of "Waiver Ineligible" will be assigned for the day that the participant enters the Nursing Facility to ensure that any services provided on the day of admission can be purchased using alternative funds.
 - If the participant is admitted for a short-term stay and is planning on returning to the community and re-enrolling in the MI Choice Waiver upon discharge, the Supports Coordinator will update the program status to Waiver-Ineligible as of the day of admission. The Waiver end date must be the day BEFORE the day of admission. Care Setting status must also be updated on the Status Report to reflect "Nursing Home"
2. Notify the provider(s) by phone or email immediately, same day, indicating the date services are to stop due to nursing facility admission.
 - Complete a Work Order(s) signifying the stop date for each service and submit to the appropriate Case Tech
 - If a Waiver service was required and authorized on the same day, immediately before a participant's admission to a nursing facility, UPCAP must reimburse the service provider. The work order is re-coded to 221 fund code for the day of admission for any approved and required service.

3. Complete and mail an Adverse Benefit Determination (ABD) to the participant and retain a copy for the participant file. The ABD must indicate each service, service provider, and frequency of service being stopped as a result of the nursing facility admission. It should also note that the participant will be disenrolled from the MI Choice Waiver while they are in the nursing facility. The ABD should also provide information regarding re-enrollment at the time of discharge, if applicable. No abbreviations may be used on the Adverse Benefit Determination.
4. Complete a Waiver Disenrollment Notification Form and submit to the Enrollment Specialist for entry into CHAMPS within five (5) business days.

For participants who are planning to return to the community and re-enroll in the MI Choice Waiver upon discharge, Supports Coordinators are responsible for maintaining contact with both the nursing facility and the participant on a regular basis, no less than every thirty (30) days, to ensure a smooth transition upon discharge. Supports Coordinators will be able to track the number of days a participant has been in a nursing facility within COMPASS Next. Supports Coordinators can view all current participants in a nursing facility as well as the date of their admission and total days on institutionalization within the “Monitors” tab.

Supports Coordinators should make initial contact with the nursing facility within one (1) business day or notification of the admission to discuss the participant’s current health issues, reason for nursing facility admission, and to notify the necessary nursing facility staff of the participant’s involvement in the MI Choice Waiver program. Supports Coordinators should provide details about the services provided and the potential services available upon discharge. Each nursing facility is different in how they work with residents, so the Supports Coordinator should make sure that they are communicating with the most appropriate facility staff person(s) – Social Worker, Discharge Planner, RN Supervisor, etc. Supports Coordinators will also be required to communicate with the participant and/or their designated representative to discuss the transition of care process. Supports Coordinators will be required to complete monthly monitoring contacts with the participant or the participant’s preferred representative throughout the extent of the participant’s stay at the nursing facility. Participant preference for contact should be noted in the participant record.

Supports Coordinators should be available to meet with the participant and facility staff in person, if warranted or requested, to discuss the discharge plan and address any potential needs of the participant once they return to their community setting. If no in-person meeting is able to be scheduled, Supports Coordinators will need to document contact with the appropriate nursing facility staff where potential needs and concerns are discussed prior to discharge. There may be instances where the nursing facility and staff are difficult to reach and coordinate transitions of care. In these cases, the Supports Coordinator should continue to make attempts for contact and document them in the participant record. Supports Coordinators will then rely on the participant and the participant’s informal supports to provide information regarding discharge and potential needs.

Nursing Facility Discharges

For participants who enroll in MI Choice upon discharge from a nursing facility or hospital, the Supports Coordinator must ensure the participant’s necessary services or supplies are in place prior to discharge. LOCD policy requires that the Supports Coordinator confirms NFLOCD eligibility on the day of or before Waiver enrollment. This includes signature, by the Supports Coordinator, on the Freedom of Choice form. If the Supports Coordinator conducts a NFLOCD with the participant, this must be done within seven (7) calendar days prior to or on the day of the re-enrollment in MI Choice.

Once the Supports Coordinator is notified of the participant’s discharge date, the Supports Coordinator will coordinate the completion of a NFLOCD and FOC prior to, or on the day of, discharge to begin the process of re-enrollment into the MI Choice Waiver. Depending upon the length of time between notification and discharge, the Supports Coordinator may choose to complete the NFLOCD in person at the facility or adopt an existing NFLOCD. If the Supports Coordinator chooses to adopt an existing NFLOCD, this must be done on the day of or seven (7) calendar days prior to the date of Waiver enrollment, and the FOC populated from CHAMPS must be signed by the Supports Coordinator. MI Choice Services can not start in the home before the NFLOCD is completed in person or adopted by the Supports Coordinator.

[Refer to **Policy Number: 2022-09 Nursing Facility Level of Care Determination** for more information regarding completing and adopting NFLOCDs.]

Supports Coordinators will need to discuss necessary services and/or supplies needed by the participant to ensure their safety upon return home. Supports Coordinators should notify preferred providers ahead of time to coordinate the start date of services to be the date of discharge.

After the NFLOCD is completed or adopted and the discharge date has been confirmed, the Supports Coordinators will:

1. If the Supports Coordinator completed the NFLOCD and FOC, send it to the appropriate Case Tech for entry into CHAMPS. The NFLOCD can only be entered into CHAMPS on the day of discharge or within fourteen (14) days of discharge.
2. Update the participant Status Report to reflect Waiver-Pending as of the day of discharge. The Waiver-Ineligible program designation will end on the day BEFORE discharge.
3. Submit the MI Choice Waiver Enrollment Notification Form to the Enrollment Specialist for entry into CHAMPS within five (5) business days.
4. Complete Work Orders for any necessary services needed by the participant upon discharge and submit to the appropriate Case Tech for entry.

After the participant's discharge, the Supports Coordinator must contact the participant within twenty-four (24) hours of discharge, or notification of discharge, to ensure immediate needs are being met. Within seven (7) calendar days of the discharge, the Supports Coordinator(s) must conduct an in-person meeting (home visit) with the participant to complete a NFLOCD, if one was adopted prior to discharge, complete a full return reassessment, and get the necessary signatures on the FOC. The return reassessment must be completed by a RN/SW Supports Coordinator team, in addition to the completion of a new person-centered service plan (PCSP). The Supports Coordinator will also review any medication changes and document all new and existing medications. If there are any concerns or discrepancies between the medication list and documented medications, the Supports Coordinator will contact the participant's primary physician.

The Supports Coordinator will also review any new physician orders for home health service, durable medical equipment, outpatient therapies, etc., and monitor the care plan to ensure that the services and/or equipment is received in a timely manner.

In the event that only one Supports Coordinator is able to schedule the home visit within seven (7) days of discharge, the one Supports Coordinator may start the reassessment process to assure the participant meets MI Choice eligibility requirements and to develop a temporary PCSP. The Supports Coordinator of the other discipline will be required to complete the in-person reassessment within seven (7) days of the time the reassessment process began.

Swing Bed/Rehabilitation

In some cases, small rural hospitals may use its beds, as needed, to provide either acute or skilled nursing facility (SNF) care. Therefore, a participant might receive their post-acute hospitalization rehabilitation in a "swing bed" while remaining at the hospital. The Supports Coordinator will be responsible for determining whether the services provided during the participant's swing bed classification are being billed to Medicare or Medicaid. If Medicaid is being billed, this care is considered to be skilled nursing facility care, so admissions and discharges to a "swing bed" are to be treated as nursing facility admissions and discharges.

When a Supports Coordinator is notified of the participant's transition from acute care to a swing bed, the Supports Coordinator will be required to contact the discharge planner or appropriate staff person at the hospital within one (1) business day to confirm payor source. The Supports Coordinator will also be required to run a CHAMPS Eligibility Report for the participant to confirm payor source.

If the hospital is billing Medicaid for the skilled rehabilitation stay, it will reflect on the Eligibility Report. If the Nursing Facility [PET code](#) is present on the Eligibility Report, the Supports Coordinator will proceed with procedures outlined for nursing facility admissions and discharges.

If it is confirmed, through contact with hospital staff and the participant's eligibility report, that the hospital is billing Medicare for the participant's care, the Supports Coordinator will treat the stay as a continuation of the participant's hospital stay, paying close attention to the participant's total days of institutionalization. If the participant remains in the hospital setting for more than thirty (30) days, the Supports Coordinator will follow procedures as outlined in for hospitalizations over thirty (30) days.

Out-of-Service Area

While UPCAP's contract with the MDHHS is to provide services to individuals residing in the 15 counties of the Upper Peninsula, participants may occasionally need to travel out of the service area for special occasions, events, or other circumstances. Supports Coordinators must seek prior approval from the Director of LTC Programs (who may consult with the MI Choice Program Manager at MDHHS), and the Service Provider / Paid Caregiver before services may be arranged and purchased for a participant while they are temporarily out of the service area.

When a Supports Coordinator is notified that a participant is out of the service area:

1. Update the participant's Status Report care setting to indicate the participant is "Out of Service Area". Submit completed form to the appropriate Case Tech.
2. Notify the service provider(s) by phone or email immediately, within the same business day, for any services not being provided while the participant is out of the service area and indicate the date to start holding the service(s).
3. Complete a Work Order or updated Service Summary for any services not authorized while the participant is out of town and submit to the appropriate Case Tech. Vendor View Providers will receive a Hold Notice and Care Setting Change via Vendor View. Supports Coordinators shall fax or mail Work Orders to providers not utilizing Vendor View.
 - If the participant plans to return home within 30 days, the Supports Coordinators may authorize the continuation of the following service while the participant is out of town ensure a safe transition home:
 - Personal Emergency Response System (PERS)
 - Snow Removal – if applicable
 - Supports Coordination
4. Complete and mail an Adverse Benefit Determination (ABD) to the participant and retain a copy for the participant file. The ABD must indicate each service, service provider, and frequency of service being temporarily suspended as a result of the participant being out of the service area. No abbreviations may be used on the Adverse Benefit Determination.

When a Participant returns home within thirty (30) days the Supports Coordinator will need to resume all services that were in the home prior to the participant going out of the service area:

1. Submit Status Report denoting change in care setting to the appropriate Case Tech.
2. Notify all service providers, by phone or email, to coordinate services and notify them of the resume date.
3. Update work orders or service summary to RESUME services that were previously on HOLD when the participant went out of the service area and submit to the appropriate Case Tech. Vendor View Providers will receive a Resume Notice and Care Setting Change via Vendor View. Supports Coordinators shall fax or mail Work Orders to providers not utilizing Vendor View.

Participants who are away from the State of Michigan for more than thirty (30) consecutive days lose their Michigan Medicaid status, and are therefore no longer eligible for the MI Choice Waiver program. Supports Coordinators must contact the Participant or responsible party fifteen (15) calendar days after the Participant has left the State to determine the planned date of return. If the planned date of return goes beyond the next fifteen (15) calendar days, the Supports Coordinator shall obtain a mailing address so as to send an Adverse Benefit Determination with advance notice.

The Supports Coordinator will mail the Adverse Benefit Determination (ABD) to the Participant and retain a copy for the participant file. The ABD must indicate each service, service provider, and frequency of service being stopped as a result of being out of the service area for more than 30 days. It should also note that the participant will be disenrolled from the MI Choice Waiver at that time. The ABD should also provide information regarding re-enrollment, if applicable. No abbreviations may be used on the Adverse Benefit Determination.

If the Participant has not returned to their place of residency by the 30th day following departure from the State, the case shall be closed and all providers notified of service termination. The Supports Coordinator will:

1. Update the Participant Status Report and submit to the appropriate Case Tech for entry. The Waiver end date will be on Day 30.
2. Complete a Work Order(s) signifying the stop date for each service and submit to the appropriate Case Tech
3. Complete a Waiver [Disenrollment Notification Form](#) and submit to the Enrollment Specialist for entry into CHAMPS within five (5) business days.

Upon return to the Upper Peninsula, the Participant may request readmission to the MI Choice Program. If this request is made within the same fiscal year during which the case was closed, the person shall be entitled to their former Waiver slot. A new assessment and corresponding NFLOCD shall be completed, and if eligibility is confirmed, the person shall be reinstated into the MI Choice Program. If the person requesting readmission departed the Upper Peninsula during one fiscal year and returns in a different fiscal year, the request for enrollment shall be treated as a new request following policies and procedures for enrollment and waitlist placement.

Home Health Services

Supports Coordinators are required to contact Home Health providers when they become aware that a participant is receiving Home Health services in their home. Supports Coordinators should request a copy of the current Home Health providers plan of care (485 form) to add to the participant file and discuss any needs or supports that should be addressed by the Home Health provider.

MI Choice is the payor of last resort and in the event that a participant is receiving Home Health services, the Supports Coordinator will need to coordinate with the Home Health provider to ensure that the appropriate payment source is being utilized for services provided. Nursing Services, including medication management, and assistance with personal care are to be provided by the Home Health provider. Supports Coordinators will need to adjust the participant's care plan to ensure that these services are being billed to the appropriate payor source for as long as the home health case is open. Supports Coordinators will need to:

1. Submit a HOLD work order for any duplicate MI Choice Waiver Nursing Services and/or a DECREASE work order for any Community Living Supports services being provided for personal care and submit to the appropriate Case Tech for entry.
2. Supports Coordinators will need to communicate this to the participant as well as provide an Adverse Benefit Determination for decrease in MI Choice Services, specifying that the specific services being decreased and services are currently being provided through Home Health. The participant's preference for a certain provider is not grounds for declining another payor source. The participant should not

experience a decrease in overall services, but an adjustment in the provider/payor source.

3. Add all arranged Home Health services to the COMPASS PCSP with start dates and frequency of each provided service.

Monthly contact with the Home Health RN must be documented in the participant file. Ongoing contact will also be vital in ensuring a seamless transition once the participant is discharged from Home Health. The Supports Coordinator should periodically reach out to other disciplines providing care to the participant through the home health provider - Physical Therapists, Occupational Therapists, Speech Therapists, Social Worker, etc. - to ensure that all the participant's needs are being met at least once every sixty (60) days. It is understood that contact with therapists and other disciplines providing care can be difficult to achieve. Supports Coordinators should document all contact attempts in the participant record.

Upon discharge from Home Health services, the Supports Coordinator will adjust the care plan to ensure that the participant will continue to receive the previously decreased services under the MI Choice Waiver.

1. Submit a RESUME work order for any service previously held or an INCREASE work order for any service previously decreased and submit to the Case Tech for entry.
2. Update the PCSP with the stop date for all home health services no longer being provided.

Hospice

A participant in the MI Choice Waiver or Care Management program may receive Hospice services simultaneously when in a home and community-based setting. The participant must not reside in a nursing facility or hospice residential facility to be eligible to receive MI Choice services. If a participant enters a nursing facility or hospice residential facility to receive hospice services, the participant will need to be closed from the MI Choice Waiver, following the nursing facility admission process within this policy. Participants who are enrolled in both MI Choice and Hospice will have a PET code indicating this on their CHAMPS Eligibility Report.

Participants who receive both MI Choice and Hospice or Palliative Care services must have a coordinated person-centered service plan (PCSP). Duplication of services shall be avoided through early and ongoing communication and coordination between the Supports Coordinator and the Hospice Agency. The Hospice Program is considered the primary payor of services and the MI Choice Waiver or Care Management program secondary. The participant's available hospice services must be used to the fullest capacity before Waiver services are initiated.

MDHHS may employ a post-payment review to monitor services. If MDHHS finds that inappropriate (i.e., duplicative) services were authorized, MDHHS will seek recovery of Medicaid funds paid for duplicative services from the waiver agency. The Hospice Agency is responsible for addressing all care needs related to the terminal diagnosis. Waiver services can only be used to meet needs not directly related to the participant's terminal diagnosis.

Hospice Admission/Enrollment:

When a participant is initially enrolled in hospice or palliative care services, the Supports Coordinator should contact the participant within one (1) business day of notification to discuss any concerns, as well as explain the transition of care process as it relates to their services. The Supports Coordinator will also need to:

1. Contact the Hospice or Palliative Care Agency within two (2) business days of notification and complete a "case conference" to determine which services will be put into place for the participant. Supports Coordinators should request a copy of the agency's care plan and add it to the participant case file.
2. Complete a full reassessment and PCSP review - Being enrolled in a hospice or palliative care program is considered a significant change of condition and warrants a full reassessment and care plan review. Supports Coordinators will schedule a reassessment with the participant, and requested informal supports, within seven (7) calendar days of notification of hospice enrollment.

- The Supports Coordinator will need to update the COMPASS PCSP to include all arranged hospice services, including start date and frequency.
- 3. Complete applicable work orders for any services being decreased or stopped as a result of hospice services authorized. Submit the work order to the appropriate Case Tech for entry.
- 4. Complete and mail the participant the Adverse Benefit Determination noting the specific services previously provided by the MI Choice Waiver that will now be provided by the Hospice Program. The participant is not to experience an overall decrease in the care plan, rather a change in payor source.

Despite MDHHS policy, local hospice agencies may not be able to meet all of the care needs of an individual and may request Waiver services in addition to Hospice services. Supports Coordinators have a copy of the Hospice Agency's Plan of Care as well as a written statement or letter from the Hospice Agency as to what service it normally provides and if it is or is not available to the Waiver Participant, along with the reason why, before approving MI Choice Waiver funds to fill in the gap.


The Supports Coordinator is required to maintain ongoing contact with the Hospice Agency and/or assigned Registered Nurse to ensure the participant's needs are being met, no less than monthly.

References

[Medicaid Provider Manual - MI Choice Waiver](#)
[Mi Choice Contract - Attachment C](#)

Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - No changes
- Revised 07/22/2024 – Internal Quality Committee Review
 - Added requirement for 7-day post hospital discharge visit per clarification from MDHHS
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - Added language re: follow up for ADT notices & reference to staff guide
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - No changes
- Revised 09/29/2023 – Internal Quality Committee Annual Review
 - Added language re: Adopting NFLOCDs per MDHHS L Letter 23-54
 - Added option for contact via email if approved by provider

	<h1 style="color: #C8513E;">Coordination with Medicare/ Medicaid Hospice Programs</h1>		
Policy Number: 2022-30-01	Effective Date: 05/01/2022	Review Date(s): 09/10/2024; 04/23/2024; 03/18/2024 09/29/2023; 10/06/2022	
Reviewed by: Internal Quality Committee	Approved by: <i>Theresa LaFave</i>		Category: SC

Scope

Participants enrolled in UPCAP Services, Inc.'s Long-Term Care Programs can be dually enrolled in a Medicare or Medicaid Hospice Program. The Hospice Program is considered the primary payer of services, with MI Choice Waiver or Care Management being the secondary payer. Duplication of services shall be avoided through early and ongoing communication and coordination between the Supports Coordinator and the Hospice Agency.

Policy

When a Waiver Participant, with an established Care Plan in place, is newly enrolled into a Hospice Program, a case conference shall be held to determine which Hospice Services will be put into place and which corresponding Waiver Services will be reduced or terminated. This communication will ensure the Waiver Program does not duplicate Hospice Program Services. The Supports Coordinator shall send the Participant the required MDHHS [Notice of Adverse Benefit Determination form](#) specifying that certain services previously provided by UPCAP and its enrolled Provider(s) will now be provided by the Hospice Program.

MDHHS/MSA has additional regulations in place for persons dually enrolled in MI Choice Waiver and a Hospice Program:

- The Participant remains classified in CHAMPS as "MI Choice" even if dually enrolled in a Hospice Program.
- Hospice services must be used to the fullest capacity before Waiver services are initiated. If inappropriate (i.e. duplicate) services were provided, MSA will seek restitution from the Waiver Agent, not the Hospice Program.

According to MDHHS/MSA Hospice Policy, the Hospice Agency is responsible for addressing all care needs related to the terminal diagnosis. Waiver services can only be used to meet needs not directly related to the terminal diagnosis per MDHHS/MSA policy.

Despite MDHHS policy, local hospice agencies may not be able to meet all of the care needs of an individual and may request Waiver services in addition to Hospice services. Supports Coordinators shall request a copy of the Hospice Agency's Plan of Care as well as a written statement or letter from the Hospice Agency as to what service it normally provides if not available to the Waiver Participant, along with the reason why, before using Waiver funds to fill in the gap.

References

[Medicaid Provider Manual - MI Choice Waiver](#)

Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - No changes
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - No changes
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - No changes
- Revised 09/29/2023 – Internal Quality Committee Annual Review
 - No changes

	<h1>Action Notices and Appeal Rights</h1>	
Policy Number: 2022-31	Effective Date: 05/01/2022	Review Date(s): 09/10/2024; 04/23/2024; 03/18/2024; 09/29/2023; 01/12/2022; 10/06/2022
Reviewed by: Internal Quality Committee	Approved by: <i>Theresa LaFave</i>	Category: SC

Scope

All Medicaid applicants and Medicaid recipients have the right to a fair hearing. Waiver agencies, as a Medicaid managed care provider, have certain responsibilities related to the rights of persons applying for or receiving MI Choice services. This includes providing the applicant or participant with appropriate notice of their right to request an appeal when the waiver agency takes an adverse action against them.

Policy

It is the policy of UPCAP Services, Inc. to adhere to all requirements regarding action notices and appeal rights according to the ACLS Bureau and the Michigan Department of Health and Human Services.

Procedures

It is the responsibility of the Supports Coordinator to provide any MI Choice Waiver applicant or recipient with the appropriate notice and their right to appeal any service-related decision made by the Waiver agency. The participant initiates an appeal with the MDHHS Field Office when the participant disagrees with a financial eligibility determination, as only MDHHS is responsible for determining a participant's financial eligibility.

The participant initiates an appeal with MDHHS and an Administrative Law Judge when the participant disagrees with a determination of functional eligibility based on the NFLOCD.

The participant initiates an appeal with the waiver agency when the participant disagrees with any decision or action made by the program, other than a decision of functional eligibility based on the NFLOCD.

**Please note, these policies and procedures DO NOT pertain to individuals who do not have Medicaid or have not submitted an application for Medicaid. These only apply to individuals who currently have Medicaid or are in the process of applying for Medicaid.*

Adverse Action

For applicants and participants of MI Choice, an adverse action occurs when a Supports Coordinator or UPCAP makes a determination regarding the participant's access to MI Choice Waiver services. An adverse action includes, but is not limited to, situations where the Supports Coordinator:

- Suspends or terminates participation in MI Choice;
- Denied or provided limited authorization of an applicant's request for participation in MI Choice;

- Fails to provide services in a timely manner (within fourteen (14) days) – excludes Self-Determination services
- Reduces, suspends, terminates, or adjusts MI Choice services currently in place;
- Denies an applicant's or participant's request for MI Choice services that are not currently provided; or
- Denies a participant's request for additional amounts of currently provided services.

Adverse Benefit Determination Notice

Supports Coordinators must send an Adverse Benefit Determination notice to a MI Choice participant each time a decision is made associated with an adverse action. Each Adverse Benefit Determination sent to the participant must be in writing and meet the language needs of the individual so the recipient understands the content (i.e. the format meets the needs of those with limited English proficiency and or limited reading proficiency). Adverse Benefit Determinations must NOT use abbreviations or acronyms in any way.

The Adverse Benefit Determination notice must include the mailing date, participant's name, participant's Medicaid number, and the Supports Coordinator issuing the notice. The Supports Coordinator must list out each MI Choice Waiver service affected by the adverse action, as well as the frequency and provider of each service. The Supports Coordinator must also provide a clear, written rationale for why the action is being taken and the date that the action is effective.

The Adverse Benefit Determination notice must also include information regarding UPCAP's appeal process, directions on how to appeal the determination, and the forms necessary to file the appeal. A copy of the Adverse Benefit Determination must be kept for the participant file and documented in the participant record.

[Adverse Benefit Determination UPCAP](#)

Advance Notice of Adverse Action

There are situations in which a participant requires advance notice of adverse action in relation to service delivery. A participant must be informed in advance for any termination, suspension, or reduction of previously authorized or purchased MI Choice Waiver services. Supports Coordinators must issue an Adverse Benefit Determination at least ten (10) days before the date of the action.

In the following scenarios the Adverse Benefit Determination can be sent without advance notice, but no later than the date of the intended action:

- The Supports Coordinator has been notified of and confirmed the participant's death.
- The participant has been admitted to an institution where they are ineligible for services – i.e. hospitalization; nursing facility admission; inpatient hospice; inpatient mental health facilities; etc.
- The participant's whereabouts are unknown and the post office returns agency mail directed to them indicating no forwarding address.
- It is established that the enrollee has been accepted for Medicaid services by another local jurisdiction, State, territory, or commonwealth
- A change in the level of medical care is prescribed by the enrollee's physician
- The notice involves an adverse determination made with regard to the preadmission screening requirements of section 1919(e)(7) of the Social Security Act; or
- The date of action will occur in less than 10 days.

The notice of Adverse Benefit Determination may be given within five (5) days before the date of action if the agency has facts indicating that action should be taken because of probable fraud by the participant and the facts have been verified, if possible, through secondary sources.

The participant's signature on the Person-Centered Service Plan, populated by COMPASS, acknowledges that the participant understands their right to refuse, end, or suspend services at any time by calling their Supports Coordinator and requesting a change. If a participant requests a service be decreased, placed on HOLD, or terminated, the Supports Coordinator may take action immediately as the participant's signature qualifies as the required "written" acknowledgement as outlined by MDHHS Managed Care Requirements for advance notice.

Internal Appeal Process

Participants, their designees, appointed representatives, or providers acting on the participant's behalf, may challenge an **Adverse Benefit Determination**. Participants have the right to appeal decisions made by UPCAP Long-Term Care Programs staff, whether it is related to care plan reduction, service delivery, service(s) termination or suspension, and/or termination (case closure) from the program. Participants shall be informed of this right at the time of the initial assessment and enrollment into the MI Choice program and anytime a Supports Coordinator makes a service-related determination.

The internal appeal process applies only to MI Choice Waiver-enrolled participants and in the following situations:

- The Supports Coordinator is denying a requested service that is not already in place,
- The Supports Coordinator is terminating, suspending or reducing a service that is already in place,
- The Supports Coordinator or UPCAP is taking action or making an adverse determination based on suspicion of fraud, or
- In areas with only one waiver agency, the denial of a participant's request to exercise his/her right to obtain services outside the network.

Participants have sixty (60) calendar days from the date of the Adverse Benefit Determination to request an internal appeal in writing. Those expressing a verbal appeal shall be handled as a formal appeal request but will need to follow up the verbal request in writing.

Appeals must be sent to UPCAP's Grievance and Appeals Committee within sixty (60) calendar days of the date the Notice is issued. Unless an Expedited Resolution is requested, the Appeal must include in writing:

- Participant Name and Address
- Participant Signature and Signature Date
- The Participant's Member and/or Beneficiary ID number (Medicaid ID Number)
- Reason for asking for the Internal Appeal
- If the participant wants a standard or expedited appeal. Reasoning must be included if an expedited request is being requested, as well as a letter from their physician to support this request.
- Participants may choose to submit any supporting documentation with their appeal request, including medical records, letters from physicians, or other information that supports the need for denied service or item.
- If the participant would like services to continue during the appeal process, the participant must complete a formal, written, request within ten (10) days of the date on the Adverse Benefit Determination.

Standard Request Process

- The assigned Committee member shall issue Notice of Receipt of Appeal form within three (3) business days receipt of the written appeal.
- The assigned Committee member shall schedule a hearing within fourteen (14) calendar days of receipt of the appeal, and investigate or research the issues identified in the appeal.

- The Committee has thirty (30) calendar days from the date of the Notice of Receipt of Appeal form to issue either a Notice of Appeal Approval form or Notice of Internal Appeal Decision-Denial form. During the 30-day period, Committee members shall review evidence from the Supports Coordinators and the Participant and conduct the hearing with the parties involved.

Participants have 120 calendar days from the date of the Notice of Internal Appeals Denial to request a formal State Appeals Hearing.

Expedited Request Process

Participants can, verbally or in writing, request an Expedited Request if it is felt that the standard resolution time frame would seriously jeopardize the enrollee's life, physical or mental health, or the ability to attain, maintain, or regain maximum function. Approved requests must justify the need for Expedited Request.

- If the Request is approved, the Committee has 72 hours to resolve the appeal. The Participant may request a fourteen (14) calendar day extension.
- The UPCAP Grievance and Appeals Committee may extend the response deadline by fourteen (14) calendar days by promptly notifying the Participant verbally and in writing within two (2) calendar days of the request. Both communications shall inform them of their right to file a grievance regarding the extension.
- If the UPCAP Committee denies the Expedited Request, the timelines revert to the regular appeals process.

Participants appealing the reduction, suspension, or termination of previously authorized services, must file for continuation of services within ten (10) calendar days of the Notice of Adverse Benefit Determination.

If the internal appeal decision upholds the action described in the Adverse Benefit Determination and the participant remains unsatisfied, the participant or legal representative may request a State Fair Hearing.

State Fair Hearing

Applicants for the MI Choice Waiver may request a State Fair Hearing if the Supports Coordinator makes an adverse determination regarding the potential participant's functional eligibility on the NFLOCD. When an applicant or participant does not meet the NFLOCD, waiver agencies will bypass the Internal Appeal process and provide the individual with the necessary State Fair Hearing request forms pertaining to NFLOCD. Applicants have ninety (90) calendar days of the date of the action notice to file an appeal for a State Fair Hearing.

Existing MI Choice Waiver participants may request a State Fair Hearing if UPCAP issues an internal appeal decision that upholds the action described in the Adverse Benefit Determination. A State Fair Hearing may also be requested by a participant if UPCAP does not adhere to the above time frames when reviewing and making a determination on an internal appeal.

The Notice of Internal Appeal Decision - Denial form (include mailing envelope) has instructions for Participants to request an External State Fair Hearing with the Michigan Administrative Hearing System within 120 calendar days from the mailing date of the Denial Notice. Requests to continue services, however, must be made within (10) ten calendar days of the Denial Notice.

Upon receipt of a hearing request from a Participant/Applicant, the Administrative Tribunal will assign a docket number and fax a copy of the request to UPCAP. The Director of LTC Programs or the Regional SC Supervisor will be designated as the "Hearings Coordinator." The Hearings Coordinator shall be responsible for identifying the responsible staff to participate in the hearing and for the completion of and forwarding of the hearing summary to both the Administrative Tribunal and the Participant/Applicant. This process must be accomplished within fourteen (14) calendar days of receipt

of the hearing request from MDHHS.

The Hearing Summary is prepared in cooperation with Long-Term Care Programs staff knowledgeable about the case and who will also be involved with the hearing. In preparing the Hearing Summary, all case identifiers and notations on status must be complete. The Narrative must include all of the following:

- A clear statement of the action and/or decision being appealed, including all programs involved in the action.
- Facts which led to the action or decision
- Policy which supported the action or decision
- Correct address of the Participant/Applicant or Authorized Hearing Representative (AHR)
- A description of the documents UPCAP intends to offer as exhibits at the hearing.

The Participant/Applicant or AHR has the right to review the case record and obtain copies of documents they feel are necessary to make their case. UPCAP staff are expected to assist approved individuals with reasonable requests for information.

A copy of the hearing summary and all documents intended to assist UPCAP in making its case in the appeal must be forwarded to the Participant/Applicant or AHR at least seven (7) calendar days before the scheduled hearing. The Hearings Coordinator shall cooperate with the Administrative Tribunal and participate in any and all pre-hearing conferences. Pre-hearing conferences with the Administrative Law Judge may be scheduled at the discretion of the Administrative Law Judge, or at the request of the parties involved in the dispute. The designated Hearings Coordinator shall ensure that all appropriate Long-Term Care Programs staff also participate in such pre-hearing conferences.

The Hearing

The Administrative Tribunal will set the date for the actual hearing. UPCAP staff with knowledge of the appeal are expected to be at the hearing regardless of previous commitments (unless the CEO authorizes an exemption). At the hearing, UPCAP's Hearing Coordinator (Director of LTC Programs or Regional SC Supervisor) will be responsible for presenting the agency's case unless it is determined that the primary Supports Coordinators would be more appropriate.

Following opening statements by both sides, the hearing summary (or highlights) is to be read into the case record. The following are to be included in preparing for the case presentation:

- An explanation of the action(s) taken including all programs involved (if other than Waiver).
- The facts which led to the action by the Supports Coordinator.
- A summary of the policy or laws relied upon to take the action (usually found in MDHHS's Waiver Manual or the actual approved Waiver, if not found in policy manuals).
- Any clarifications by staff of policies or laws relied upon in making the decision being appealed.

Only the Administrative Tribunal can deny a request for a hearing. If a Supports Coordinator believes that a request for an appeal hearing is inappropriate or if the request was filed beyond the required deadline, the Supports Coordinator, with the Hearings Coordinator, may complete the Hearing Summary indicating that the request should not be heard and state the reasons, or that the request was received after the required deadline for filing. It will be up to the Administrative Tribunal to make a final decision as to whether or not to proceed following review of the Hearings Summary.

While UPCAP is unable to impact whether or not the process moves forward, the Participant/Applicant or AHR may request that the appeal be withdrawn at any time. Should a Participant/Applicant wish to withdraw their request, the Supports Coordinator is to assist the process by providing the person the "Hearings Withdrawal Form" and providing the appropriate postage-paid envelope addressed to the Administrative Tribunal.

The withdrawal request must clearly state why the Participant/Applicant or AHR has decided to withdraw the request.

All identifying case information must be contained on the Withdrawal form.

Rehearing / Reconsideration

If the appeal process goes to an actual hearing, and the results of the hearing are disputed by the Supports Coordinator, the Hearings Coordinator must discuss the case with the Director of LTC Programs. A decision will then be made, in consultation with UPCAP's MDHHS Contract Manager in Lansing, as to whether or not to file for a rehearing or a reconsideration. The request must be made in writing. It will be up to the Tribunal to decide whether or not to grant a reconsideration or a rehearing based on a number of criteria, including newly discovered evidence that has a direct impact upon the case, or a convincing argument that the manual policy was misinterpreted and led to a wrong conclusion.

To proceed with a request for a rehearing or a reconsideration, it will be the responsibility of the Supports Coordinator to bring the necessary evidence to the Hearings Coordinator and the Director of LTC Programs. Supports Coordinators will be expected to comply fully with the decision of the Administrative Law Judge and to continue to treat the Participant/Applicant with the utmost respect.

NFLOCD Ineligibility

If a Medicaid applicant or Medicaid recipient does not meet NFLOCD criteria for Doors 1 through 7, the Supports Coordinator must provide notice to the existing or potential MI Choice Waiver participant. The individual may request a Secondary Review from MDHHS or its designee and request a Medicaid Fair Hearing before an Administrative Law Judge. When the Supports Coordinator determines that either an existing or potential participant does not qualify for MI Choice Waiver services based on the online NFLOCD, and the Supports Coordinator does not contact the MDHHS designee to request a Secondary Review, the Supports Coordinator must issue an action notice to the participant or their legal representative. The Supports Coordinator must also offer the individual referral information about other services that may meet their needs.

Waiver agencies must use the version of the [State Fair Hearing Request Form \(DCH-0092\)](#) with the "EDW-LOCD" code entered in the "State Program or Service being provided to this participant" field. Supports Coordinators will be required to provide the potential participant with a copy of the appropriate hearing request form at the time of notice. [[MDHHS - Michigan Office of Administrative Hearings and Rules for Michigan Department of Health and Human Services](#)]

Waiver agencies must also utilize the Adequate Action Notice instead of the Notice of Adverse Benefit Determination. Applicants or participants must be made aware that they have the option to request a Secondary Review as identified on the hearing notice.

Adequate Action Notice

For potential participants who are not currently receiving MI Choice Waiver services, an adequate action notice is provided when the initial NFLOCD completed by the Supports Coordinator does not meet NFLOCD criteria. The adequate action notice must include all the language in the sample adequate action notices for LTSS available on the MDHHS NFLOCD website. [[Long Term Care Adverse Action Notices - MDHHS - State of Michigan](#)]

Advance Action Notice

For participants who met functional eligibility through their initial NFLOCD and are receiving MI Choice Waiver Services, but based upon a significant change in condition, did not meet their subsequent NFLOCD, the advance action notice is provided. The advance action notice must include all of the language in the sample advance action notices for LTSS available on the MDHHS LOCD website. [[Long Term Care Adverse Action Notices - MDHHS - State of Michigan](#)]

NFLOCD Secondary Review

Only existing participants, or potential participants that are also current Medicaid beneficiaries, are eligible for a secondary review. The Supports Coordinator or the participant (or their legal representative) may request an NF LOCD Secondary Review. This review is completed by MDHHS or its designee (MPRO) to ensure full consideration of LOCD eligibility options. The Secondary Review is available only when an NFLOCD is entered in CHAMPS and results in a Door 0, indicating ineligibility. The review is a secondary review of documentation for all NFLOCD Doors, including Door 8.

NFLOCD Secondary Review Process

- A Secondary Review may be initiated by the Supports Coordinator, participant, or their legal representative after an adverse action notice is issued based on a finding of NFLOCD ineligibility.
- In the action notice, the Supports Coordinator who conducted the ineligible NFLOCD must provide the participant with information on how to timely request a Secondary Review following an ineligible NFLOCD (provided in the notice).
- This secondary review will be conducted by MDHHS or its designee (MPRO). The participant will have three (3) business days to make a request following written notice of the adverse action.
- Following the participant's request for review, the MDHHS designee (MPRO) will contact the Supports Coordinator who conducted the NFLOCD and inform them to upload documentation in CHAMPS for review.
- The Supports Coordinator who conducted the NFLOCD will have the Case Tech upload the relevant documentation in CHAMPS within one (1) business day of being notified to do so.
- The MDHHS designee (MPRO) will review the documentation, obtain information from the participant or their legal representative, if requested, and notify the provider and the individual or their legal representative of the decision.
- If the Secondary Review determines that the participant is eligible, MDHHS or its designee will contact the provider and the individual or their legal representative.
- If the Secondary Review determines that the participant is ineligible, MDHHS or its designee will issue an adverse action notice and inform the individual of their appeal rights. MDHHS or its designee will enter the appropriate NFLOCD in CHAMPS.

Financial/Medicaid Ineligibility

MDHHS is ultimately responsible for determining financial eligibility for the MI Choice Program. This occurs when an individual initially applies for Medicaid and during annual redeterminations. As mentioned previously, Supports Coordinators are expected to assist participants with the initial application and with annual redeterminations.

Individuals determined financially ineligible for any reason other than divestment, **cannot** be enrolled into the MI Choice program. If a person is found financially ineligible during the initial assessment process, MI Choice Waiver enrollment stops.

- If the Supports Coordinator presumed the participant "financially eligible" at the time of the initial assessment but MDHHS subsequently denies Medicaid eligibility, MI Choice services must be terminated. MDHHS will issue the denial to the participant and they will have the opportunity to appeal the decision through MDHHS.
 - If the participant was receiving MI Choice Waiver services during the presumptive eligibility period, the Supports Coordinator must notify the participant, in writing, that their MI Choice Waiver services must end immediately upon MDHHS's determination of financial ineligibility. Supports Coordinators must send an Adverse Benefit Determination, noting service termination

- based on financial ineligibility.
 - If the participant does not appeal the decision, any costs associated with the participant’s care plan will need to be re-coded to a different payor source. Supports Coordinators will need to inform the Director of LTC Programs. The participant’s status must be changed from “Waiver-pending” to “Waiver-Financially Ineligible” for the entire period of time.
 - If the participant does appeal the decision within the ten (10) day standard of promptness time period, MI Choice Services will remain in place until the Fair Hearing has been conducted and the Administrative Law Judge issues a finding on the appeal.
- If MDHHS determines a Participant to be **financially ineligible at an annual redetermination**, the following procedures must be followed to close the case:
 - MDHHS is responsible for sending the participant the official Notice of Ineligibility and provide that person with information on their right to appeal that decision.
 - Upon notification from MDHHS that a participant has been determined financially ineligible, Supports Coordinators must consult with the participant to discuss the MDHHS finding and to inform the participant that Waiver enrollment and services must be terminated because **financial eligibility** is one of three requirements for Waiver enrollment and continued participation.
 - Supports Coordinators are to ensure the participant (or authorized representative) understands the reason for closure and is documented in the participant record. A **Notice of Adverse Benefits Determination** shall then be issued and services terminated immediately.
 - If the Supports Coordinator is unable to discuss closure due to financial ineligibility, an **Advance Notice of Adverse Benefits Determination** must be sent and services are to be continued for 10 calendar days.
 - If the Participant requests a fair hearing on the MDHHS determination within the ten (10) day standard of promptness, Waiver enrollment and participation is to be reinstated and continued until such time as the Fair Hearing has been conducted and the Administrative Law Judge (ALJ) issues a finding on the appeal.
 - If the ALJ rules in favor of the Participant, Waiver enrollment and services continue until no longer needed or until such time as any one of the three requirements are no longer met. Participant should be classified as "Waiver-Yes."
 - If the ALJ **upholds** the MDHHS determination, Supports Coordinators are, again, required to ensure the Participant (or authorized representative) understands the reason for closure and is documented in the participant record. A **Notice of Adverse Benefit Determination** shall then be issued and services terminated immediately. This action is not appealable as it is based on a MDHHS determination and/or Administrative Law Judge ruling.



When a beneficiary is determined to no longer be eligible for Medicaid-funded services and an appeal is requested, Medicaid will continue to pay for services if the beneficiary appeals within required program timeframes. If the beneficiary does not appeal the decision, the provider is eligible for Medicaid reimbursement through the effective date of the advanced action notice, or the date in which the beneficiary stopped receiving services, whichever is first. When the beneficiary appeals the decision in compliance with MDHHS policy, MDHHS will reimburse the provider for services throughout the appeal process. If the beneficiary’s appeal is denied, MDHHS will reimburse the provider for up to 30 days from the date of issuance of the hearing decision and order.

References

[Medicaid Provider Manual - MI Choice Waiver](#)
[Mi Choice Contract - Attachment C](#)
[MDHHS Long Term Care Adverse Action Notices](#)
[Michigan Office of Administrative Hearings and Rules for MDHHS](#)

Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - No changes
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - No changes
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - No changes
- Revised 09/29/2023 – Internal Quality Committee Annual Review
 - Added language re: presumptive eligibility and denial per MDHHS

		<h1 style="color: #E67E22;">Case Closure/Disenrollment</h1>	
Policy Number: 2022-32	Effective Date: 05/01/2022	Review Date(s): 09/10/2024; 04/23/2024; 03/18/2024 10/06/2022	
Reviewed by: Internal Quality Committee	Approved by: 	Category: SC	

Scope

Participants who no longer qualify for the MI Choice Waiver or Care Management must be closed out of the program. There are many different reasons a participant should be closed, with specific requirements for each reason.

Policy

Participant cases will be closed for rationale that is case specific. Closed status will be designated by the Supports Coordinator for the following reasons:

- Death - The last date of waiver enrollment cannot exceed the date of death.
- Nursing Home Placement
 - Mi Choice Waiver – The last date of waiver enrollment will be the day prior to nursing home placement. The day of nursing home placement should be listed as Waiver-Ineligible and services recoded to 221 fund code for that day
 - Other programs – The date of closure can be the day of nursing home placement.
- Not Medically Eligible - If a participant is not found medically eligible, the date of closure should be the same date the determination was made.
- Not Financially Eligible – Only used for potential Mi Choice Waiver participants under the age of 60 who are not financially eligible for Mi Choice. If over the age of 60, they should be offered the Care Management program. We never use not financially eligible for potential participants over the age of 60.
- Not Medically or Financially Eligible – Only used for potential MI Choice Waiver participants under the age of 60 who are not medically or financially eligible for Mi Choice. If over the age of 60, should use not medically eligible as the closure reason.
- No service needs/needs being met – This is to be used for an individual that it was determined there are no service needs or needs are being met elsewhere.
- Hospitalized more than 30 days – This is to be used as a closure reason for Mi Choice Waiver participants only when they are hospitalized more than 30 days.
- Refused Services – To be used for any participant that refuses participation in any programs.
- NFT Transitioned – DO NOT USE
- NFT Transitioned to another agent – DO NOT USE
- NFT Not Transitioned – DO NOT USE
- Moved, transferred to another agent – DO NOT USE
- Moved, Not Transferred to another agent – Use for individuals that move out of the Upper Peninsula and do not transfer to another Mi Choice Waiver or Care Management agency within the State.
- Moved to AASA/CM program – This is to be used for a Mi Choice Participant that no longer financially qualifies, no longer in need of two or more Mi Choice Services and is over the age of 60, but wishes to be

- enrolled in Care Management
- Moved to Waiver Program – DO NOT USE
- Chose DHS Home Help Services – To be used for any participant that wishes to be closed and is choosing DHS Home Help Services.
- Chose MI Health Link – To be used for any participant that chooses to enroll in MI Health Link
- Transferred to PACE Program – Only to be used for an individual moving to another area of the State and wishes to participate in their local PACE Program
- Transferred to another Agent in Region – DO NOT USE we do not have another Agent in our Region
- Hospice Residence Placement – To be used for any participant that is closing due to being placed in a hospice residence program.
- ICF/MR Institution Placement – Not used very often, if at all. Prefer use of Nursing Home Placement reason. If using, follow instructions under nursing home placement reason.
- Hearing Decision – Only to be used for Mi Choice Waiver participants that appealed a decision, had a hearing and determination was made closure is appropriate.
- For Cause – DO NOT USE
- Administrative Close – DO NOT USE unless directed by Director of LTC Programs
- Options Counseling – DO NOT USE
- Opted-out/termed – To be used for MHL individuals who are no longer enrolled in MHL.
- Unable to contact/exhausted attempts – To be used for any participant when appropriate actions were taken for unable to contact/exhausted attempts.
- Other – Use for any other closure reasons not listed above. Must provide an explanation in the “notes” section.

Procedures

Supports Coordinators are responsible for determining the closure reason as well as the effective closure date. Supports Coordinators may choose to review or discuss the case closure with their Team Leader, Regional SC Supervisor, or Director of LTC Programs, if necessary.

Required Documentation

- Supports Coordinator must document the closure reason and date in the Participant record.
- Supports Coordinator must inform the current service providers of the case closure date. Supports Coordinators should attempt to provide as much advance notice to the service provider as possible in situations where the Supports Coordinator has prior knowledge of an upcoming closure date. In situations where a participant case is closed due to unforeseen circumstances, i.e. participant death, the Supports Coordinator must notify the service provider immediately upon notification.
- Supports Coordinator must update the [Compass Status Form](#) to reflect the case closure date and submit it to the appropriate Case Tech for entry.
 - If the Participant is being closed from the Mi Choice Waiver Program but is transferring to another UPCAP Program (Care Management; VA; etc.), the Supports Coordinator must update the Participant Status Report to reflect the MI Choice Waiver program closure date and the start date of the new program.
- Supports Coordinator must update all Work Orders to reflect the service STOP date and submit it to the appropriate Case Tech for entry.
- Supports Coordinator must complete and send the appropriate Action Notice with Appeal Rights (if applicable) to the Participant and/or guardian. A copy of the Action Notice must be placed in the file.
- For participants enrolled in the Mi Choice Waiver program and will be closing, Supports Coordinators must complete and submit the MI Choice Disenrollment Notification Form to the Enrollment Specialist for entry into CHAMPS within five (5) days of case closure determination. This notifies

MDHHS of the MI Choice Waiver disenrollment effective date and reason.

- All paperwork (status, work orders, disenrollment, adverse benefit determination, etc.) is to be filed in the participants chart.
 - Submit the Participant's chart to the appropriate Case Tech. The Case Tech will breakdown the chart and file it appropriately. All files will be saved for ten years from the date of closure.
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Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - No changes
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - No changes
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - No changes
- Revised 09/29/2023 – Internal Quality Committee Annual Review
 - No changes

		<h1 style="color: #C85A3D;">Re-Enrollment Process</h1>	
Policy Number: 2022-33	Effective Date: 05/01/2022	Review Date(s): 09/10/2024; 04/23/2024; 03/18/2024 09/29/2023; 10/06/2022	
Reviewed by: Internal Quality Committee	Approved by: <i>Theresa LaFave</i>	Category: SC	

Policy

A re-enrollment occurs when a previous MI Choice participant seeks enrollment in the MI Choice program again. This happens EVERY time the participant’s status changes from Waiver/Yes to a non-waiver program (Waiver/Ineligible, Waiver-Financially Ineligible, or Closed) and then back to Waiver/Yes.

Procedures

All eligibility requirements for the MI Choice Waiver program must be met and confirmed prior to the participant’s re-enrollment. An NFLOCD and FOC must be completed and entered into CHAMPS for each re-enrollment regardless of the participant’s functional eligibility prior to the status change. Supports Coordinators should perform all other activities associated with MI Choice Waiver Enrollment and reassessments.

When ALL eligibility criteria are met, a return reassessment is required if:

- The participant was completely closed to all agency services, regardless of the length of time between closing and re-enrolling the participant.
- The participant experienced a change in status. A change in status includes but is not limited to a medical event (such as a heart attack, stroke, broken bone, or organ transplant) that changed the participant’s functional ability, a change in the availability of the participant’s informal supports, a noticeable change in the participant’s cognitive ability, or a change in the participant’s financial situation.
- The participant has been ineligible or otherwise out of the MI Choice program for more than one month but remains an open participant with the agency.

Supports Coordinators may perform assessments for a re-enrollment alone or using a RN/SW team. However, MDHHS requires an RN/SW team in the following situations:

- The waiver agency completely closed the participant to all agency services, regardless of the length of time between closing and re-enrolling the participant.
- The participant experienced a change in status related to a decline in the participant’s functional ability, informal support availability, or cognitive ability.
- The participant has been ineligible or otherwise out of the MI Choice program for at least 90 days.

In situations when the waiver agency cannot schedule a team reassessment and re-enrollment is scheduled to occur, one Supports Coordinator may start the reassessment process to assure the participant meets MI Choice eligibility requirements and to develop a temporary PCSP. The Supports Coordinator of the other discipline will be required to complete the in-person reassessment within seven (7) days of the time the reassessment process began. See Care Transitions for more specific procedures.

[\[Re-Enrollment/Return Reassessment Flow Chart\]](#)

References

[Medicaid Provider Manual - MI Choice Waiver](#)

Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - No changes
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - No changes
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - No changes
- Revised 09/29/2023 – Internal Quality Committee Annual Review
 - No changes

	<h1 style="color: #C8513E;">Transferring MI Choice Participants to Another Waiver Agency in Michigan</h1>	
Policy Number: 2022-34	Effective Date: 05/01/2022	Review Date(s): 09/10/2024; 04/23/2024; 03/18/2024 09/29/2023 10/06/2022
Reviewed by: Internal Quality Committee	Approved by: <i>Theresa LaFave</i>	Category: SC

Scope

MDHHS ensures participants have a choice of a waiver agency, as available, to coordinate MI Choice services. A participant may choose to transfer enrollment from one waiver agency to another, as available within the region where the participant lives, or a participant may move to another region of the State. Waiver agencies are responsible for managing transfers of participants to other agencies or accepting transfers from another agency.

Policy

It is the policy of UPCAP Services, Inc. to have procedures in place for a participant wishing to transfer from one Waiver agency to another to be a seamless transition with little to no disruption in services.

Procedures

Transferring MI Choice Participant

When a Supports Coordinator is notified that a current MI Choice Participant will be moving out of UPCAP’s Waiver service area, they will be responsible for coordinating the transfer to the appropriate Waiver agency, if needed and requested by the participant.

The Supports Coordinator will refer to the [Waiver Agency Region Map with list of Waiver Agents](#) to determine the appropriate Waiver agency to contact based on where the participant will be moving. The Supports Coordinator is responsible for notifying the appropriate Waiver agency once they know that participant’s potential move date, so as to ensure a smooth transition from one agency to another.

The Supports Coordinator will provide the Waiver agency with any pertinent participant information, including the most current COMPASS iHC assessment and Person-Centered Service Plan. The Supports Coordinator is responsible for sending these via the secure file exchange or other appropriate means of sharing protected information.

Once the participant has moved and the receiving Waiver agent has completed their assessment, the Supports Coordinator will be responsible for closing the participant’s file and submitting the disenrollment notification so that it may be entered into CHAMPS. The Supports Coordinator will need to coordinate these dates with the receiving agency as the participant will need to be disenrolled in CHAMPS prior to the receiving agency entering their enrollment information in CHAMPS.

Receiving MI Choice Participant

Once UPCAP is notified of a MI Choice Participant that is transferring to UPCAP's service area, the Director of LTC Programs will notify the Care Management office assigned to the participant. The participant will be assigned to a Supports Coordinator, who will be responsible for coordinating the transition to ensure that there is no lapse in service. The Supports Coordinator will keep the Director of LTC programs updated with potential start dates so that the Director of LTC Programs can coordinate the State capitation payments.

The Supports Coordinators assigned to the participant will contact the transferring Waiver agency to request the most recent assessment and person-centered service plan. The Supports Coordinators will also contact the participant to coordinate and schedule the participant's in-home visit and assessment. This assessment is considered an initial assessment and will need to be completed by a Supports Coordination team, RN and SW. Supports Coordinators will do their best to schedule the participant's home visit and assessment as close to their transfer date as possible to ensure a seamless transfer.

The Supports Coordinators will conduct a new NFLOCD and FOC as well as a complete COMPASS iHC assessment. Supports Coordinators will follow the process for assessing and enrolling a new participant. Supports Coordinators will notify the transferring agency once the initial assessment has been completed and the enrollment date has been determined so that the agency can disenroll the participant in CHAMPS prior to UPCAP's enrollment date.


A new Person-Centered Service Plan must be developed utilizing UPCAP contracted service providers. Supports Coordinators cannot authorize or reimburse MI Choice Services provided by a previous waiver agency.

References

[Medicaid Provider Manual - MI Choice Waiver](#)

Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - No changes
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - No changes
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - No changes
- Revised 09/29/2023 – Internal Quality Committee Annual Review
 - No changes

		<h1 style="color: #E67E22;">Twenty-Four (24) Hour Care</h1>	
Policy Number: 2022-35	Effective Date: 05/01/2022	Review Date(s): 09/10/2024; 04/23/2024; 03/18/2024 09/29/2023; 10/06/2022	
Reviewed by: Internal Quality Committee	Approved by: <i>Theresa LaFave</i>	Category: SC	


Policy

Twenty-four-hour care is defined as the provision of continuous care and/or supervision throughout a 24-hour period. Neither the Care Management nor the Mi Choice Waiver Program are designed to provide long-term 24-hour care and are intended to supplement the informal support services available to the participant.

There may be situations where a participant may not have the informal supports available to contribute to the provision of 24-hour care. In these situations, Supports Coordinators would attempt to secure in-home services through contracted agencies. In situations where 24-hour care will need to be considered for a participant, the Supports Coordinator must consult with the Regional SC Supervisor and Director of LTC Programs to review the Person-Centered Service Plan and discuss the long-term needs and goals of the participant.

Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - No changes
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - No changes
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - No changes
- Revised 09/29/2023 – Internal Quality Committee Annual Review
 - No changes

	<h1 style="color: #C85130;">Suicide Policy</h1>	
Policy Number: 2022-36	Effective Date: 05/01/2022	Review Date(s): 09/10/2024; 03/18/2024 09/29/2023; 10/06/2022
Reviewed by: Internal Quality Committee	Approved by: <i>Theresa LaFave</i>	Category: SC

Scope

Supports Coordinators can play an important role in preventing suicide by identifying at-risk older adults and taking appropriate follow-up actions. The goal of assessing suicide risk in participants is to help determine the most appropriate actions to keep the older person safe.

Policy

Supports Coordinators should be able to recognize the warning signs of suicide and provide the most appropriate actions in order to keep the participant safe. If at any time during an assessment a participant answers “YES” to questions pertaining to Self-Injury in Section G: Mood and Behavior Patterns, the following actions should be taken:

1. Assess for immediate risk/danger of attempting suicide.
 - a. If immediate risk is determined, call 911 and stay with them until help arrives.
 - b. If there is no immediate risk/danger, continue to the next step.
2. Explore the following with your Participant:
 - a. Does the individual have a plan to commit suicide? If so, when and how?
 - b. Is the means/method available to the suicidal individual?
 - c. Is the individual alone?
 - d. Has the individual ever attempted suicide before?
 - e. Is the individual under the influence of drugs or alcohol?
3. Encourage participant to seek help and provide them with contact information for their local Mental Health Agency, Dial Help (1-800-562-7622) or the 988 Suicide & Crisis Lifeline (text or call 988 or call 1-800-273-8255). If necessary, the Supports Coordinator should advocate on the participants behalf and call, with their permission. Remember that lethality increases with each affirmative answer, and it increases as the plan becomes more specific and immediate.
4. Advise Regional SC Supervisor or Director of LTC Programs of the situation, including next steps to be taken or required.

If you are notified that a participant has purposely inflicted harm on themselves or attempted to commit suicide, you must complete a critical incident report. [Refer to **Policy Number: 2022-28 - Critical Incidents**]

References

[Suicide Prevention Hotline Website](#)
[National Council on Aging](#)

Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - No changes
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - Added language re: critical incident
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - No changes
- Revised 09/29/2023 – Internal Quality Committee Annual Review
 - No changes

		<h1 style="color: #C8513E;">Grievances (Complaints)</h1>	
Policy Number: 2022-37	Effective Date: 05/01/2022	Review Date(s): 09/10/2024; 04/23/2024; 03/18/2024 09/29/2023; 10/06/2022	
Reviewed by: Internal Quality Committee	Approved by: <i>Theresa LaFave</i>	Category: SC, Admin	

Scope

This policy applies to all UPCAP Services, Inc. Long-Term Care Program participants/applicants that wish to file a grievance. A grievance is an expression of dissatisfaction with any aspect of a participant/applicant's involvement in any of UPCAP's Long-Term Care Programs.

Policy

UPCAP's Grievance & Appeals Committee is composed of the Compliance Officer, Regional SC Supervisor, Quality Assurance Supervisor, and the LTC Quality Improvement Manager. Any alternate individual may be designated by the Director of Long-Term Care Programs, if needed. It is the responsibility of the Compliance Officer to assign a committee member to a grievance once it is received. Grievances (Complaints) are prioritized by the date on which they are received.

Participants and Applicants displeased with service-related matters (that are not Adverse Benefit Determinations or Adequate Actions) may file a Grievance, which can be filed at any time.

Procedures

Participant Grievance (Complaint)

Participants, Applicants, their caregivers, supports, guardian, or Appointed Representative expressing dissatisfaction with a service-related matter other than an Adverse Benefit Determination, may file a Grievance.

If LTC Program Staff believe the dissatisfaction stems from incorrect or lacking information, they may attempt, with interventions of the Regional SC Supervisor or Director of Long-Term Care Programs, to resolve the matter with the Participant/Applicant or those authorized to provide and receive information on behalf of the individual. Details shall be documented in COMPASS Progress Notes.

If this intervention does not quickly remedy the situation, the Supports Coordinator should advise the individual to contact UPCAP's Grievance & Appeals Committee by phone, in person or in writing. The Committee Member assigned to the case will:

1. Document details of the Complaint or request are submitted in writing depending on seriousness or complexity.
2. Issue a notice of receipt of appeal/grievance form within three (3) business days of the in-person meeting, a call, or receipt of the written complaint.
3. Investigate or research the issue identified in the grievance/complaint
4. Respond in writing within thirty (30) calendar days of receipt of the grievance/complaint. The letter

will summarize the results of the investigation and any action that will be, or has been, taken, and/or recommendations or options that would be helpful for the Participant/Applicant in addressing their issues.

Grievances do not give rights to State Fair Hearings, but may proceed to UPCAP's Internal Grievance Process. All documents, notes, records, letters shall be maintained by the Grievance & Appeals Committee. The Grievance & Appeals Committee should keep a spreadsheet of all grievances, which include the date received, the date a notice of receipt was submitted, the date the response was submitted and any further action that might be taken.

UPCAP Internal Grievance Process

Grievances that cannot be resolved satisfactorily with the UPCAP Grievance & Appeals Committee, may proceed to UPCAP's Internal Grievance Process. All Grievance Response Letters shall include instructions for next steps (if any) in the Grievance Process.

- The assigned committee member shall submit the Grievance to the Director of Long-Term Care Programs in writing within thirty (30) calendar days of the Grievance & Appeals Committee's final response. The Director shall respond in writing to the grievance within ten (10) business days.
- Those not satisfied with the response of the Director of Long-Term Care Programs may forward their grievance in writing to UPCAP Services, Inc.'s Chief Executive Officer (CEO), within ten (10) business days of receipt of the Director of Long-Term Care Program's response. UPCAP's CEO shall respond in writing within ten (10) business days of receipt of the grievance.
- Participants/Applicants not satisfied with the response of UPCAP's CEO may forward their grievance in writing to UPCAP's Executive Committee within ten (10) business days of the UPCAP CEO response. UPCAP's Executive Committee shall review the appeal at its next regularly scheduled meeting.

A request for the continuation of service(s) being grieved must be received within ten (10) business days of the Participant/Applicant receiving notification that the services will be denied, suspended, reduced, or terminated. Exceptions to this include situations where funds do not exist for the service continuation or in cases where there is a danger to the individual. In situations where there is reasonable cause to believe that the individual is endangering their well-being, a referral must be made to the appropriate MDHHS Adult Protective Services (APS) Division.

References

[Medicaid Provider Manual - MI Choice Waiver](#)

Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - No changes
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - No changes
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - No changes
- Revised 09/29/2023 – Internal Quality Committee Annual Review
 - No changes

		<h1>Quality and Performance Reviews</h1>	
Policy Number: 2022-38	Effective Date: 05/01/2022	Review Date(s): 09/10/2024; 04/23/2024; 03/18/2024 09/29/2023; 10/06/2022	
Reviewed by: Internal Quality Committee	Approved by: <i>Merisa LaFave</i>	Category: SC	

Scope

Maintaining and monitoring the quality of UPCAP’s Long-Term Care Programs is essential to ensuring the quality of care for all participants. It is the mission of UPCAP to enhance the quality of life of program participants and impact outcomes through continuous quality improvement.

Policy

UPCAP employs multiple strategies for monitoring program and performance quality as well as providing feedback and opportunities for improvement to Long-Term Care Program staff. Outside of the activities described below, key UPCAP staff also participate in monthly meetings with other Waiver agencies and Michigan Department of Health and Human Services (MDHHS). These meetings include, but are not limited to, Waiver Director Meetings, MI Choice Waiver Meeting, Quality Focus Meetings, Waiver Best Practice Meetings, etc.

Procedures

Internal Quality Committee (IQC)

UPCAP’s Internal Quality Committee is comprised of the Director of Long-Term Care Programs*, Long-Term Care (LTC) Quality Improvement Manager*, Regional RN Supervisor*; Quality Management Supervisor, Compliance/Privacy Officer, Quality Assurance Specialist, and Team Leaders. Key members* of the Internal Quality Committee meet weekly, while the entire committee meets on a quarterly basis.

This committee was developed to address any quality-related issues or concerns related to UPCAP’s Long-Term Care Programs and ensure continuous quality improvement. Some key functions include, but are not limited to: reviewing program content against evidence-based standards; review the Michigan Department of Health and Human Services contract requirements for the MI Choice waiver program; review current policies and procedures no less than 2x/year and make edits as needed, review and analyze program goals, provide ongoing communication, education, and training for all MI Choice staff; development, implementation and review of performance improvement projects; ongoing review of quality indicators and performance measures.

Supports Coordinator Review Team

UPCAP’s Supports Coordinator Review Team is comprised of current RN and SW Supports Coordinators representing each of the six UPCAP Field Offices – Sault Ste Marie, Escanaba, Marquette, Iron Mountain, Houghton, and Ironwood. The Supports Coordinator Review Team meets as needed to review changes to current policies and procedures, user guides, documents, forms, or processes as developed and presented by the Internal Quality Committee’s key members. The purpose of the Supports Coordinator Review Team is to garner feedback and address quality issues from the perspective of Care Management staff.

Quality Assurance and Performance Improvement Plan (QAPI)

UPCAP regularly provides reports of quality-related data and performance measures to the Michigan Department of Health and Human Services (MDHHS) as part of contract requirements. Annually, UPCAP develops a QAPI outlining quality initiatives, quality goals, performance measures, and performance improvement projects, both clinical and non-clinical. UPCAP collects data for performance measures and quality indicators as required by MDHHS as part of the MI Choice Waiver contract, as well as processes and/or outcomes specific to UPCAP's long-term care programs. Performance measurements and progress toward goals are reviewed quarterly and outcomes documented. Data and reporting trends are analyzed and reviewed regularly to address any potential barriers or concerns that arise with the implementation of the QAPI.

Participant Satisfaction Surveys

UPCAP utilizes two different participant surveys to provide insight into current MI Choice Waiver Participant experience:

Consumer Assessment of Healthcare Providers & Systems Survey for Home & Community-Based Services (CAHPS):

Michigan State University, as contracted by the Michigan Department of Health and Human Services (MDHHS), administers a MI Choice Enrollee Satisfaction Survey to provide performance feedback that is actionable and that will aide in improving patient-centered service delivery. The CAHPS survey was selected as the survey of choice and is completed across all MI Choice Waiver agencies in the State of Michigan.

Participants are selected randomly from UPCAP's current enrolled participants and contacted via telephone to complete the selected survey. Data is collected, results calculated, and results provided to each agency, including UPCAP. The results are reviewed by the Internal Quality Committee and any trends in participant responses are discussed and changes implemented, if necessary.

UPCAP Participation Satisfaction Survey:

Participant Satisfaction surveys are completed with all MI Choice Waiver participants who have been enrolled and receiving Waiver services for at least 6 months. These surveys include questions related to participant satisfaction with MI Choice Waiver Services, Supports Coordination, and overall program experience. Surveys are administered in written format with telephonic follow-up if the written survey is not received. Survey results are reviewed regularly and any responses indicating dissatisfaction are identified immediately and follow-up is initiated.

Quality & Supports Coordination Performance Reports

UPCAP monitors ongoing Supports Coordinator staff performance by gathering data directly related to program quality. Data is collected from information entered into COMPASS, our comprehensive Waiver management software program. Performance data is gathered on a monthly basis and reviewed regularly by the Director of Long-Term Care Programs, the LTC Quality Improvement Manager, and key members of the IQC. The following program quality data and performance measures are run monthly:

- Timeliness of Assessments
- Timeliness of Person-Centered Service Plan Reviews
- Timeliness of Monitoring Contacts
- Timeliness of Two-Week Follow-up Contacts
- Timeliness of Progress Notes (Date of Contact vs Date of Entry; Proper documentation for late entry)
- Timeliness of Assessment Data (Date of Assessment vs. Date of Entry)

- Timeliness of Waiver Services (Date of Program Enrollment vs Start Date of Waiver Service)
- Timeliness of Home Visit following Address Change
- Progress Notes
 - Record of Falls & Falls w/ Injury
 - Record of Inadequate Nutrition
 - Record of Issues with Sleep
- Nursing Facility Level of Care and Assessment Accuracy
- Participant Hospital Readmission within 30 Days of Previous Hospital Discharge
- Level 1 Participants/At Risk Participants
- Unplanned ER Visits and Hospitalization
- Nursing Home Admissions (Permanent Placement)
- Suspended, Reduced and Terminated Services
- Under or Over Utilization (Service Summary vs Service Utilization)

Supports Coordination Performance Reviews

In addition to the monthly review of Supports Coordinator performance data, UPCAP provides regular feedback to staff. Each Supports Coordinator receives formal feedback, no less than twice a year. Feedback is provided in both written and verbal form and is documented in their personnel file. Performance reviews consist of a review of performance data, as well as an opportunity to discuss individual goals or training needs.

Supports Coordinator Quality Exercises

UPCAP utilizes a web-based software (Survey Monkey) for creating and distributing quality exercises to Supports Coordinators. These quality exercises are designed to address any current performance and quality issues, as well as identify areas that need improvement, additional training, and increase the knowledge and skills of Supports Coordinators. Surveys are sent on a quarterly basis and include topics such as: Critical Incidents, NFLOCD scenarios, Care Planning, Supports Coordinator Functions and more.

Quality Collaboratives

UPCAP participates in multiple quality collaborative groups at both the local and State level.

Statewide Quality Collaborative:

The Statewide Quality Collaborative is established by the MI Choice division of the State of Michigan to obtain feedback from consumers, advocates, and MI Choice Agencies and the State to identify issues, discuss and resolve quality issues. The Statewide Quality Collaborative is consumer-led and has at least one consumer representative from each MI Choice agency along with a quality representative from each agency. The State works directly with the steering committee to prepare the agenda. The Statewide Quality Collaborative meets quarterly and provides educational opportunities to members, provides opportunities for feedback and discussion on identified quality of care topics, receives State updates and the opportunity to ask questions, and provides possible resolutions to member concerns.

AAA Advisory Board/U.P. Quality Management Collaborative:

The AAA Advisory Board/U.P. Quality Management Collaborative was implemented to improve the quality of services provided through UPCAP's programs and the service provider network. Collaborative members serve as the voice for all participants receiving home and community-based services by sharing their own insights and experiences.

The AAA Advisory Board/U.P. Quality Management Collaborative makes recommendations for program changes and/or improvements; provides “participant” insights to service providers and supports coordinators related to their needs (elderly and disabled), the services available, and service gaps; provides input for service and program implementation activities; interprets data from quality activities; contributes to development of the UPCAP’s Quality Assurance Performance Improvement plan; and provides direction and discussion points to UPCAP’s representative to the State Quality Management Collaborative on relevant issues and topics. The Collaborative also provides educational opportunities to its members by inviting speakers to present on requested topics.

The AAA Advisory Board/U.P. Quality Management Collaborative meets on a quarterly basis. Subcommittee’s created by the Collaborative may meet more frequently if necessary. Special meetings may be called at the chairperson’s discretion, with a minimum of two-week notice for in-person meetings, and forty-eight (48) hours’ notice for a conference call. Members of the AAA Advisory Board/U.P. Quality Management Collaborative consists of participants, caregivers (paid or informal) patient advocates, UPCAP staff and individuals from other long-term care sectors (nursing facilities, health plans, mental health, disability network, etc.).

Chart Reviews

Supervisory Reviews

All newly enrolled participants shall be reviewed by the Regional SC Supervisor to ensure that the assessment and associated paperwork are complete and accurate. The Supports Coordinator is required to send a completed care plan cost review to their Team Leader, the Regional SC Supervisor or the Director. Once the care plan cost review is signed, it is submitted to the Regional SC Supervisor, which will confirm the case is ready for review. The Regional SC Supervisor can also run a list of the previous month’s participant enrollments to ensure all new participant charts are reviewed. The Regional SC Supervisor utilizes the Supervisory Review form (see attached). The review consists of a review of the entire chart, with focus being on the following:

- Reviewing for missing data
- Ensure care plan is appropriate to meet the identified needs in the assessment
- PCSP and Service Summary comparison to verify it lists the services that have been arranged
- Verification that NFLOCD completed accurately and data within the assessment matches the NFLOCD entered in CHAMPS

The Regional SC Supervisor will provide feedback to the Supports Coordinator(s) on things that need to be reviewed, updated or improved upon within 90 days of the initial assessment. The Supports Coordinator will be required to make any required changes within two (2) business days of receiving the feedback. Once corrections are completed, both disciplines will sign the supervisory review form and send it back to the Regional SC Supervisor.

The Regional SC Supervisor will also review a random sampling of annual NFLOCD’s throughout the year for accuracy and ensure Supports Coordinators are conducting NFLOCD’s properly.

Monthly Chart Audits

On a monthly basis, the LTC Programs Quality Improvement Specialist randomly selects at least one (1) participant from each UPCAP Field Office. Once the participant is selected, the LTC programs Quality Improvement Specialist contacts the primary Supports Coordinator and requests additional documentation needed to conduct a comprehensive participant file review. An audit tool, developed from the standards of the MI Choice Waiver program used to conduct the State’s Clinical Quality Assurance Review audits by MPHI, is completed for each selected participant file.

Peer Reviews

Inter-Office Peer Reviews

Supports Coordinators are required to participate in inter-office peer reviews on a monthly basis. Each Supports Coordinator professional discipline conducts reviews of their counterpart's case work utilizing a standardized review form. A random sample method shall be used, with the sample size based on 10% of the current open caseload.

Supports Coordinators will review each item listed on the standardized form, including review of the Interventions in the PCSP and compare with the service summary in place to look for discrepancies as well as ensuring the NFLOCD was conducted accurately. Both the reviewer and the primary Supports Coordinator, of the case being reviewed, are required to sign the form once completed.

If significant problems or issues are identified, they are to be presented to the Regional SC Supervisor and/or the Director for review. All completed forms should be sent to the Director of LTC Programs.

Intra-Office Peer Reviews

Intra-office peer reviews will be conducted on a semi-annual basis. Each Support Coordinator will be required to complete an intra-office peer review on a case from another field office. Supports Coordinator teams cannot conduct a review of their own cases and must alternate reviews among various teams.

Prior to each intra-office peer review a quality indicator report will be generated for each office to identify additional issues that may require person-centered planning efforts. Inter-office peer reviews will also include drill down activities, if needed, related to Performance Improvement Projects identified in the Quality Management Plan.

Staff Meetings

It is imperative that Long-Term Care Program staff participate in regular staff meetings to ensure program quality.

Field Office Staff Meetings:

Field Office Team Leaders are responsible for scheduling and leading weekly staff meetings at each UPCAP Field Office. All local field office staff (Team Leader, Supports Coordinators, Case Techs) are required to attend regularly, unless they are on approved leave. Staff Meetings can be conducted in-person, telephonically, or virtually, depending upon weather, scheduling, or other circumstances.

Weekly Field Office Staff Meetings include, at a minimum:

- A review of the current participant waitlist and assessment calendar
- Discussion of basic participant information and scheduling of initial assessments
- Staff Questions and/or Concerns
- Office Updates
- Director/Supervisor Updates, which include policy, process, and agency-specific updates.

All-Staff Meetings:

No less than quarterly, the Director of Long-Term Care programs schedules a staff meeting to include all long-term care program staff. All Field Office Team Leaders, Supports Coordinators, Case Techs, and the LTC Quality Improvement Manager are required to attend, and when necessary, the Compliance Officer, Chief Financial Officer, and Chief

Executive Officer. All-staff meetings can be conducted in-person, telephonically, or virtually.

The all-staff meetings provide a forum for on-going communication between UPCAP and Field Office Staff, to ensure staff is kept up-to-date with all statutes, regulations, policies and/or procedures as set forth in the MI Choice Waiver/Care Management requirements, provide an opportunity for staff to share best practices from their office, provide education and trainings to staff on an array of topics (HIPPA, Fraud Waste & Abuse, Critical Incident reporting) and provide an opportunity for staff to receive clarification or ask questions regarding policies and processes.

All-Staff Meetings may include:

- UPCAP Policy and Procedure updates
- In-services and staff training
- Review of Quality and Performance Measures
- Clinical Audit results and any corrective actions required

Team Leader Meetings:

On a monthly basis, the Director of Long-Term Care Programs schedules a meeting that includes the Regional SC Supervisor, Team Leaders, and the LTC Quality Improvement Manager. These meetings provide an opportunity for the Director to provide updates regarding program contract requirements, policies and procedures, quality measures and performance, and to address any other items of importance. This meeting also serves as a forum for Team Leaders and the Regional Supervisor to communicate any concerns or issues with their assigned Field Office. These meetings can be conducted in-person, telephonically, or virtually.

Monthly Provider Case Conferences

Each UPCAP Long-Term Care Programs Office shall establish an internal process of ensuring that there is regular and on-going case conferencing with local service provider agencies occurring no less frequently than once a month with each in-home service provider, regardless of utilization.

A specific day of each month shall be set aside to meet with provider agency representatives. Provider Case Conferencing shall be used to:

- discuss the provision and quality of service
- address any questions related to the Work Order or Work Order adjustments
- address Backup Plan developed by Supports Coordinator
- address provider no-shows in an effort to reduce or eliminate the negative impact on participants.
- inform providers of risks related to health and welfare decisions made by participants.

Provider meetings that pertain to participant-specific issues must be documented in the participant's case record. Documentation pertaining to general issues may be maintained in the form of meeting notes by the office Case Tech, Office Team Leader, or by the Supports Coordinators. Such notes must be maintained for review by MDHHS and/or for the purpose of Quality Assurance reviews.

Quarterly Provider Meetings

It is the policy of UPCAP to hold Provider Meetings on a quarterly basis (in-person, telephonic, or virtually) unless more frequency is dictated by circumstances. Provider meetings are attended by the Director of Long-Term Care Programs, Quality Assurance Supervisor, Quality Assurance Staff, Field Office Team Leaders, Regional SC Supervisor, LTC Quality

Improvement Manager, and when necessary, the Chief Financial Officer, Chief Executive Office, and HASA Representative.

The provider meetings provide a forum for on-going communication between providers and UPCAP staff, to ensure providers are kept up-to-date with all statutes, regulations, policies and/or procedures as set forth in the MI Choice Waiver/Care Management requirements, provide an opportunity for providers to share best practices, provide education and trainings to providers on an array of topics (HIPPA, Fraud Waste & Abuse, Critical Incident reporting, referral process, etc.) and provide an opportunity for providers to receive clarification or ask questions as it relates to their agreements with UPCAP.

References

[Peer Review Form](#)

Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - Added Monthly Chart audit activities
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - No changes
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - No changes
- Revised 09/29/2023 – Internal Quality Committee Annual Review
 - Policy Name Change
 - Significant additions and edits to include all quality activities being completed.

		<h1 style="color: #C8513E;">Staff Recruitment/Hiring</h1>	
Policy Number: 2022-38-01	Effective Date: 10/01/2023	Review Date(s): 09/10/2024; 04/23/2024; 03/18/2024	
Reviewed by: Director of LTC Programs, Executive Director	Approved by: <i>Theresa LaFave</i>	Category: SC, Case Tech	

Scope

UPCAP Services, Inc. believes that hiring qualified individuals to fill positions at the organization contributes to our overall success. UPCAP Services, Inc. follows certain standards and criteria to assist with hiring qualified individuals to fill positions.

Policy

It is the policy of UPCAP Services, Inc. to find candidates who meet the qualifications of the open position. UPCAP has dedicated itself to equal opportunity policy hiring processes. All parties involved in the recruitment and hiring process are required to provide a well-executed and discrimination-free hiring initiative and procedure.

Personnel Requisitions

Personnel requisitions for any new position within the organization must be initiated by the department supervisor/manager and approved by UPCAP’s Executive Director. It is the responsibility of the department supervisor/manager to prepare a proposal along with a job description for the new position. The proposal and job descriptions must include the following:

- Position Title
- Position hours/shifts
- Exempt or nonexempt status
- Rationale for the position
- Essential job functions and qualifications
- Any special recruitment advertising instructions

The Executive Director will make the final decision regarding adding a new position or filling a vacant position. Factors considered include current and anticipated caseloads, time studies, plans for program expansion or new program

Job Postings

All regular exempt and nonexempt job openings are sent electronically to all staff. If the position will be posted externally it will be placed on UPCAP’s website and sent to external and external positing sites appropriate for the position.

Internal Transfers

Employees who have been in their current position for at least six months may apply for job openings. This requirement

may be waived with the consent of the employee's manager and the Executive Director. Employees must follow the posting directions, including submitting a cover letter and resume by a specific date.

All applicants for a job opening will be considered on the basis of their qualifications and ability to perform the job successfully. Internal candidates who are not selected will be notified by the Executive Director.

Recruitment and Advertising

Positions are advertised externally based on need and budget requirements. The Office Manager is responsible for placing all recruitment advertising.

Interview Process

The department supervisor/manager will screen applications and resumes prior to scheduling interviews. Initial interviews are generally conducted by the department supervisor/manager. A second interview may be set up including an alternate interviewer(s) and the Executive Director.

Interview questions are compiled by the department supervisor/manager or other designee. After the interview process is complete, the manager/supervisor will make recommendations to the CEO for approval.

Credentialing

To ensure the protection of fellow employees and program participants, the Office Manager conducts up to three reference checks, a criminal background check as well as screening through the Office of Inspector General and Systems of Award Management (SAM).

For positions that require licensure, verification of current license is completed via Licensing and Regulatory Affairs (LARA) at Michigan.gov. A candidate must have a current license to be eligible. This is an ongoing process for all employees.

Job Offers

Job offers are made by the department supervisor/manager after satisfactory results from the reference checks, criminal background check and other screenings are completed. If the applicant accepts the job offer, an official letter is completed by the Office Manager.

Initial Start Date/Orientation

An on-boarding schedule is arranged for the hired candidate and provided to those involved. On the employee's start date, the employee will complete required paperwork and an orientation with the Office Manager, Compliance Officer and/or the Department Supervisor/Manager. For newly Hired Supports Coordinators, UPCAP will utilize the [New Employee Orientation Checklist and Training Requirements](#) with the appropriate personnel.

Introductory Period

Newly hired Supports Coordinators are required to follow the LTC Program [New Employee Benchmarks](#) established for the position. Follow up with the employee will be done by the Team Leader, Regional Supervisor and/or the Director of Long-Term Care Programs for each set of benchmarks. Refer to the Supports Coordinator Training policy (2022-39) for detailed information.

Policy History

- Revised 09/10/2024 – Internal Quality Committee Review
 - No changes
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - No changes
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - No changes
- Revised 10/01/2023:
 - New Policy Added

		<h1 style="color: #C85A32;">Supports Coordinator Training</h1>	
Policy Number: 2022-39	Effective Date: 05/01/2022	Review Date(s): 09/10/2024; 04/23/2024; 03/18/2024; 09/29/2023; 10/06/2022	
Reviewed by: Internal Quality Committee	Approved by: <i>Theresa LaFave</i>	Category: SC, Admin	

Scope

All MI-Choice Waiver staff are expected to keep current on issues and innovations that directly impact their profession and Participants with whom they work. This includes increasing knowledge of the various cultural differences of the Participant to ensure that individual backgrounds and beliefs are respected in all aspects of Supports Coordination activities. MDHHS requires that Supports Coordinators be Licensed Registered Nurses and Licensed Social Workers in the State of Michigan.

Policy

It is the policy of UPCAP Services, Inc. that Supports Coordinators maintain their licensure and/or certification and, as set forth in UPCAP’s Personnel Policies, are responsible for presenting documentation of their license or certification prior to employment and at those times when license or certifications are renewed. A copy of licensure is maintained in the employee file.

At hire, Supports Coordinators will have one-on-one training for the first three months of employment regarding all aspects of the position as outlined in the [New Employee Orientation Checklist and Training Requirements](#). Newly hired Supports Coordinators will be required to meet 30-day, 60-day, 90-day, 6-month and 12-month benchmarks as defined in the Supports Coordinator [New Employee Benchmarks](#).

When funds are available, Supports Coordinators will be allowed/encouraged to register for conferences and workshops which lend themselves to supporting the mission of the organization, improving job related skills, and assisting employees in meeting CEU requirements. When feasible, UPCAP will cover the costs involved including registration, mileage, and meals within the guidelines of the UPCAP personnel policy.

Supports Coordinators are also required to participate in monthly peer reviews, semi-annual in-services, and any other training determined by the Director.

Training topics include, but are not limited to:

- Alzheimer’s Disease Dementia
- Cultural Diversity & Sensitivity
- Universal Precautions
- Disability Sensitivity
- Elder Abuse and Neglect
- Goal Setting
- Behavioral Change & Motivational Interviewing
- Health Literacy
- Health Equity & Social Determinants of Health
- Person-Centered Planning (yearly requirement)

- Critical Incidents (yearly requirement)
- Care Coordination (yearly requirement)
- Fraud, Waste and Abuse (At hire and annually thereafter)
- HIPAA (At hire and annually thereafter)
- Cyber Security (At hire and annually thereafter)
- Home Safety Specialist Training (At hire and annually thereafter)

UPCAP also utilizes a web-based software (Survey Monkey) for creating and distributing surveys to Supports Coordinators. These surveys are designed like a quiz to help identify areas that need improvement or additional training as well as increase the knowledge and skills of Supports Coordinators. Surveys are sent on a quarterly basis and include topics such as: Critical Incidents, NFLOCD scenarios, care planning, supports coordinator functions and more.

A list of all trainings and completion dates are maintained by the Director to ensure they are completed within required timeframes.

Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - o No changes
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - o No changes
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - o Updated software used to Survey Monkey
 - o Added Home Safety Specialist Training requirement
- Revised 09/29/2023 – Internal Quality Committee Annual Review
 - o Updated training requirements, training links, & applicable forms

		<h1 style="color: #E67E22;">Privacy & Confidentiality</h1>	
Policy Number: 2022-40	Effective Date: 05/01/2022	Review Date(s): 09/10/2024; 04/23/2024; 03/18/2024; 09/29/2023; 10/06/2022	
Reviewed by: Internal Quality Committee	Approved by: <i>Theresa LaFave</i>	Category: SC	

Scope

Disclosure of Participant information is governed by the Health Insurance Portability and Accountability Act (HIPAA) of 1996. All communication received from the Participant, whether verbal or written, is to be considered confidential under the law and [UPCAP Employee Policy 1.6: Confidentiality](#). It is the policy of UPCAP Services, Inc. that all staff receive HIPAA training upon hire and then annually.

Policy

Participants shall be given a copy and brief verbal overview of [UPCAP's Notice of Privacy Practices](#) at the initial assessment. Participants are required to sign an "Acknowledgment of Receipt" form stating that they have received the written privacy practices document.

All Participants will be asked to sign a [Program Consent & Authorization and Confidential Release of Information](#) form annually. Each Supports Coordinator must enter the Annual Release of Information Due Date in COMPASS to ensure that a reminder for renewal is populated for the Supports Coordinator. Prior written consent to release information is required from the Participant when sharing their information except for the purposes of treatment, billing, health care operations, or quality assurance activities. Supports Coordinators are mandatory reporters of suspected abuse, neglect, or exploitation; therefore, no release is needed in such instances.

In approved sharing situations, Supports Coordinators must provide only what is "minimally necessary" for the receiving person or organization to perform their function. For example, financial information is only to be shared with entities that require such information as a condition of eligibility for their goods or services.

Faxing of Participant information is HIPAA compliant. All faxes must include a cover sheet clearly stating to whom the document is intended, and the actions unintended receivers should follow.

Technology and Protected Health Information

Supports Coordinators will follow the UPCAP [Employee Policy 1.12 - Information Technology Resources Policy](#) and are required to provide signature of receipt and understanding at hire. Supports Coordinators must exercise caution and safety measures when using technology that may contain or provide access to a Participant's electronic record and protected health information.

Emailing, Texting, Document Sharing

General email and texts are not secure methods of communication. Supports Coordinators and Long-Term Care Program staff are required to access and send emails through their UPCAP-provided email address. UPCAP currently utilizes a GSuite encryption service to protect sensitive information contained within employee emails. Sensitive information of any kind should not be in the subject line, nor identifying information be used in the name of attached files. Document sharing through email or web applications (such as DropBox) is prohibited. In order to be HIPAA compliant, email

attachments containing sensitive information must be encrypted or transmitted through secure file exchange applications such as COMPASS, or Altruista. Texting sensitive information of any kind, including participant names, between Supports Coordinators or contracted providers is not appropriate and prohibited.

Participants have the right to request unencrypted email or text communication with Supports Coordinators. The Participant Consent Form for [Text and Email Communication shall be](#) used to inform Participants of the risks of using these communication methods. The completed consent form must be filled out completely, including all email addresses or phone numbers to be used, before any communication through these formats may occur. The signed consent forms must be kept in the Participant's file as well as a copy sent to UPCAP's Privacy and Compliance Manager and to the Participant.

HITECH Act: Security Breach Notification Law

All Long-Term Care Program Staff are trained upon hire and annually to report any attempted or successful unauthorized access, use, disclosure, modification, or destruction of private or secure information. Staff shall immediately report any occurrence, discovery of, or suspicion of a security breach (successful or attempted) to the UPCAP's Privacy and Compliance Manager and the Director of LTC Programs. The Director of LTC Programs and/or Privacy and Compliance Manager will notify UPCAP's Security Officer (UPCAP CEO).

The Privacy and Compliance Manager or Security Officer is required to perform a risk assessment considering:

1. The nature and sensitivity of the PHI accessed, disclosed, or destroyed, and the likelihood that it could post a significant risk of financial, reputational, or other harm to the individual;
2. If the PHI was unsecured (not encrypted or password protected);
3. If the person(s) who received or accessed sensitive information is a Covered Entity or Business Associate, and if motives for willful intent exist;
4. Whether PHI was actually viewed or acquired (i.e. what was on a lost laptop);
5. Whether the risk has been satisfactorily lessened or mitigated.

If it is determined that a security breach occurred, the Privacy and Compliance Manager or Security Officer must promptly notify affected individuals. Breaches impacting fewer than 500 individuals are reported to the HHS Secretary on an annual basis. Breaches affecting more than 500 individuals requires immediately notifying the HHS Secretary and the media.

All submissions must be reported using the web portal at: www.hhs.gov

References

[Medicaid Provider Manual - MI Choice Waiver](#)

Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - No changes
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - No changes
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - No changes
- Revised 09/29/2023 – Internal Quality Committee Annual Review
 - No changes

	<h1 style="color: #C8513E;">Case Records Maintenance</h1>		
Policy Number: 2022-41	Effective Date: 05/01/2022	Review Date(s): 09/10/2024; 04/23/2024; 03/18/2024; 02/29/2024; 09/29/2023; 10/06/2021	
Reviewed by: Internal Quality Committee	Approved by: 		Category: SC & Case Tech

Policy

It is the policy of UPCAP Services, Inc. that Supports Coordinators must establish and maintain a file for each Participant served. The file must include, but is not limited to, the following information:

- Initial Referral & Initial Assessment
- Nursing Facility Level of Care Determination
- Freedom of Choice
- All Assessment Forms (COMPASS)
- Notices of Adverse Benefit Determinations / Action Notices
- Person Centered Service Plan (COMPASS)
- Person Centered Planning materials
- Follow-up Letters to Referral Source(s)
- Work Orders
- Status Changes
- Copies of Waiver Open and Closed Notices
- Consent Forms
- Post-Assessment Recommendation (optional)
- Cost-Sharing (if applicable)
- Progress Notes (COMPASS)
- Reassessments (COMPASS)
- Medicaid Contact Logs (TCM Participants only)
- Any correspondence pertaining to the care of the Participant
- All documentation pertinent to the Participant case.
- Back-Up Plan
- Self Determination Forms (if applicable)
- Care Plan Cost Review (Budget)
- Plan of Care Signature Page
- Participant registration form (if applicable for Care Management participants)
- Residential Services Documents, including lease (if applicable)

Participant charts should be set up consistently utilizing the [chart set up key](#).

All forms must contain either the signature or the initials of the person completing such forms. Participant signatures are required by the ACLS Bureau and Michigan Department of Health and Human Services for the following forms:

- Handbook acknowledgement form
- Freedom of Choice
- Consent and Authorization

- Medical Release
- Text/Email Consent (if applicable)
- Plan of Care Agreement
- Person Centered Service Plan

To protect the privacy of all Participants, case files are to be kept in a locked filing cabinet or in a room with a locking door that is not accessible by unauthorized personnel or visitors. Electronic files stored on a computer or other devices are to be password protected and never left opened or unattended. Staff are required to adhere to [UPCAP's Information Technology Resources Policy](#) (Section 1.12).

Supports Coordinators are not to shred or remove any of the above documents or forms from the Participant's chart. Overflow charts may be utilized when the original chart becomes too thick or cumbersome. Overflow charts must be kept in a locked filing cabinet and all documents must be retained.

Case records, including referrals, are to be **saved for a minimum of ten (10) years** as required by ACLS Bureau and Michigan Department of Health and Human Services.

UPCAP must comply with all requirements regarding record maintenance and retention. UPCAP must also have all records, documents, etc. available, as requested, to any duly authorized government agency (authorized personnel from Michigan Medicaid, MDHHS, MDHHS OIG, the Michigan Department of Attorney General, the US Department of Health and Human Services, US Office of Inspector General or the Department of Justice). All requests of such records must be forwarded to the Director of Long-Term Care Programs

References

[Medicaid Provider Manual - MI Choice Waiver](#)

Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - o No changes
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - o No changes
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - o No changes
- Revised 2/29/2024
 - o Updated with edits made based on completion of Compliance Plan
- Revised 09/29/2023 – Internal Quality Committee Annual Review
 - o No Changes

	<h1 style="text-align: center;">Timely Reports & Documentation</h1>	
Policy Number: 2022-42	Effective Date: 05/01/2022	Revision Date(s): 09/10/2024; 04/23/2024; 03/18/2024; 02/29/2024; 09/29/2023 10/06/2022
Reviewed by: Internal Quality Committee	Approved by: <i>Theresa LaFave</i>	Category: Admin, Case Tech

Policy

It is the policy of UPCAP Services, Inc. to submit all required reports accurately and timely as required by the Michigan Department of Health and Human Services (MDHHS) and the Bureau of Aging, Community Living, and Supports (ACLS Bureau).

Procedures

Administrative Expense Reports

Administrative Expense Reports are due 30 days after the close of each calendar month. The Chief Financial Officer is responsible for completing and submitting the report to MDHHS-MICHOICEREPORTS@michigan.gov, with a copy sent to the Director of Long-Term Care Programs.

Provider Network List

Provider Network lists, utilizing the excel spreadsheet required by MDHHS and the ACLS Bureau, are due annually within 60 days of the effective date of the Mi Choice Agreement with updated reports being due 30 days from the date of change. The Director of Long-Term Care Programs is responsible for maintaining, updating and submitting the provider network list to MDHHS-MICHOICEREPORTS@michigan.gov.

Waiver Personnel

The list of all UPCAP Services, Inc. staff paid for out of MI Choice Waiver funds, utilizing the excel spreadsheet required by MDHHS and the ACLS Bureau, is due within 90 days of the effective date of the Mi Choice Agreement and within 30 days of any personnel changes. Contact information must include telephone numbers, fax numbers, e-mail addresses and professional licensure numbers (nurses and social workers). The Director of Long-Term Care Programs is responsible for maintaining, updating and submitting the waiver personnel list to MDHHS-MICHOICEREPORTS@michigan.gov.

Provider Monitoring Schedule

Provider monitoring schedules are due by December 1st of each fiscal year. It is the responsibility of the Quality Assurance Supervisor to complete the monitoring schedule and submit to the Director of Long-Term Care Programs. The Director of Long-Term Care Programs is responsible for reviewing the schedule to ensure the list meets requirements and submitting it to MDHHS-MICHOICEREPORTS@michigan.gov.

Provider Monitoring Reports

Provider Monitoring Reports are due upon completion of each report throughout the contract period. It is the responsibility of the Quality Assurance Supervisor to submit reports to MDHHS-MICHOICEREPORTS@michigan.gov.

Quality Management Progress Summary Report with Quality Indicator Results

The Quality Management Progress Summary Report with Quality Indicator Results are due by January 15th of each year. It is the responsibility of the Director of Long-Term Care Programs and LTC Quality Improvement Manager to submit the report to [MDHHS- MICHOICEREPORTS@michigan.gov](mailto:MDHHS-MICHOICEREPORTS@michigan.gov).

Net Asset Reports

Net Asset Reports are due within 30 days of the start of the Mi Choice Agreement. It is the responsibility of the Director of Finance to submit the reports to MDHHS-MICHOICEREPORTS@michigan.gov.

Audited Financial Reports

Audited Financial Reports are due on an annual basis and must be conducted in accordance with generally accepted accounting principles and generally accepted auditing standards. It is the responsibility of the Director of Finance to submit the audited financial reports as soon as they are received from the accounting firm to MDHHS-MICHOICEREPORTS@michigan.gov.

Medical Loss Ratio Report

The Medical Loss Ratio Report is due no later than June 30th of year. The Medical Loss Ratio Report should be equal to or higher than 85%. The MLR experienced in a reporting year is the ratio of the numerator to the denominator. Refer to attachment C of the Mi Choice Contract for complete details. It is the responsibility of the Director of Finance to submit the medical loss ratio report to MDHHS-MICHOICEREPORTS@michigan.gov or as instructed on the directions.

Recoveries and Overpayments

A report of all recoveries and overpayments are reported on the Program Integrity report on a quarterly basis as follows:

- October through December - Due February 15th
- April through June - Due August 15th
- January through March - Due May 15th
- July through September - Due November 15th

It is the responsibility of the Director of Long-Term Care Programs to submit reports to the MDHHS-OIG sFTP.

Verification of Costs

Verification of costs is due on a monthly basis utilizing the encounter submission option in Compass. It is the responsibility of the Director of Long-Term Care Programs to process the encounters, correct errors and submit through Compass.

Caseload Ratio Report

The Caseload Ratio Report is completed on a monthly basis by the Director of Long-Term Care Programs. This report is given to field office Team Leaders and the Executive Director for review. The average caseload is 25-30 for each Supports Coordinator. Caseloads consist of Mi Choice Waiver, Care Management, Targeted Care Management, Local Care Management (under 60 but not eligible for Mi Choice), Veterans, UPHP C-Waiver and Nursing Facility Transitions.

The Caseload Ratio Report is used to determine if Supports Coordinators are at their capacity, if more Supports Coordinators need to be hired or if caseloads need to be redistributed.

MI Choice Eligibility Reports

Mi Choice Eligibility reports are run on a monthly basis, or more frequently if needed utilizing Compass. These reports identify if the Mi Choice enrollment in Compass matches Mi Choice enrollment in CHAMPS. More information on the details of these reports can be found in the [Compass Eligibility Requests User Guide](#). It is the responsibility of the Director of Long-Term Care Programs or the Enrollment Specialist to run these reports.

Office of Inspector Reporting

Program Integrity Annual Report

The Mi Choice Program Integrity Annual Report is due by March 15th of each year. It is the responsibility of the Director of Long-Term Care Programs and the Compliance Officer to complete and submit the report. This report is submitted to MDHHS-OIG sFTP.

Fraud Compliance Program

The Mi Choice Fraud Compliance Program Report is due by May 15th of each year. It is the responsibility of the Director of Long-Term Care Programs and the Compliance Officer to complete and submit the report to MDHHS-OIG sFTP.

Quarterly Program Integrity Reports (Recoveries and Overpayments)

A report of all recoveries and overpayments are reported on the Program Integrity report on a quarterly basis as follows:

- October through December Due February 15th
- January through March Due May 15th
- April through June Due August 15th
- July through September Due November 15th

It is the responsibility of the Director of Long-Term Care Programs to share these reports with the Compliance Officer and Committee for review. Once reviewed, the final report is submitted to the MDHHS-OIG sFTP.

Annual Program Integrity Plan

The Annual Program Integrity Plan is due by January 15th of each year for the upcoming fiscal year. It is the responsibility of the Director of Long-Term Care Programs and the Compliance Officer to complete and submit the report to MDHHS-MICHOICEREPORTS@michigan.gov.

Program Integrity – Grantee Screening and Disclosure Attestation

The Program Integrity – Grantee Screening and Disclosure Attestation is due by July 15th of each year. It is the responsibility of the Director of Long-Term Care to obtain necessary signatures and submit to MDHHS-MICHOICEREPORTS@michigan.gov.

Clear Access Scripts/Queries, Compass Reports

On a monthly basis the following scripts, queries and reports are run:

- **Non NFT-SSP** – This report is run on a monthly basis out of ClearAccess by the Director of Long-Term Care Programs. The report identifies individuals who may qualify for SSP status based on the last assessment
- **Participant Not Closed, no opened Status** – This report is run on a monthly basis out of ClearAccess by the Director of Long-Term Care Programs or the Compliance Officer. This report identifies an individual with an open episode in Compass, but not having a status associated with it. The list is reviewed and compared to the existing waiting list. Discrepancies on the list are discussed with Supports Coordinators and Case Techs to determine the status of the referral.
- **Vendor Careplan/Billing Variance Report** – These reports are run for each batch of bills (by provider) that are entered or reviewed in Vendor View Billing from COMPASS by the Case Tech assigned to that particular provider. The Vendor Careplan/Billing Variance report shows the total amount of service authorization in the careplan compared to what was entered in COMPASS or Vendor View.
- **Compass Participant Care Plan/Bills Comparison Reports** - These reports are run on a monthly basis for all program participants by the Director of Long-Term Care Programs out of Compass/Reports/Services. The report is reviewed to ensure the accuracy of service delivery by comparing what was authorized to what was billed concentrating on anything billed less than 80% of what was authorized as well as reviewing anything billed at 100% consistently for all services to ensure providers are only billing for authorized amounts.
- **Ultimate Champs Query** – This query is run on a weekly basis by the Enrollment Specialist or the Director of Long-Term Care Programs utilizing ClearAccess scripts. The results of the query show the MA type, Program Code, Pet Code, BP code, date ranges, NFLOCD status, Enrollment status and Compass Program Status. This report will help identify individuals that may have issues related to their program enrollment, which need to be investigated.
- **Waiver Pending/Enrollment Comparison** - This report is run on a monthly basis by the Enrollment Specialist out of Compass/Reports/Enrollment. The Enrollment Specialist reviews the report to ensure Mi Choice enrollments have been submitted and entered into CHAMPS for individuals listed as Waiver pending.
- **NFLOCD Due Dates** – This script is run on a monthly basis by the Director of Long-Term Care Programs out of ClearAccess. The results of the script show the NFLOCD due dates for the time period the report was run for. Reports are then shared with all Supports Coordinator.
- **Passive NFLOCD's** – This information is run on a monthly basis out of CHAMPS by the Director of Long-Term Care Programs. The results are exported to an excel spreadsheet and sorted by passive enrollment and modified date. The list of NFLOCD's that had a passive NFLOCD based on the last assessment are sent to Supports Coordinators as well as have the NFLOCD due date and application ID updated in Compass.
- **Bills on Dates Not at Home** – This script is run on a monthly basis out of ClearAccess by the Director of Long-Term Care Programs or the Compliance Officer. The results are reviewed for services that were billed on a date the participant was in the hospital, out of town or in a nursing facility.
- **Excessive Units** – This script is run on a monthly basis out of ClearAccess by the Director of Long-Term Care Programs or the Compliance Officer. The results are reviewed for services that are billed at more than 1 unit per day or per month (Chore, PERS, etc.).
- **Bills on Nursing Home Days** – This script is run on a monthly basis out of ClearAccess by the Director of Long-Term Care Programs or the Compliance officer. The results are reviewed for services that are billed

on the date of Nursing Home placement.

- **Provider Rate Verification** – This query is run on a monthly basis out of ClearAccess by the Director of Long-Term Care Programs. The results are reviewed against contracted rates to ensure providers are billing the appropriate rates.
- **Expenditure Reports** – This report is run on a quarterly basis out of Compass/Reports/Services by the Director of Long-Term Care Programs. The report is reviewed to ensure bills were coded to the appropriate program type fund code.
- **Non-Service Report** – This report is run on a monthly basis out of Compass/Reports/Services by the Director of Long-Term Care Programs. The results of the report are reviewed for vendors that have more than 5% non-service reasons.
- **T2022 Bills Posted or Missing** – This script is run on a monthly basis out of ClearAccess by the Director of Long-Term Care Programs. The results are viewed to ensure no T2022 services were overbilled or not billed.
- **Cost Reconciliation** – This report is run on a monthly basis out of Compass/Reports/Services by the Director of Long-Term Care Programs. The results are provided to accounting where they compare the totals by fund code to what they have paid providers through that date to be sure the amounts match.
- **Recent Address Changes** – This script is run on a weekly basis out of ClearAccess by the Director of Long-Term Care Programs. This report identifies participants that had a recent address change and a home visit issue.
- **Days Between Waiver Start Date and Services** – This script is run on a weekly basis out of ClearAccess by the Director of Long-Term Care Programs. This report shows the number of days from the Mi Choice Waiver start date to the service start date. For those Participants who do not have services within the required timeframe the Supports Coordinators are contacted to determine the reason for the delay or non-service.
- **Missing Counties** – This query is run on a monthly basis out of ClearAccess by the Director of Long-Term Care Programs. This report identifies participant records that have no county listed.

References

MI Choice Contract – Attachments E & D
Compass User Guides

Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - No changes
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - No changes
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - No changes
- Revised 2/29/2024
 - Updated with edits made based on completion of Compliance Plan
- Revised 09/29/2023 – Internal Quality Committee Annual Review

		<h1>CHAMPS</h1> <h2>Enrollment/Disenrollment Process</h2>	
Policy Number: 2022-43	Effective Date: 05/01/2022	Review Date(s): 04/23/2024; 03/18/2024; 09/29/2023; 10/06/2022	
Reviewed by: Internal Quality Committee	Approved by: <i>Theresa LaFave</i>	Category: Admin	

Before entering any information into CHAMPS, all dates and identifying information must be confirmed in COMPASS.

Make note and confirm the following Participant information before entering any data in CHAMPS:

- Medicaid Number
- Date of Birth
- Last 4 digits of Participant’s Social Security Number
- Participant Status Report
- Confirm Program Start/End Dates
- Confirm SSP/SMOU Status

If there is conflicting information, notify the appropriate Case Tech and/or Supports Coordinator for assistance.

New Enrollments

Enrollment – No Medicaid Number

- Check LOCD system to see if Medicaid ID has been assigned yet
- If there is no Medicaid Number linked with the participant, the enrollment cannot be entered
- Add to Enrollment Spreadsheet & Make Note
 - Check CHAMPS daily for changes
- Enter progress note in COMPASS
 - “Attempted entry of Mi Choice Enrollment in CHAMPS. No Medicaid ID number available. Will continue to monitor.”

Enrollment – w/ Medicaid Number

- Click Add Enrollment/Admission
 - Enter Medicaid number – all other information should populate. Make sure all required data is there and correct.
 - Enter Waiver Enrollment date and Assessment Date
 - Assessment date cannot be AFTER the enrollment date
- Skip Responsible Party Section – no need to enter information
 - Check address section
 - If no address, add it.
 - Must verify and save address before submitting
 - Skip Previous Provider/Facility Information section – no need to enter information

- Skip Other Insurance Section – no need to enter information
- Skip Upload Documents – no need to enter information
- Enter Certification Information
 - Click first box
 - Enter “Signature on File” to both Signature fields
 - Click second box
 - Enter First and Last Name in Signature fields
- Submit
 - Review pop up box to make sure dates and info are correct
- Print completed form and attach to Enrollment form
 - Save a PDF copy
 - Take note of Transaction ID
- If Status shows **“COMPLETED”**
 - Check CHAMPS eligibility report to confirm Mi-Choice and start date
 - Go into participant status in COMPASS
 - Edit Waiver Pending and change to Waiver Yes
 - Save PDF of status
 - Save PDF version of status and send copy to primary SC and case tech
 - “See attached. Showing MI-Choice in CHAMPS. Changed status from Waiver-Pending to Waiver-Yes”
 - Enter Progress note into COMPASS
 - “Entered MI Choice enrollment in CHAMPS. CHAMPS shows MI Choice. Changed status from pending to yes and notified SC”
 - Don’t need to do anything on the spreadsheet if it’s a new enrollment that shows completed. Just file
- If Status shows **“COMPLETED – WAITING FOR MA”**
 - Email regional MDHHS Office the PDF Enrollment form to notify of new Waiver Enrollment
 - Print copy of sent email for file
 - Enter Progress note into COMPASS
 - “Entered MI Choice enrollment in CHAMPS. Status shows "Completed-Waiting for MA". Emailed PDF enrollment to MDHHS. Will continue to monitor.”
 - Enter participant name and information onto “Waiting on MA” spreadsheet

Re-Enrollment/Nursing Home Discharge

- Check status to confirm enrollment date matches nursing home disenrollment date
- Treat Like an Enrollment w/ Medicaid Number and follow same steps
 - Make sure to check status for SMOU status – once SMOU, always SMOU
 - If SMOU, check SSP box under enrollment date
- If denied due to auto-enroll to UPHP, make note of enrollment date, and call or email primary Supports Coordinator directly.
 - Let the Supports Coordinator know that they need to contact the participant and let them know what is happening
 - If they would like to stay in the MI-Choice waiver, they will have to call and dis-enroll immediately
 - Enter Progress Note into COMPASS
 - Attempted to enter MI Choice enrollment in CHAMPS. Status shows "Scheduled to enroll in UPHP MHL on XX/XX/XXXX". Notified Supports Coordinator to notify participant of choice and if they choose to enroll in MI Choice they will need to call MI Enrolls. Will continue to monitor.

- Enter participant name and information on “Waiting on MA” spreadsheet under other eligibility issues.
- Check every day to see if there are any changes

When Status Changes to “Completed”

- Select “View eligibility” for the participant located in the drop-down menu on the left
- Change the inquiry start date to the date on the Waiver enrollment and hit submit
- Print CHAMPS report showing Mi-Choice and attach to original enrollment form
 - Staple and put in enrollment file
- Go into the participant status in COMPASS
 - Edit status
 - Change participant program from WA-P to WA -Y
- Save PDF version of status and send copy to primary SC and case tech
 - “See attached. Showing MI-Choice in CHAMPS. Changed status from Waiver-Pending to Waiver-Yes.”
- Enter progress note in COMPASS
 - “Verified MI Choice in CHAMPS. CHAMPS shows Waiver. Changed status from pending to yes and notified SC”
- Update “Waiting on MA” spreadsheet – Copy row and put onto corrected tab and note “Showing MI Choice xx/xx/xxxx”

No change to Enrollment Status

- If no change to enrollment status, document in COMPASS (2-3x/wk) “Checked CHAMPS. Status still shows "Completed-Waiting on MA". Will continue to monitor”

Program Enrollment Type/Benefit Plan Conflict

UPHP MI Health Link Auto Enrollment

- Notify Supports Coordinator of issue with entering enrollment. Supports Coordinator will need to notify participant of issue and assist with disenrolling from UPHP MI Health Link.
- Enter Progress Note into COMPASS
- Attempted to enter MI Choice enrollment in CHAMPS. Status shows "scheduled to enroll in UPHP MHL on XX/XX/XXXX". Notified Supports Coordinator to notify participant of choice and if they choose to enroll in MI Choice they will need to call MI Enrolls. Will continue to monitor.
- Enter participant name and information on “Waiting on MA” spreadsheet and list participant under Eligibility Issues
- Check every day to see if there are changes
- Once participant is officially disenrolled, follow steps under Enrollment w/ Medicaid number

Program Enrollment Types

Hospice Enrollment

An exception to the single LOC rule is enrollment in both MI Choice and Hospice. A participant in the MI Choice program may receive Hospice services simultaneously. Individuals who are enrolled in both MI Choice and Hospice will have a PET code indicating this. PET codes for MI Choice are available in MDHHS policy bulletin 17-40. For additional information, refer to the Hospice chapter of the Medicaid Provider Manual, section 5.6.D. Participants who receive both MI Choice

and Hospice and/or Palliative Care services must have a coordinated PCSP. MDHHS may employ a post-payment review to monitor services. If MDHHS finds that inappropriate (i.e., duplicative) services were authorized, MDHHS seeks recovery of Medicaid funds paid for duplicative services from the waiver agency.

Medicaid Qualified Health Plans (QHP)

An applicant enrolled in a Medicaid Qualified Health Plan (QHP) must choose between MI Choice services or the QHP. A Medicaid recipient cannot receive MI Choice services and enroll in the Medicaid QHP. When an applicant chooses MI Choice the waiver agency will enter MI Choice enrollment information directly in CHAMPS.

Nursing Facility Admissions

When a MI Choice participant is admitted to a nursing facility from MI Choice, the waiver agency will enter the disenrollment information in CHAMPS. The MI Choice end date will be the day before the nursing facility admission. Waiver agencies are encouraged to verify nursing facility admission dates with the nursing facility. When a previous MI Choice participant is discharged from the nursing facility, the waiver agency may reenroll the participant on the day of the nursing facility discharge through the enrollment process in CHAMPS. This change does not affect the NFLOC determination requirements.

Hospital Admissions

A hospital admission is not an enrollment in a LOC service. Generally, waiver agencies do not provide MI Choice services, other than SC and possibly continuation of a personal emergency response system, to participants while hospitalized. A MI Choice participant admitted to a hospital may remain enrolled in MI Choice for up to 30 days. The waiver agency must provide the participant with an adverse benefit determination upon notification of a hospitalization if it is necessary for the agency to suspend MI Choice services during the hospitalization. When the participant is hospitalized for less than 30 days, the participant's services may restart upon discharge from the hospital. If the participant is discharged after 30 days, the participant may re-enroll in MI Choice using standard enrollment procedures. If a participant is admitted to a nursing facility from a hospital before the 30th day of hospital stay, the last MI Choice eligibility date is the day before the date of nursing facility admission.

Enrollment in MI Health Link

Individuals enrolled in MI Health Link cannot also enroll in MI Choice. Refer individuals to a Medicare/Medicaid Assistance Program counselor for options counseling before deciding to opt-out or disenroll from MI Health Link. Michigan ENROLLS handles all enrollments, disenrollments and opt-outs for MI Health link. All MI Health Link disenrollments are effective on the last day of a month. Normally this is the last day of the month of request. For requests made later in the month, the disenrollment may not take effect until the last day of the month following the month of request. Eligible individuals may enroll in MI Choice after the effective date of the MI Health Link disenrollment.

MI Health Link has nine PET codes depending upon the living arrangement of the individual. The PET codes and description are as follows:

- ICO-COMM: Enrolled in MI Health Link and residing in the community
- ICO-HCBS: Enrolled in the MI Health Link HCBS Waiver and residing in the community
- ICO-NFAC: Enrolled in MI Health Link and residing in a nursing facility (not a County Medical Care Facility)
- ICO-CMCF: Enrolled in MI Health Link and residing in County Medical Care Facility
- ICO-HOSH: Enrolled in MI Health Link and receiving hospice at home
- ICO-HOSR: Enrolled in MI Health Link and residing in hospice residence facility
- ICO-HOSW: Enrolled in MI Health Link HCBS Waiver and receiving hospice services at home

- ICO-HOSN: Enrolled in MI Health Link and receiving hospice services in a nursing facility
- ICO-HOSC: Enrolled in MI Health Link and receiving hospice services in a County Medical Care Facility

Waiver agencies will need to coordinate enrollments with the MI Health Link discharge to ensure the proper MI Choice PET sets on the day of MI Choice enrollment. MI Health Link discharges will be effective on the last day of the month.

Therefore, MI Choice should not enroll until the first day of the following month.

Disenrollment

Death

- Check participant status in COMPASS
- Confirm end date on status with the date on the disenrollment form – make sure dates match
- Search for participant using filters
- Select Discharge/Disenroll from participant’s drop-down menu on left
- Complete disenrollment section
 - Reason – death
 - Confirm dates and submit
- Select view details from drop down menu and the print it out
 - staple to disenrollment and file it

Nursing Home Placement

- Check participant status in COMPASS
 - Confirm end date on status matches – must end the day before NF admission
- Search for participant using filters
- Select Discharge/Disenroll from participant’s drop-down menu on left
- Complete disenrollment section
 - Reason – Involuntary
 - Select Nursing Facility for placement location
 - Confirm dates and submit
- Select view details from drop down menu and the print it out
 - Staple to disenrollment and file it

References

[Mi Choice Contract - Attachment C](#)
[Enrollments and Disenrollment Forms Mi Choice](#)

Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - No changes
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - No changes
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - No changes
- Revised 09/29/2023 – Internal Quality Committee Annual Review
 - Updated Care Managers to Supports Coordinators

		<h1>Vendor Billing</h1>	
Policy Number: 2022-44		Effective Date: 05/01/2022	Review Date(s): 09/10/2024; 04/23/2024; 03/18/2024; 09/29/2023; 10/06/2022
Reviewed by: Internal Quality Committee		Approved by: <i>Theresa LaFave</i>	Category: CT

Policy

It is the policy of UPCAP Services, Inc. that Case Techs process vendor billing according to procedures identified below. Vendors are required to follow the Purchase of Service Billing and Reimbursement section of their agreement.

A monthly report from the vendor is due at the local UPCAP Services, Inc. field office or via Vendor Billing from which the initial work order/service authorization originated no later than the tenth (10th) of each month following the month of service provision. Payments are made payable to the vendor within thirty (30) days of receipt of the vendors bill, provided it is received as specified and approved. Bills received after the tenth (10th) of the month may be delayed for one month and processed with bills received for the next month unless a special arrangement has been approved by the Director of Long-Term Care Programs.

Bills which are received in excess of three months from the month of service provision need approval from the Director of Long-Term Care Programs to be processed.

Vendors are required to maintain supporting documentation as defined in contract requirements for all services billed to UPCAP Services, Inc. and make such records available for review by UPCAP Services, Inc. upon request.

The amount to be reimbursed to the Vendor is established from the approved service authorization(s)/PCSP and contracted unit rates. At no time shall a Case Tech or other staff member approve billing above and beyond what is authorized without approval from a Supports Coordinator, followed up by a work order.

All Vendors are required to have an agreement and all required documentation on file prior to starting services for an individual.

Procedures

All bills from Vendors are sent to the local UPCAP Services, Inc. field office or via Vendor Billing as follows:

- Marquette Field Office – Alger, Delta, Marquette, Menominee and Schoolcraft Counties
- Iron Mountain Field Office – Dickinson, Iron, Gogebic and Ontonagon Counties
- Houghton Field Office – Baraga, Houghton and Keweenaw Counties
- Sault Ste. Marie Field Office – Chippewa, Luce and Mackinac Counties

Bills from Personal Emergency Response Vendors that serve all fifteen (15) counties of the Upper Peninsula are assigned to a Case Tech for processing by the Director of Long-Term Care Programs. Bills from Self-Determination Vendors are assigned to the Case Tech in Iron Mountain for Processing.

Paper Bills from Vendors

- For those Vendors that do not utilize Vendor Billing to process their billing, they are required to send paper invoices (approved by UPCAP, Services, Inc.) to the appropriate office. Once received the Case Tech must verify the bill contains the following information:
 - Participant Name
 - Month of service
 - Breakdown of units on days services were provided
 - Signature and date

For bills that are missing any of the above information the Case Tech is to contact the Vendor and request they resubmit a bill to include all required fields.

- Once bills are reviewed and contents are verified the Case Tech can enter the bills into COMPASS.
 - All bills must have an invoice number assigned. If the bill contains its own invoice number, that can be used. If the bill does not contain its own invoice number then one should be assigned using the month, year and vendor code. Example: June, 2021 Bills from Arcadia would be 062021AH00.
 - Verify the month/year currently being processed.
 - Enter the vendor code into the appropriate field and click search.
 - Click on the name associated with the bill you are entering. The allowed amount in the service authorization will pop up. Compare to the bill submitted by the vendor. Make any necessary changes according to what was billed on the invoice.
 - Be sure to watch for error messages that indicate a participant was not home (Hospital, NH, etc.) on a certain day(s). If the vendor is billing for services while someone was not home, the Case Tech should verify with the Supports Coordinator that the data is correct. If it is correct the bills on those days must be zeroed out and the invoice total adjusted. We are allowed to pay for services on the day the person was identified as not home and the day they return home, except for nursing home placement. If nursing home placement, request a work order from the Supports Coordinator for one day of service utilizing 221 funds. The date of nursing home placement should be billed to the 221 fund code.
- Once all bills are entered the Case Tech must verify the amount in the system matches the amount of the invoice. This can be done one of two ways:
 - COMPASS/Reports/Billing/Bill Posting, enter selection criteria and click run.
 - Compass/Reports/Expenditures, enter selection criteria and click run.
- Once it's verified the totals match what was invoiced the Case Tech can run the variance/exception report from COMPASS. If the totals do not match the Case Tech will have to determine where the error occurred and correct it.
 - The Case Tech will need to run the variance/exceptions report from COMPASS. This report will show a comparison of what is authorized in the care plan to what was billed. Identify those, if any, with a variance. If a variance is found the Case Tech must investigate the reason for the overage as follows:
 - Verify the work order entered was entered correctly. If not, make corrections as necessary
 - Contact the Vendor and request log sheets for the day of overage. The log sheets will verify services were rendered as billed. Log sheets should be sent to the Director of Long-Term Care to be reported on the Program Integrity Report as a random audit for a Vendor.
 - Notify the primary Supports Coordinator of the overage. It will be their responsibility to determine if it is approved or not. If approved the Supports Coordinator will provide a work order approving the overage. If not approved, adjust the bill to take

- off the extra units not authorized.
 - Notify the Vendor of any adjustments to bills.
- Once all bills are processed, reviewed and verified the Case Tech should run and print the expenditure reports from Compass (Reports/Services/Expenditures). Reports should include participant expenditures and expenditures by fund source and service. The reports are attached to the bills.
- Case Tech is to scan the reports and bills saving them using the month, year and vendor code (example: 062021AH00). The saved file is then sent to the Director of Long-Term Care Programs via secure email.
- The Director of Long-Term Care Programs reviews the bills submitted and then sends them to accounting for payment.

Vendor Billing System

- Once a vendor submits billing utilizing the Vendor Billing system, the assigned Case Tech will be responsible for reviewing the billing. Vendor Billing is set up to pull the authorized services and amounts from COMPASS so when the Case Tech reviews the billing it will have a side-by-side view authorized units/cost and posted units/cost.
- All bills must have an invoice number assigned. Case Techs should utilize the batch number assigned as the invoice number.
- Case Techs should pay attention to the Care Setting column, which will identify if bills were posted on a day the participant was not home. If the vendor is billing for services while someone was not home, the Case Tech should verify with the Supports Coordinator that the data is correct. If it is correct the bills on those days must be zeroed out and a note added under “agent note” as to why an adjustment was made. We are allowed to pay for services on the day the person was identified as not home and the day they return home, except for nursing home placement. If nursing home placement, request a work order from the Supports Coordinator for one day of service utilizing 221 funds. The date of nursing home placement should be billed to the 221 fund code.
- Once a batch of bills are verified, The Case Tech will need to run the variance/exceptions report from COMPASS. This report will show a comparison of what is authorized in the care plan to what was billed. Identify those, if any, with a variance. If a variance is found the Case Tech must investigate the reason for the overage as follows:
 - Verify the work order entered was entered correctly. If not, make corrections as necessary
 - Contact the Vendor and request log sheets for the day of overage. The log sheets will verify services were rendered as billed. Log sheets should be sent to the Director of Long-Term Care to be reported on the Program Integrity Report as a random audit for a Vendor.
 - Notify the primary Supports Coordinator of the overage. It will be their responsibility to determine if it is approved or not. If approved the Supports Coordinator will provide a work order approving the overage. If not approved, adjust the bill to take off the extra units not authorized.
 - Notify the Vendor of any adjustments to bills.
- Once all bills are processed, reviewed and verified the Case Tech should run and print the participant service report and batch fund source report out of Vendor Billing. The reports are attached to the bills.
- Case Tech is to scan the reports and bills saving them using the month, year and vendor code and batch number (example: 062021AH00 Batch #123456). The saved file is then sent to the Director of Long-Term Care Programs via secure email.
- The Director of Long-Term Care Programs reviews the bills submitted for accuracy and then sends them to accounting for payment.
- Step-by-step instructions for utilizing Vendor Billing can be found in the [Vendor Billing User Guide](#).

Cost Reconciliation

At the end of each month the Director of Long-Term Care Programs runs a year-to-date expenditure report by fund code for accounting. Accounting verifies the expenditures on the report to what was paid to vendors to ensure all amounts match. If amounts do not match accounting works with the Director of Long-Term Care to determine what is missing or if an overpayment was identified.

Record Retention

All bills, associated reports and documentation are to be saved on a secure drive by the Director of Long-Term Care Programs. Copies of bills processed by Case Techs can be shredded after three months.

Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - No changes
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - No changes
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - No changes
- Revised 09/29/2023 – Internal Quality Committee Annual Review
 - No changes

		<h1 style="color: #E67E22;">Provider Enrollment and Credentialing</h1>	
Policy Number: 2022-45	Effective Date: 10/01/2023	Review Date(s): 09/10/2024; 04/23/2024; 03/18/2024	
Reviewed by: Internal Quality Committee	Approved by: <i>Theresa LaFave</i>	Category: Admin SC	

Scope

UPCAP Services, Inc. ensures that all LTSS Providers providing long-term services and supports to participants meet the Michigan Department of Health and Human Services (MDHHS) provider requirements as well as any requirements or standards set forth by the Centers for Medicare and Medicaid (CMS).

Policy

It is the policy of UPCAP Services, Inc. that all LTSS Providers go through a pre-enrollment assessment that verifies compliance with standards, as well as continuous monitoring as required or needed. Eligible organizations can be public, private non-profit or profit-making service organizations.

Provider Enrollment

UPCAP Services, Inc. employees should refer any new or potential providers to the Director of Long-Term Care Programs. The Director of Long-Term Care Programs will work with the Quality Assurance Manager to begin the enrollment process.

All network providers contracted with UPCAP must be enrolled in the Michigan Medicaid Program through the State's Medicaid Management Information System (MMIS). UPCAP will verify and monitor the Medicaid enrollment status of its network providers to ensure compliance with regulatory requirements and facilitate the delivery of Medicaid services to beneficiaries. Monitoring activities may include periodic checks of the provider's Medicaid enrollment status through the State's MMIS and review of any updates or changes to the provider's enrollment status.

Qualifications for Providers

Providers wishing to enroll as a Direct Service Provider with UPCAP Services, Inc., must first meet the following criteria prior to implementation of a contract:

- Have at least six (6) months of active service delivery experience for the named business.
- Have a licensed RN on staff that can provide supervision and training to direct care workers (in-home services only)
- Written policies and procedures for the business (other than an Employee manual) are required for the following:
 - Participant confidentiality
 - Participant appeals/grievances
 - Participant feedback/evaluation

- Participant's rights and responsibilities
 - Reporting suspected abuse, neglect, exploitation or other critical incidents
 - Participant health, welfare and safeguards
 - Emergencies in participant's home
 - Personnel
 - Recruitment, training and supervision (includes reference checks, background checks, orientation, etc.)
 - Written procedures for governing medications
 - MDHHS Sanctioned Provider list screening
 - Maintaining participant records
- Sufficient insurance to indemnify loss of federal, state and local resources due to casualty or fraud. The following insurances are required for each provider:
 - Worker's compensation
 - Unemployment
 - Property and theft coverage
 - Fidelity bonding
 - No-Fault vehicle insurance (for agency owned vehicles)
 - General liability and hazard insurance
- Assurance of compliance with the following rules and laws:
 - Civil Rights Compliance
 - Nondiscrimination
 - Equal Employment
 - Drug Free Workplace
 - Americans with Disabilities Act
 - Standard Precautions

Direct Care Worker Qualifications

All employees providing direct care to participants must meet the following criteria:

- Be 18 years of age or older
- Reference Check
- Background Check
- Sanctioned Provider Screening
- Office of Inspector General and Systems of Award Management Screening
- Sex Offender Registry Check
- Be able to communicate effectively both orally and in writing and follow instructions

Training Requirements

All direct care providers must receive no less than two in-service trainings per year. The trainings must be designed to increase staff knowledge and understanding of the program and its participants, and improves staff skills at tasks performed in the provision of services. Records must include dates of training and topics covered in training.

All direct service provider staff must receive an orientation training that includes, at a minimum:

- Complete CPR & First Aide Training (training requirement, but not certification)
- Complete HIPAA training within 30 days of hire and annually thereafter
- Complete Fraud, Waste and Abuse training within 30 days of hire and annually thereafter
- Be trained in universal precautions and blood-borne pathogens

- Introduction to the MI Choice Waiver
- The Waiver agency’s grievance and appeal process
- Maintenance of records and files
- Emergency procedures
- Assessment and observation skills; and
- Ethics, specifically:
 - Acceptable work ethics
 - Honoring the MI Choice participant’s dignity
 - Respect of the MI Choice participant and their property
 - Prevention of theft of the MI Choice participant’s belongings

Selection of Provider to Furnish Services

UPCAP Services, Inc. works with participants to select service providers as needed on an individual basis according to the following criteria:

- Participant Preference
- Cost
- Accessibility
- Ability to provide quality service
- Comprehensive Care

Provider Meetings

In-Home Service providers are required to participate in monthly case conference meetings with Supports Coordinators as well as quarterly service provider meetings. For more information refer to the Provider Meeting Policy.

Quality Assurance and Monitoring

New providers are required to complete a pre-monitoring to ensure they are able to meet compliance prior to enrollment. Enrolled service providers are subject to quality assurance reviews conducted by UPCAP’s Quality Assurance Team. Quality Assurance monitoring’s are completed on an annual basis for 20% of enrolled providers. Providers are also subject to random audits for service provision to ensure services were provided as billed.

References:

- [Managed Care Requirements – Attachment C](#)
- [Medicaid Provider Manual – Providers](#)
- [Medicaid Provider Manual - MI Choice Waiver Section – Services](#)
- [Medicaid Provider Manual – MI Choice Waiver Section - Providers](#)

Policy History:

- Revised 09/10/2024 – Internal Quality Committee Review
 - No changes
- Revised 04/23/2024 – Internal Quality Committee Review - Waiver Contract updates
 - Updated language to include MMIS program and provider enrollment
- Revised 03/18/2024 – Internal Quality Committee Annual Review
 - No changes
- Revised 10/01/2023
 - New Policy Added

APPENDIX



CHART SET UP KEY



SECTION 1

Case File Summary
Nursing Facility Level of Care Determination
NFLOCD Supporting Documentation (if applicable)
Freedom of Choice
Cost Share Form (if applicable)
Waiver Enrollment & Disenrollment Forms Front page of MA Application – if SC filed CHAMPS
Eligibility Report
Initial Assessment Confirmation Letter
Screen/Referral Information Sheet – including 211 screen and Compass report

SECTION 2

Back Up Plan
Adverse Benefit Determination Forms
Well-Sky Forms (if applicable)
Consent and Authorization
Medical Consent and Release
Email Communication Consent Form
Text Communication Consent Form
Informed Risk Agreement – if applicable
Non-Compliance Letter – if applicable
Handbook Receipt Acknowledgement
Post Assessment Letter – Referral Source
Post Assessment Letter - Participant

POCKET 1

Medicaid Paperwork/Financial Verifications
Participant Labels

SECTION 3

Plan of Care Agreement
Care Plan Cost Review/Signed budget (Optional – “Dirty” Statuses-stamped)

SECTION 4

Work Orders

Residential Services Form – if applicable

AFC/HFA Participant Lease Agreement – if applicable

Home Modification Request Form- if applicable

Provider Bid Forms – if applicable

POCKET 2

“Clean” Current Status Form – COMPASS

Miscellaneous

SECTION 5

PCSP Signature Page Current Service Plan/PCSP

(Optional - “Dirty” Statuses – stamped)

SECTION 6

Physician Orders/Scripts

Hospital Discharge paperwork

Important Medical Documents

BRIEF Health Literacy Tool

DPOA/Guardianship Paperwork

SD Enrollment Form (one-page w/ start date of budget) SD Employment Agreement (s)

Back up Worker Agreement - if applicable

SD Worker Training Sign Off

Interdisciplinary Consultation Forms – if applicable

Chart Review

Changes to Participant's Services (PCSP)

Increasing an existing Mi Choice Waiver service:

- Contact Service Provider (s) to determine if increase in service is available:
- If available:
 - Update Work Order and submit to the Case Tech for entry
 - Note "Increase" in Reason for Work Order
 - Include the total hours and units for the service, not just the increased amount
 - Add the start date of the increase
 - Add progress note regarding reason for increase and submission of paperwork
 - Place date-stamped copy in UPCAP file

Adding New MI Choice Service:

- Contact Service Provider to determine if service is available:
- If service is available:
 - Update Work Order and submit to the Case Tech for entry
 - Note "New" in Reason for Work Order
 - Add the start date of the service
 - Place date-stamped copy in UPCAP file
 - Update Person-Centered Service Plan in COMPASS
 - Add new goal to address issue if not previously addressed
 - Add new service under Interventions and select the issues addressed
 - Add intended start date of new service
 - Print and send copy of PCSP and Service Summary to participant, informal supports, or any entity designated on PCSP
 - Copy for UPCAP file
 - Print Back-Up Plan
 - Send copy to participant, informal supports and any entity designated on PCSP
 - Copy for UPCAP file

****NOTE:** If the participant requests a service but it is unavailable due to a provider staffing issue or lack of provider in a service area, an Adverse Benefit Determination does not need to be sent to the participant. However, the Supports Coordinator must note participant's understanding in the progress notes as well as ongoing attempts to secure the requested service, no less than monthly.

Reducing or Canceling Service:

- If participant requests decrease or cancellation
 - Document participant request in the participant's case record
 - Ensure participant signature on the COMPASS PCSP, acknowledging the participants understands their right to refuse, end or suspend services at any time requiring the SC to take action immediately (no need for advance notice)
 - Send Adverse Benefit Determination to participant
 - Must specify the date, service type, frequency, and provider being reduced or cancelled and the reason (i.e. participant request)
 - Do not use abbreviations or acronyms
 - Keep copy for UPCAP file.
 - Contact Service Provider, by phone or email, of the participant's request to decrease or cancel
 - Provide them with stop dates
 - Update Work Order and submit to Case Tech for entry
 - Note "Decrease" or "Stop" in Reason for Work Order
 - If decreasing a service, include the total hours and units being authorized to continue; note details of decrease in instructions
 - Keep date-stamped copy in UPCAP file
 - Update Person-Centered Service Plan in COMPASS
 - Update Goals and Interventions
 - Add Resolved and End Dates when canceling a service
 - Print and Send updated copy to participant, informal supports or any entity designated on PCSP
 - Copy for UPCAP file
 - Print Back up Plan
 - Send copy to participant, informal supports or any entity designated on PCSP
 - Copy for UPCAP file

Denying Request for Increase or New Service:

- If the participant requests an increase in an existing service or a new service and the Supports Coordinator denies request:
 - Document contact in progress notes, making note that participant was informed of right to appeal decision.
 - Send Adverse Benefit Notice with internal appeal information to participant
 - Clearly identify reason for denial with supporting information
 - Do not use abbreviations or acronyms
 - Keep copy for UPCAP file

Initial Assessment/ New Participant Checklist (Mi-Choice Referrals)

Before Initial Assessment:

- Contact prospective participant BEFORE traveling to their home to confirm their availability and continued interest.
- Confirm prospective participant's understanding that they are able to invite anyone that they would like to have present for the assessment and care planning process.

At Initial Assessment:

- Introductions & Program Explanation
 - Verbal explanation of UPCAP & Mi-Choice Waiver Program
 - Review Eligibility Criteria:
 - Medical Eligibility
 - Must meet Nursing Facility Level of Care Criteria
 - Have needs that are consistent with nursing home level of care or risk of nursing home placement without services
 - Financial Eligibility
 - Review Income and Asset eligibility for Mi-Choice Waiver program
 - Review Estate Recovery pamphlet
 - If participant does not meet financial criteria for program, provide information on Care Management Program and/or private pay options
 - Need for at least 2 Waiver services, with one being Supports Coordination
 - If needs can be met through other available programs, these must be explored first (ex: Veterans Administration; Tribal Services; Adult Home Help) and documented

- Complete Nursing Facility Level of Care Determination (NFLOCD)
 - If participant meets medical eligibility criteria, complete Freedom of Choice Form (FOC) – signed by participant, or legal representative, designating Mi-Choice Waiver w/ date
 - If participant does not meet medical eligibility criteria, complete Freedom of Choice Form - (FOC) signed by participant or legal representative. Provide appropriate action notice and appeal information to participant, as well as optional resources if available.

If Eligible:

- Review Participant Handbook
 - Review Rights and Responsibilities
 - Review Service Providers; Self Determination program; HIPAA and Privacy; Person Centered Planning; Fraud, Waste, and Abuse; Critical Incident Reporting
 - UPCAP folder left w/ participant – remind them to keep and make note of location
- Complete Consent and Authorization Form
 - Signed by participant; spouse if applicable
 - Carbon copy left with participant; Original copy retained for UPCAP file
- Receipt of Handbook Signature Page
 - Signed by participant
 - Copy left with participant if requested; Copy retained for UPCAP file
- Medical Release Form
 - Signed by participant
- Confirm Information for UPCAP file:
 - Identification
 - Social Security Card
 - Medicare Card and/or other Insurance Card(s)
- Complete Full Assessment
 - Social Work Section – completed by SW
 - Registered Nurse Section – completed by RN
 - Add Caregivers
 - Add ALL active Medical Providers and Pharmacy
 - Add ALL Medications – RN must physically see and record

- Add all DME Equipment
 - Tour Participant's home
 - Make suggestions for DME equipment if needed
- Complete Person-Centered Service Plan (PCSP)
 - Confirm that the participant understands that they may request a formal Person-Centered Planning meeting at any time
 - Confirm that the participant understands that they have the right to designate individuals to receive a copy of their PCSP as well as individuals not authorized to receive a copy
 - Note preferences in COMPASS
 - Review participant's needs identified during the assessment and the services and supports available to meet those needs
 - Discuss MI Choice Waiver services requested by participant – type, amount, and frequency
 - Review available service providers for each service and make note of participant preference/participant choice of provider
 - Review available informal supports
 - If informal supports are available, confirm tasks and availability. Document agreement to continue informally without compensation. Confirm who is responsible for notifying informal supports of their responsibilities.
 - If no informal supports are available, note risks and discuss any concerns with participant
 - Review any risks and note plan to mitigate them
 - Note participant's preferences and strengths
 - Discuss desired goals and outcomes
 - Document any barriers to the participant meeting their goals.
 - Review all current services in the home – note type, amount, frequency, and provider
 - Grant-funded
 - Skilled services and therapies
 - Tribal services

- Complete Plan of Care Signature Sheet
 - Signed, dated, and initialed by participant
 - Signed and dated by informal support
 - Signed and dated by RN & SW Supports Coordinators
- If Participant Elects Self-Determination:
 - Discuss difference between FI & AWC
 - Complete Self-Determination Enrollment form and elect Representative if necessary
 - Complete Participation Information Sheet
 - Provide copies of Employee application for selected FI or AWC
 - Review need for copies of driver's license and social security card for potential employee
 - Provide potential employee with contact information and direction to send completed application directly to FI/AWC for processing
 - Discuss and determine pay rate
 - Discuss required SD employee trainings:
 - First Aid & CPR Training required – Training materials will be purchased by the Waiver
 - Explain that the participant is to keep and use the materials for any future employees as well
 - Fraud, Waste, and Abuse training required – Materials provided
 - Infection control training required – Materials provided; quiz
 - All trainings must be completed by SD employee within 30 days of hire; SC must file copy of SD employee training sign off in participant's file.

Financial Eligibility/ Medicaid

If Participant has Medicaid:

- Confirm Medicaid eligibility/program via CHAMPS
- Must still confirm income and assets even if Medicaid is active
 - Recommended that copy of income statement and assets are obtained for file

If Participant does not have Medicaid:

- SW SC to assist with completing Medicaid Application and gathering verifications/supporting documentation:
 - Can be completed at initial visit or additional visit scheduled
 - **NOTE:** Participant cannot be opened to the Mi-Choice Waiver until completed application is filed with MDHHS
 - Application can be completed online via MIBridges or a paper copy to be submitted at local MDHHS office
- All applicable documents/forms and verifications scanned or copied
 - If more documents are requested by MDHHS, SW SC to assist with getting documents
- Participant or Representative Signatures required on paper application
- Submit Medicaid Application and verifications to MDHHS
 - Include letter on UPCAP letterhead notifying eligibility specialist of review for Mi-Choice Waiver eligibility
 - Retain copy of application and all verifications kept for UPCAP file
- Follow up with participant regarding supplemental questionnaire – sent out immediately following receipt of initial application
 - Assist with completion and submit to MDHHS
- Follow up with local MDHHS office/specialist

Nursing Home Admission/Discharge

Nursing Home Admission:

- Contact Nursing Facility and confirm participant's admission date within 1 business day of notification
 - Review participant's need for admission with NF staff
 - Short Term/Rehabilitation stay vs long-term placement
- Contact Service Providers immediately, by phone or email
 - Notify of service cancellation
- Update Status Report and submit to Case Tech for entry
 - If a participant is closing due to long-term placement, Waiver closure date must be the day before admission. Participant must have status of Waiver-Ineligible for the day of admission/day of program closure.
 - If a participant is closing due to short-term/rehabilitation stay, Waiver closure date must be the day before admission. Program status must be Waiver-Ineligible for the length of time the participant is in the nursing facility.
- Complete Waiver Disenrollment form and email to Enrollment Specialist
 - Disenrollment date MUST be the day BEFORE admission to the Nursing Facility (must match COMPASS status report)
- Update work orders and submit to Case Tech for entry
 - CANCEL services – must match Waiver disenrollment date
 - If participant will be returning to the home (short-term stay) and would like to keep existing PERS unit, notify Director of LTC Programs and request use of 221 funds
- Complete Adverse Benefit Determination
 - Identify every Waiver Service being stopped, the provider, and the frequency as well as noting MI Choice Disenrollment.
 - Do not use abbreviations or acronyms
 - Mail copy to participant; place copy in UPCAP file

Participant Discharge Home (only existing participants):

- Coordinate with Nursing Home to determine discharge date and needs
 - Request discharge paperwork and facility notes
 - Meet with participant prior to discharge, if possible, to discuss services needed
- Contact Service Providers, by phone or email, to inquire re: available services
- NFLOCD and FOC must be completed on the day of discharge or up to 7 days before participant discharge and submitted to Case Tech to be entered on the day of discharge
 - Existing NFLOCD can be adopted by UPCAP if Supports Coordinator is not able to complete a new NFLOCD before discharge
 - Must notify Case Tech of request to adopt NFLOCD in CHAMPS
 - CHAMPS FOC will be populated and must be signed by the Supports Coordinator before services can start
- Participant must be contacted within 24 hours of discharge, or notification of discharge
- Full Return Reassessment and new NFLOCD & FOC must be completed within 7 days of discharge home
 - Reassessment must be completed by both SW and RN disciplines
 - Note medication reconciliation and review
 - Services can resume prior to the reassessment being completed to ensure safe transition home as long as NFLOCD and FOC have been completed and entered into CHAMPS
- Update Status Report and submit to Case Tech for entry
 - Waiver-Pending program status starting day of discharge
- Complete Waiver Enrollment form and email to Enrollment Specialist.
 - Waiver enrollment date is day of discharge (must match COMPASS status report)
- Complete START Work Orders and submit to Case Tech for entry
- Updated PCSP and Backup plan must be completed and mailed to participant and all other specified supports as outlined in the plan of care.
- Copy placed in participant file.

Participant Hospitalization

Participant Admission:

- Contact Hospital and confirm admission date within 1 business day
- Inform Agencies/Service Providers immediately, by phone or email, to Hold Services
 - Complete HOLD work orders or denote HOLD on current service summary and submit to Case Tech for data entry
 - Notify Skilled Agency - if applicable
- Complete Adverse Benefit Determination (ABD)
 - Identify and list each Waiver service, frequency of service, and service provider being placed on HOLD
 - No abbreviations may be used on ABD
 - Mail copy to participant; place copy in participant's file
- Update Status Report and submit to Case Tech for data entry
 - Identify Care Setting as Hospital and whether admission was "planned" or "unplanned"

Participant Discharge:

- Request Discharge Summary from Hospital
- Contact Service Providers by phone or email to notify of need to resume services
- Complete RESUME Work Orders or service summary denoting RESUME and submit to Case Tech for entry
- Contact participant within 1 business day of discharge
 - Discuss discharge orders and instructions
 - Make note of medications in participant file and update as needed
- Supports Coordinator must complete a home visit with the participant within 7 days of discharge
 - If the participant has experienced a change in condition, a full assessment will need to be completed at that time.

Hospitalization exceeding 30 days:

- Participant must be closed from the Mi-Choice Waiver
- Send advance Adverse Benefit Determination to the participant on 19th day of hospitalization stipulating that if hospital stay exceeds 30 days, the participant will be closed from Waiver.
- Update Status Report and submit to Case Tech for data entry
 - Designation of WA-Ineligible for program status starting on day 31
- Update Work orders to CANCEL services and submit to Case Tech entry
- Complete Waiver Disenrollment form and email to Enrollment Specialist
- Continue to maintain contact with the participant and hospital staff to coordinate potential re-enrollment in the MI Choice Waiver upon discharge

New Participant Checklist (MI Choice)

After Initial Assessment: Waiver only

- Complete Assessment in COMPASS
 - Must be completed within 2 business days of in-person visit
 - Update Progress Notes w/ Summary
 - See charting examples
- Complete Person-Centered Service Plan in COMPASS
 - Must be completed within 5 business days of in-person visit
 - Services can NOT start before PCSP is finalized
 - Ensure all goals are linked to appropriate interventions
 - Make sure informal caregivers/supports are listed under Informal interventions
- Review with other SC discipline and finalize assessment (within 7 days for assessment)
 - Assessment must be finalized before services can begin
- Complete Participant Case File in COMPASS
 - Ensure Address, County, Phone Number, DOB, and Social Security Number are correct
 - Complete Medicare Plan Information and Effective dates
 - Enter due dates
- Submit completed NFLOCD and FOC to Case Tech
 - NFLOCD must be entered within 14 days of completion
 - If not submitted within 14 days of the completion of NFLOCD, a new NFLOCD, FOC, and COMPASS Assessment must be completed before participant can be opened to the Mi-Choice Waiver
- Submit completed COMPASS Status Report to Case Tech:
 - Waiver-Pending designation if participant is already on Medicaid
 - Care Management (ACLS-CM) designation if waiting for Medicaid application to be submitted to MDHHS
- Email Waiver Enrollment form to Enrollment Specialist
 - Do not submit until Mi-Choice Waiver date is confirmed
 - Complete Enrollment form in its entirety
 - Date of Waiver Enrollment must be the same as Waiver-Pending date
 - Submit copy of emailed Waiver Enrollment to Case Tech with NFLOCD

- Mail Referral Source Notification/Letter – place copy in file
- Mail or fax Dr. Letter and Medical Release with copy of COMPASS Medication and Allergy Report
- Contact Agencies for Service Availability
 - Document attempts to arrange services in progress notes
- Submit Supervisory Care Plan Review/Budget to Regional SC Supervisor or Director
 - SC can NOT start services until form is signed and returned
 - Place signed copy in file
- Once Care Plan Budget received:
 - Submit Work Orders for data entry
- Send Back-up Plan to participant and those designated on the care plan
 - Place copy in file
- Send finalized copy of the Person-Centered Service Plan to participant and those designated on the care plan
- Participant must be contacted within 14 days of the start of services:
 - Complete Two-week Service follow up in COMPASS progress notes
 - Review service start dates and satisfaction

If Self Determination

- Forward Participant Information Sheet - if AWC
- Forward Self Determination Enrollment to FI or AWC
- Complete work order for World Point training materials and submit for data entry
- Once kick-off is completed and Employment Agreement (EA) is received, submit work order to Case Tech. Place EA in chart
- Request copy of employee training sign off sheet for file

New Participant Checklist (Care Management)

After Initial Assessment: Care Management

- Complete Assessment in COMPASS
 - Must be completed within 2 business days
 - Update Progress Notes w/ Summary
 - See charting example
- Complete Person-Centered Service Plan in COMPASS
 - Must be completed within 5 business days – cannot start services before finalized
 - Ensure all goals are linked to appropriate interventions
 - Make sure informal caregivers/supports are listed under Informal interventions
- Review with other SC discipline and finalize Assessment
- Complete Participant Case File
 - Ensure Address, Phone Number, DOB, and Social Security Number are correct
 - Complete Medicare Information and Effective dates
 - Enter due dates
- Submit completed COMPASS Status Report to Case Tech
 - Care Management designation (ACLS-CM)
- Submit completed Well-Sky form to Mary Ross-Dubord
- Mail or fax Dr. Letter and Medical Release
- Mail Referral Source Notification/Letter – place copy in file
- Complete Cost Share Worksheet
 - Notify participant of cost share amount
 - Submit to Director of LTC Programs for billing
- Request optional funding (202) funding if appropriate
 - Submit 202 Funding Request form and Care Plan Cost Review to Director of LTC Programs
- Contact agencies for available grant services or resources – make referrals
- Assist with creating budget and arranging for privately paid services if appropriate

Participant Re-Assessment/PCSP Review Checklist

At 90-day Reassessment:

- Review NFLOCD eligibility:
 - If Medically Eligible
 - Continue with Reassessment, no need to complete new NFLOCD
 - If No Longer Medically Eligible
 - Complete NFLOCD with participant
 - Write up Door 0 summary to be submitted with NFLOCD
 - Inform participant of closure and right to appeal decision through secondary review and/or State Fair Hearing
 - Mail Advance Action Notice citing closure due to NFLOCD
 - Case closure and service stop date is set 10 days from determination of ineligibility
 - Assist in discharge planning
 - Refer the participant to alternate agencies to assist with services. Make referrals on participant's behalf if requested
- Complete Assessment in COMPASS
 - All Sections - RN & SW
 - Medication section must be checked by the RN SC.
- If SW SC completed the full reassessment, RN SC must document a review of the entered medications in the participant record
- Review Person-Centered Plan of Care
 - Review Goals – update with date
 - Note any barriers to the participant meeting their goals
 - Review Interventions
 - Participant signs paper copy of current PCSP
- Plan of Care Signature Sheet
 - Signed by both Participant and Supports Coordinator
- Review Participant Handbook and discuss in more detail

At Annual Reassessment:

- Complete Annual NFLOCD
 - If Medically Eligible
 - Submit Annual NFLOCD to Case Tech for data entry
 - FOC must be completed and signed by participant or legal representative
 - If No Longer Medically Eligible
 - Write up Door 0 summary to be submitted with NFLOCD
 - Inform participant of closure and right to appeal decision through secondary review and/or State Fair Hearing
 - Mail Advance Action Notice citing closure due to NFLOCD
 - Case closure and service stop date is set 10 days from determination of ineligibility
 - Assist in discharge planning
 - Refer the participant to alternate agencies to assist with services. Make referrals on participant's behalf if requested
- Complete Assessment in COMPASS
 - All Sections - RN & SW
 - Medication section must be checked by the RN SC.
 - If SW SC completed the full reassessment, RN SC must document a review of the entered medications in the participant record
- Review Person-Centered Plan of Care
 - Review Goals – update with date of assessment
 - Note any barriers to the participant meeting their goals
 - Review Interventions
 - Participant signs paper copy of PCSP
- Plan of Care Signature Sheet
 - Signed by both Participant and Supports Coordinator
- Complete Annual Consent and Authorization
 - Original Copy for UPCAP file
 - Carbon copy provided to participant
- Review Agency Folder and contents
 - Review of Rights and Responsibilities and Participant Handbook
 - Review Critical Incidents and how to report them
- Review Back up Plan

Person Centered Service Plan Review

180 Day In-Person Review:

- Review Person-Centered Plan of Care in person
 - Review Goals w/ participant– update COMPASS PCSP with date
 - Note any barriers to the participant meeting their goals
 - Review Interventions with participant
 - Participant signs paper copy of PCSP for Participant File
- Provide Participant with paper copy of Person-Centered Service Plan and Service Summary
- Update Progress Notes
 - Type: Person-Centered Planning Meeting

DECISION GUIDE FOR NOTICES AND ADVERSE BENEFIT DETERMINATIONS

<u>Action taken by Waiver Agency</u> Applicant = NOT ENROLLED IN MI CHOICE Participant = ENROLLED IN MI CHOICE	<u>Adequate Notice</u>	<u>Advanced Notice</u>	<u>Adverse Benefit Determination</u> <small>Sent 10 Days Before Date of Action</small>	<u>Adverse Benefit Determination</u> <small>Sent By The Date of Action</small>
Applicant does not meet nursing facility level of care	X			
Applicant placed on the MI Choice waiting list	X			
Applicant not enrolled in MI Choice after an assessment (regardless of reason)	X			
Services terminated per participant request			X	
Services terminated per participant request and participant signs a written statement requesting termination				X
Services reduced after an assessment because no longer medically necessary			X	
Waiver agency does not honor participant request for additional services (either type or quantity)				X
Services terminated because of NF placement				X
Services terminated because no longer eligible for Medicaid				X
Enrolled participant no longer meets the nursing facility level of care. (See note on page 3 regarding special provisions during the COVID-19 Public Health Emergency.)		X		
Services terminated because no longer meet NFLOC			X	
Services terminated because participant died				X
Services suspended because waiver agency could not find a provider and the last provider quit			X	
Services reduced per participant request			X	
Services reduced per participant request and participant signs a written statement requesting the reduction.				X
Services suspended because participant in hospital				X
Services terminated because participant does not meet criteria for receiving them			X	
Services terminated because participant does not meet criteria for receiving them and the participant signs a written statement indicating they understand the reason for terminating the services.				X

DECISION GUIDE FOR NOTICES AND ADVERSE BENEFIT DETERMINATIONS

<u>Action taken by Waiver Agency</u> Applicant = NOT ENROLLED IN MI CHOICE Participant = ENROLLED IN MI CHOICE	<u>Adequate Notice</u>	<u>Advanced Notice</u>	<u>Adverse Benefit Determination</u> Sent 10 Days Before Date of Action	<u>Adverse Benefit Determination</u> Sent By The Date of Action
Services reduced for any other reason			X	
Services terminated for any other reason			X	
Services suspended because participant is temporarily leaving service area.			X	
Services suspended because participant is temporarily leaving the service area and signs a written statement that they understand services must be suspended for this reason.				X
Denial to furnish services outside of the provider network when no in network provider is available to deliver or furnish the service.			X	
Services terminated because the participant's address is unknown based upon returned mail with no forwarding address.				X
Waiver agency changes a service, but not the amount of service authorized (e.g. change Chores from snow plowing to lawn mowing or CLS from one agency to another)	n/a	n/a	n/a	n/a
Waiver agency denies a request to add a service to a self-determination budget and does not provide the service through traditional methods				X
Waiver agency reduces the amount of the self-determination budget before the budget period ends.			X	

DECISION GUIDE FOR NOTICES AND ADVERSE BENEFIT DETERMINATIONS

NOTES:

- Adverse Benefit Determinations allow 60 days for the individual to file an internal appeal.
- Services must continue until the waiver agency makes a hearing decision when **ALL the following occur:**
 - The participant files a request for an internal hearing before the date of action, **AND**
 - The appeal involves the termination, reduction, or suspension of services that are in place, **AND**
 - The period covered by the original authorization has not expired, **AND**
 - The participant requests that services do not change until after a decision is rendered.
- The waiver agency has 30 days from the date the appeal was filed in writing to make a hearing decision.
- If the waiver agency does not make a hearing decision within 30 days, the appellant may request a State Fair Hearing.
- The waiver agency must send the appellant a Notice of Resolution upon making a hearing decision.
- The participant will have 120 days from the date of the Notice of Resolution to file a Request for a State Fair Hearing.
- The participant can request that services continue while awaiting the State Fair Hearing.
- The appellant may request an expedited internal appeal. Waiver agency must have hearing within 72 hours.
- The waiver agency may request an extension on hearing decision. This must be in the appellant's best interest. Must get approval from MDHHS.
- **As a reminder, for the duration of the COVID-19 Public Health Emergency, please refer to Provider L-Letter 21-66 for details about using the LOCD Secondary Review Process for Door 0 LOCD's to establish the Door 8 COVID-19 frailty exception.**

Prepared for MDHHS by Elizabeth Gallagher, MPA
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L Letter 21-66.pdf

Acronym List

Prepared by LaFaveT 10/11/2022

Page 1

Acronym	Defintion
@	At
24/7	24 hours/7 days per week
4AM	Area Agencies on Aging Assn of MI
a	Before
A & O	Alert and Oriented
A.A.	Alcoholics Anonymous
a.c.	Before Meals
A.M. or a.m.	Morning
AAA	Area Agency on Aging
AASA	The Aging and Adult Services Agency (formely OSA)
AARP	American Assn of Retired Persons
Abd. or abd.	Abdomen
ABT	Antibiotic
acct.(s)	Account(s)
ACLS	Aging, Community Living, and Supports Bureau
AD	Alzheimer's Disease
Ad lib	As desired
ADA	Americans with Disabilities Act
ADC	Adult Day Care
ADD	Attention Deficit Disorder
ADHD	Attention Deficit Hyperactivity Disorder
ADL	Activities of Daily Living
adm	Admission
adm.	Administrative
ADP	Adult Day Program
ADRC	Aging and Disability Resource Center
ADS	Adult Day Service
AFC	Adult Foster Care
AG	Attorney General
AH	Administrative Hearings
AHH	Adult Home Help
AHR	Authorized Hearing Representative
AILS	Adult Independent Living Services
AIP	Annual Implementation Plan
AIS	Aging Information System
ALF	Assisted Living Facility
ALJ	Administrative Law Judge
ALS	Amyotrophic Lateral Sclorosis
AMA	Against Medical Advice
AMAP	As much as possible
AMCAB	Alger Marquette Community Action Board
AmLeg	American Legion
amt	Amount
Ans	Answered
AoA	Administration on Aging
AP	Assistance Payment
appl.	Application
appt.	Appointment
APS	Adult Protective Services
AQAR	Administrative Quality Assurance Review
ASA	Aspirin or American Society on Aging
ASAP	As Soon As Possible
ASHD	Arteriosclerotic Heart Disease
ASL	American Sign Language
ASM	Autism Society of Michigan
ASW	Adult Services Worker

Acronym	Defintion
ATT	At This Time
avail	Available
B or bro	Brother
b.i.d.	2x day or Twice a Day
b4	Before
BAMC	Bay Area Medical Center
BCBSM	Blue Cross/Blue Shield of Michigan
BCHC	Baraga County Home Care
BCHH	Baraga County Home Helpers
BCMh	Baraga County Memorial Hospital
BEM	Benefit Eligibility Manual
BFD	Barrier Free Design
BI	Brain Injury
BIA	Bureau of Indian Affairs
BIA	Brain Injury Association
BJA	Below knee amputation
BM	Bowel Movement
BMH	Bell Memorial Hospital
BP	Blood Pressure
BR	Bathroom
BS	Blood Sugar
BSC	Bedside Commode
BSHN	Bay Shore Home Nursing
BSW	Bachelors in Social Work
c	With
C	Centigrade
C.B.C.	Complete Blood Count
c/o	Complained of or Care of
CA, Ca	Cancer
CAA	Community Action Agency
CAD	Coronary Artery Disease
cap	Capsule
CAP	Community Action Program
CAPS	Client Assessment protocols
CAR	Case Assessment Review
cath	Catheter
cc	Cubic Centimeters
CC	Care Conference
CC	Clinical Coordinator
CCC	Credit Counseling Center
CCHD	Chippewa County Health Department
CCMHS	Copper Country Mental Health Services
CCMPCB	Chippewa Co Multipurpose Coll Body
CCSS	Case Coordination & Support Standard
CD	Certificate of Deposit
CEO	Chief Executive Officer
CFO	Chief Financial Officer
CFR	Code of Federal Regulation
CHAMPS	Community Health Automated Medicaid Processing System
CHF	Congestive Heart Failure
chol.	Cholesterol
CIL	Center for Independent Living
CIO	Chief Information Officer
CIS	Client Information System
ck	Check
clt. (ct.) or cl.	Client
cm	Centimeter

Acronym	Defintion
CM	Care management
CM(s)	Care Manager(s)
CMH	Community Mental Health
CMIS	Client Mgt Information System
CMS	Congregate Meal Standard or Centers for Medicare & Medicaid Services
CNA	Certified Nursing Assistant
CNS	Central Nervous System or Corporation for National Service
CO	Carbon Monoxide
Co.	County or Company
COA	Commission on Aging/Council on Aging
Cont.	Continue
COPD	Chronic Obstructive Pulmonary Disease
CP	Care Plan
CP	Cerebral Palsy
CPHA	Community Public Health Agency
CPS	Child Protective Svc / Care Plan Sketch
QCAR	Clinical Quality Assurance Reviews
CR	Caregiver Respite (state)
CS	Chore Service
CSA	Commission on Services to the Aging
CSR	Customer Service Record
CSS	Catholic Social Services
CSV	Cash Surrender Value
CTA	Clear to Auscultation
CTS	Client Tracking System
CVA	Cerebrovascular Accident
CX	Canceled
d.c.	Discontinue
D/C or dc; dc'd	Discharge (d)
D/T	Due To
DC	Day Care
DCH	Dept of Community Health
DCHD	Dickinson County Health Department
DCHS	Dickinson Count Healthcare System
DCIS/CIS	Dept of Consumer & Industry Services
DCW	Direct Care Worker
DD	Developmentally Disabled
DDC	Developmental Disabilities Council
DDI	Developmental Disabilities Institute
DDS	Doctor of Dentistry
Dept.	Department
DHHS/HHS	U.S. Dept of Consumer & Industry Svc
DHS	Dept of Human Services (formerly FIA)
DHS AHH	Department of Human Services Adult Home Help
Diff.	Different
DIL	Daughter-In-Law
DJV	Degenerative Joint Disease
DM	Diabetes Mellitus
DMB	Department of Management and Budget
DME	Durable Medical Equipment
DNR	Do Not Resuscitate
DOB	Date of Birth
DoE	Department of Education
DOJ	Department of Justice
DoL	Department of Labor
DoT	Department of Transportation
DPH	Department of Public Health

Acronym	Defintion
DPOA	Durable Power of Attorney
DR	Dining Room
Dr.	Doctor
drsg. , dsg	Dressing
DSP	Direct Service Provider
dtr	Daughter
DV	Domestic Violence
Dx	Diagnosis
e.g. , Ex., ex	Example
EASS	Elder Abuse Service Standard
ECF	Extended Care Facility
ECG, EKG	Electrocardiogram
ED	Elderly & Disabled
EENT	Eye, Ears, Nose & Throat
EHHS	Expanded Home Help Services
EI	Emotionally Impaired
Elec. Med Disp.	Electronic Medication Dispenser
ELM	Elder Law of Michigan
ER	Emergency room
ES	Eligibility Specialist
et al.	And Others
F (F)	Father or Fahrenheit
F/U or f/u	Follow-up
FA	Family Assistance
FAP	Food Assistance Program
FAX	Facsimile
FBS	Fasting Blood Sugar
FC	Foster Care
FCC	Federal Communications Commission
FGP	Foster Grandparent Program
FHA	Fair Housing Authority
FI	Fiscal Intermediary
FIA	Family Independence Agency
FIL	Father-in-law
fld	Fluid
FOIA	Freedom of Information Act
FU	Finlandia University
FV	Face Value
FX	Fracture
FY	Fiscal Year
g'dtr	Granddaughter
g'son	Grandson
gal	Gallon
GAO	General Accounting Office
GF/GP	General Fund/General Purpose
GI	Gastrointestinal
GLHM, GL	Great Lakes Home Medical
Gm	Gram
GNHC	Great Northern Home Care
gr.	Grain
gtt(s)	Drop(s)
H & P	History and Physical
h (hr)	Hour
h.a.n.d.s.	HIV/AIDS Network & Direct Service
h/o	History Of
HA, HMK	Homemaker Aide
HAB	Habilitation Waiver

Acronym	Defintion
HAS	Health Systems Agency
Hb (Hgb)	Hemoglobin
HBH or HBHA	Hiawatha Behavioral Health Authority
HCBS/ED	Home & Community-Based Services for the Elderly and Disabled Waiver - commonly known as MI Choice
HCBW	Home Care Based Waiver
HCBWS	Home Care Based Waiver Services
HCFA	Health Care Financing Administration, US Dept. of Health and Human Services
HCMCF	Houghton County Medical Care Facility
HCPCS	Health Care Procedure Codes
Hct.	Hematocrit
HDM	Home Delivered Meals
HFA	Home for the Aged
Hg	Mercury
HHA	Home Health Aide
HHAS	Home Health Aide Standard
HHS	Handheld Shower
HHS	Home Help Services
HI	Hearing Impaired
HIPPA	Health Ins Portability & Accountability Act
HIV	Human Immunodeficiency Virus
HMO	Health Maintenance Organization
HNJH	Helen Newberry Joy Hospital
HOH	Hard of Hearing
Hosp.	Hospital
HR	House Bill (federal)
HR	Hip Replacement
hr.	Hour
HS (h.s.)	Hour of Sleep or Homemaking Std
HSS	Health Screening Standard
ht	Height
HTN	Hypertension
HUD	Housing and Urban Development
HV, hv	Home Visit
Hx	History
I & O	Intake and Output
I & R	Information and Referral
I&A	Information and Assistance
I&R	Information and Referral
i.e.	That Is
I.M.	Intramuscular
IADL	Instrumental Activities of Daily Living
IADL	Independent Activities of Daily Living
IAW	In Accordance With
IC	In Compliance
ICHD	Iron County Health Department
ICU	Intensive Care Unit
ID	Identification
IDDM	Insulin Dependent Diabetes Mellitus
IL	Independent Living
ILP	Independent Living Plan
inct. Suppl.	Incontinence supplies
Ind.	Independent
inj	Injection
IoG	Institute of Gerontology
IPS	Individual Plan of Service
IRA	Individual Retirement Account
IV	Intravenous

Acronym	Defintion
IVP	Intravenous Pyelogram
K	Potassium
KBIC	Keweenaw Bay Indian Comm
Kg	Kilogram
KHN(H)	Keweenaw Home Nursing & Hospice
KMS	Kline Medical Supply
L	Left (Liter)
Lab	Laboratory
LAS	Legal Assistance Standard
lb.	Pound
LBSW	Licensed Bachelor Social Worker
LCA	Local Contract Agency
LEP	Limited English Proficiency
LI	Life Insurance
liq	Liquid
LL	Life Line
LMAS	Luce, Mackinaw, Alger, Schoolcraft Health Department
LOC	Level of Care or Loss of Consciousness
LOCD	Level of Care Determination
LOS	Length of Stay
LPN	Licensed Practical Nurse
LR	Living Room
LSP	Legal Services Program
LSSU	Lake Superior State University
LTC	Long Term Care
LTCOP	Long Term Care Ombudsman Program
LTML	Long Term Memory Loss
LV	Living Room
M	Mother
MA	Medicaid or Masters
MA	Medical Assistance
MA HMO	Medicaid Health Maintenance Organization
MA ID#	Medicaid Recipient Identification Number
MACIL	MI Association of Centers for Ind Living
MADSA	Michigan Adult Day Services Assn
MAS	Marquette Aging Services
MC	Medicare
MCB	Michigan Commission for the Blind
MCCA, MCCOA	Marquette Commission on Aging
MCDC	MI Commission on Disability Concerns
MCF	Medical Care Facility
MCO	Managed Care Organization
MCQC	Michigan Campaign for Quality Care
MD	Medical Doctor
MDHHS	Michigan Department of Health and Human Services
MDRC	Michigan Disability Rights Coalition
MDS-CAA	Menominee-Delta-Schoolcraft Community Action Agency
MDS-HC	Minimum Date Set for home care
meds	Medications
mEq	Milliequivalent
MFP	Money Follows the Person
mg	Milligram
MGHH(& H)	Mqt Genl Home Health (& Hospice)
MH	Mechanical Help or Mental Health MHSCC
MI	Myocardial Infarction or Mentally Ill
MIACoA	MI Indian Advisory Council on Aging
MICHOICE	MI Home & Community Based Waiver

Acronym	Defintion
MICIS	Michigan Choice Information System
MIL	Mother-in-law
min	Minute
MIS	Managed Information System
MIWorks!	Michigan Works
ml	Milliliter
MLSC	Michigan Legal Services Corporation
mm	Millimeter
MMAP	Medicare/Medicaid Assistance Program
MMIS	Medicaid Mgt Information System
MMS	Medication Management System
mo.(s)	Month(s)
MOM	Milk of Magnesia
MOU	Memo of Understanding
MOW	Meals on Wheels
MPAS	Michigan Protection and Advocacy Services
MPRO	Michigan Peer Review Organization
MQCCC	MI Quality Community Care Council
MRSA	Methicillin-Resistant Staphylococcus Aureas
MS	Multiple Sclerosis
MSA	Medical Services Administration
MSAC	Michigan Senior Advocates Council
msg	Message
MSG	Michigan Society of Gerontology
MSH	Mackinaw Straights Hospital
MSHDA	MI State Housing Development Auth
MSW	Masters of Social Work
mtg.	Meeting
MTU	Michigan Technological University
MYP	Multi-Year Plan
N & V	Nausea & Vomiting
N/A, n/a	Not Applicable
N4A	Nat'l Assn of Area Agencies on Aging
NA	Narcotics Anonymous
NAPIS	Nat'l Aging Prog Information System
NAS	No Added Salt
NASUA	Nat'l Assn of State Units on Aging
NASW	National Association of Social Workers
NC	Not in Compliance
NCBA	National Center on Black Aged
NCOA	National Council on Aging
NCSC	National Council of Senior Citizens
neg	Negative
NF	Nursing Facility
NFA	Notification of Financial Assistance
NFCSP	Nat'l Family Caregiver Support Program
NFLOC	Nursing Facility Level of Care
NFLOCD	Nursing Facility Level of Care Determination
NFTI	Nursing Facility Transition Initiative
NFTS	Nursing Facility Transition Services
NG	Nasogastric
NH	Nursing Home
NIA	National Institute on Aging
NIDDM	Non-insulin Depdt Diabetes Mellitus
NISC	National Institute of Senior Citizens
NKA	No Known Allergies
NM	Never Married

Acronym	Defintion
NMU	Northern Michigan University
noct. (noc)	Night
NPO	Nothing By Mouth
NWHN	North Woods Home Nursing
O	Zero/None/No
O.R.	Operating Room
O2	Oxygen
OAA	Older Americans Act
OAVP	Older American Volunteer Program
OC	Option Counselor
OCR	Office of Civil Rights
OHDS	Office of Human Development Services
OIG	Office of Inspector General
OLOM	Our Lady of Mercy Nursing Home
OMH	Ontonagon Memorial Hospital
OOB	Out Of Bed
OOT	Out Of Town
OP	Out Patient
Ophth	Ophthalmology
Ortho	Orthopedics
os	Opening
OS	Outreach Standard
OSA	Office of Services to the Aging
OT	Occupational Therapy
OTC	Over The Counter
OWL	Older Women's League
oz	Ounce
p	After
P	Pulse
p.c.	After meals
P.C. (PCA), PC	Personal Care (Aide)
p.m. (PM) or p	Afternoon
p.o.	By Mouth
p.r.n., PRN	As needed
PA	Prior Authorization
PA	Public Act
PAM	Program Administrative Manual
PAS	Pre-Admission Screening
PASS	Plan to Achieve Self-Sufficiency
PCN	Penicillin
PCS	Personal Care Services (Standard)
PCSP	Person Center Service Plan
Pd or pd	Paid
PDN	Private Duty Nursing
PDS	Physical Disability Services
PERLA	Pupils Equal and Reactive to Light and Accommodation
PERS	Personal Emergency Response System
ph	Phone
PHI	Protected Health Information
PHR	Portage Health Resources
Phys.	Physician
PI	Participant Information or Program Instruction
POA	Power of Attorney
POC	Plan of Care
pos or +	Positive
postop	Postoperative
PPA	Patient Pay Amount

Acronym	Defintion
PPB	Prepaid Burial
preop	Preoperative
prep	Preparation
PRM	Program Reference Manual
PRR	Program Revision Request
prt.	Participant
PS	Protected Services
PSA	Protected Spousal Amount or Planning and Service Area
PSL	Provider Service Log
PSP	Physician's Sponsored Plan
PT	Physical Therapy
PT	Prothrombin Time
pt.	Patient
PTS	Participant Tracking System
PVD	Peripheral Vascular Disease
PY	Program Year
Q	Every
q.h.s.	At Bedtime
q.i.d.	Four Times A Day
q.o.d.	Every Other Day
q.o.m.	Every Other Month
QA	Quality Assurance or Quality Analyst
QC	Quality Control
qh	Every Hour
QMB	Qualified Medicare Beneficiary
qowk	Every Other Week
qt.	Quart
R (Rt.), r	Right
R/C	Returned Call
R/O	Rule Out
R/T (r/t)	Related To
RAI-HC	Resident Assessment Instrument for Home Care
RBC	Red Blood Cell
RBS	Random Blood Sugar
RCS	In-Home Respite Care Standard
RCSC	Real Choice Systems Change
Re	Regarding
Rec'd	Received
Rep.	Representative
RFP	Request For Proposal
RN	Registered Nurse
RO	Regional Office
ROI	Release of Information
ROM	Range Of Motion
RSDI	Retirement, Survivors, Disability Insurance
RSVP	Retired & Senior Volunteer Program
RSW	Registered Social Worker
RT	Respiratory Therapist
RUG	Resource Utilization Group
Rx or trxt	Treatment or Prescription
s	Without
S & S (s/sx)	Signs and Symptoms
S (S)	Son or Sign
S/P	Status Post
SA	State Assistance
SAC	State Advisory Council
SAIL	Superior Alliance of Independent Living

Acronym	Defintion
SB	Senate Bill (state)
SBA	Skilled Bath Aide or Stand by Assistance
SC	Supports Coordinator
sc (sq) (sub q)	Subcutaneous
SC(s)	Supports Coordinators
SCI	Spinal Cord Injury
SCP	Senior Companion Program
SCSEP	Senior Comm Svc Employment Prog
SCSS	Senior Center Staffing Standard
SD	Self Determination
SDA	State Disability Assistance
SE	Side Effects
SEAQRT	Senior Exploitation and Abuse Quick Response Team
SFH	St. Francis Hospital
SFHH	St. Francis Home Health
SGA	Statement of Grant Award
SIL	Son-in-law
SILC	State Independent Living Council
SLMB	Specified Low-Income Medicare Beneficiaries
SLP	Speech Language Pathologist or Speech Therapist
SNAFU	Situation Normal All Fouled Up
SNF	Skilled Nursing Facility
SNU	Skilled Nursing Unit
SOB	Shortness of Breath
spec	Specimen
SR	Senate Bill (federal)
SR	Service Request
ss or 1/2	One Half
SSA	Social Security Administration
SSDI	Social Security Disability Insurance
SSI	Supplemental Security Income
SSN	Social Security Number
ST	Speech Therapy
staph	Staphylococcus
stat	Immediately
STML	Short Term Memory Loss
strep	Streptococcus
SUA	State Unit on Aging
supp; supp.	Suppository
SW	Social Worker
T	Temperature
t.i.d.	Three Times Per Day
t.o.	Telephone Order
T/C (T.C)	Telephone Call
TA	Technical Assistance
TB	Tuberculosis
TBI	Traumatic Brain Injury
Tbsp. (T)	Tablespoon
TCM	Targeted Case Management
TIA	Transient Ischemic Attack
TIP	Temporarily Ineligible Participant
TLC	Tender Loving Care
TPL	Third Party Liability
TPN	Total Parental Nutrition
TPR	Temperature, Pulse, Respiration
TRC	Targeted Respite Care
TS	Transportation/Escort Standard

Acronym	Defintion
TSH	Thyroid Stimulating Hormone
tsp. Or t	Teaspoon
TSR	Tobacco Settlement Respite (state)
Tx	Treatment
UA	Urinalysis
UCP	United Cerebral Palsy
UMBHS	Upper MI Behavioral Health Services
UP	Upper Peninsula
UP 211	Upper Peninsula 2-1-1 Call Center
UPCAP	Upper Peninsula Commission for Area Progress
UPHN &H	UP Home Nursing & Hospice
UPHP	Upper Peninsula Health Plan
UPPCO	Upper Peninsula Power Company
UPPD	Upper Peninsula Private Duty
URI	Upper Respiratory Infection
USDA	United States Department of Agriculture
UTI	Urinary Tract Infection
v.o.	Verbal Order
VA	Veterans' Administration
Vag	Vaginal
Val	Value
VAMC	Veterans Administration Medical Center
VC	Vital Care
Vet	Veteran
Vet. A	Veteran's Affairs
VFD	Volunteer Fire Department
VFW	Veterans of Foreign Wars
Vit	Vitamin
VS	Vital Signs
W & F	Wright & Filippis
W.B.C	White Blood Cells
w/ or c	With
W/C (WC) w/c	Wheelchair
w/o, s	Without
WA	Waiver
WAP	Weatherization Assistance Program
wk.	Week
WMH	War Memorial Hospital
WNL	Within Normal Limits
WO, W.O , w.o.	Work Order
WP	Waiver Pending
WPC	Working Care Plan
wt.	Weight
WUPDHD	Western Upper Peninsula District Health Department
Yr. (yr)	Year

